

**Hawaii QUEST Expanded
Section 1115 Annual Report**
Revised: October 6, 2015

**Demonstration Reporting Period:
Demonstration Year: 20 (7/1/2013 – 6/30/2014)**



Table of Contents

| | |
|--|----|
| Introduction | 1 |
| Health Delivery System | 2 |
| Operational/Policy Developments/Issues..... | 3 |
| Outreach/Enrollment Activities | 4 |
| Outcomes, Quality and Access to Care..... | 5 |
| Measures | 5 |
| Recent Initiatives on Measures | 31 |
| Cost of Care | 43 |
| Audits and Lawsuits..... | 45 |
| Demonstration Programmatic Information specific to QUEST Expanded Demonstration..... | 46 |
| Status of the Demonstration Evaluation..... | 50 |
| MQD Contact(s)..... | 50 |
| Tables | |
| Table 1A - Enrollment Counts from June 2013 to September 2013..... | 51 |
| Table 1B - Enrollment Counts from October 2013 to June 2014 | 52 |
| Table 1C - Enrollment Counts – Medicare Sharing Programs | 53 |
| Table 2- Benefits for QUEST and QExA | 54 |
| Table 3- Carve-Out programs | 56 |
| Attachments | |
| Attachment A HEDIS Measures- QUEST and QExA programs- 2014 | |
| Attachment B 2014 Hawaii CAHPS Star Reports- Adult | |
| Attachment C Summary of Financial Expenditures- DY 20 | |
| Attachment D QUEST and QExA Dashboards- July 1 to December 31, 2013 summary | |
| Attachment E QUEST and QExA Dashboards- January 1 to June 30, 2014 summary | |

Introduction

During this reporting period, Hawaii renewed its demonstration on September 23, 2013 to start a new demonstration called QUEST Integration.

Hawaii's QUEST Integration is a Department of Human Services (DHS), Med-QUEST Division (MQD) comprehensive section 1115 (a) demonstration that expands Medicaid coverage to children and adults originally implemented on August 1, 1994. The demonstration creates a public purchasing pool that arranges for health care through capitated-managed care plans. In 1994, the MQD converted approximately 108,000 recipients from three public funded medical assistance programs into the initial demonstration including 70,000 Aid to Families with Dependent Children (AFDC-related) individuals; 19,000 General Assistance program individuals (of which 9,900 were children whom the MQD was already receiving Federal financial participation); and 20,000 former MQD funded SCHIP program individuals.

QUEST Integration is a continuation and expansion of the state's ongoing demonstration that is funded through Title XIX, Title XXI and the State. QUEST Integration uses capitated managed care as a delivery system unless otherwise indicated. QUEST Integration provides Medicaid State Plan benefits and additional benefits (including institutional and home and community-based long-term-services and supports) based on medical necessity and clinical criteria to beneficiaries eligible under the state plan and to the demonstration populations. During the period between approval and implementation of the QUEST Integration managed care contract the state will continue operations under its QUEST and QUEST Expanded Access (QExA) programs. The current extension period began on October 1, 2013.

The State's goals in the demonstration are to:

- Improve the health care status of the member population;
- Minimize administrative burdens, streamline access to care for enrollees with changing health status, and improve health outcomes by integrating the demonstration's programs and benefits;
- Align the demonstration with Affordable Care Act;
- Improve care coordination by establishing a "provider home" for members through the use of assigned primary care providers (PCP);
- Expand access to home and community based services (HCBS) and allow individuals to have a choice between institutional services and HCBS;
- Maintain a managed care delivery system that assures access to high-quality, cost-effective care that is provided, whenever possible, in the members' community, for all covered populations;
- Establish contractual accountability among the contracted health plans and health care providers;
- Continue the predictable and slower rate of expenditure growth associated with managed care; and
- Expand and strengthen a sense of member responsibility and promote independence and choice among members that leads to more appropriate utilization of the health care system.

Health Delivery System

The State of Hawaii's 1115(a) demonstration has two programs: QUEST and QUEST Expanded Access (QExA). The QUEST program is for children and adults who are under the age of 65 and do not have a disability. The QExA program is for adults 65 years and older and children or adults with a disability. Table 1 provides a list of enrollment by program.

Both the QUEST and QExA programs are managed care delivery systems. Enrollment into managed care is mandatory.

The QUEST program has five health plans: AlohaCare, Hawaii Medical Services Association (HMSA), Kaiser Permanente, 'Ohana Health Plan, and UnitedHealthcare Community Plan. MQD enacted the commencement of services to members for the current contract of the QUEST program on July 1, 2012. This contract expires on December 31, 2014.

The QExA program has two health plans: 'Ohana Health Plan and UnitedHealthcare Community Plan (formerly Evercare QExA). MQD enacted the commencement of services to members for the current contract of the QExA program on February 1, 2009. This contract expires on June 30, 2011 with three one-year options to extend for the State of Hawaii. DHS has extended this contract for all three one-year extensions until June 30, 2014. DHS obtained an extension of this contract with an expiration of December 31, 2014.

The benefits offered by QUEST and QExA are comprehensive benefit packages. See Table 2 for a list of benefits provided to both QUEST and QExA members. Table 3 contains a list of the carve-out benefits for either QUEST or QExA.

Operational/Policy Developments/Issues

During demonstration year 20, the MQD worked with the QUEST Expanded Access (QExA) health plans on implementation of the QExA program. More about QExA implementation will be included at later parts of the report.

The MQD did not have any major programmatic changes in QUEST or QExA in demonstration year 20.

The MQD performed its fifth year of Pay for Performance (P4P) in the QUEST program. The MQD is financially incentivizing the QUEST health plans to improve quality in the following areas:

- Childhood Immunizations
- Chlamydia Screening
- Comprehensive Diabetes Care:
 - LDL Control <100 mg/dl
 - HbA1C Control (<8%)
 - Systolic and Diastolic BP Levels <140/90
- Controlling High Blood Pressure
- Getting Needed Care

| Measure | Time Frame | AlohaCare | HMSA | Kaiser | 'Ohana | United |
|---|--------------------------|-----------|------|--------|--------|--------|
| Childhood Immunization | January to December 2013 | No | No | Yes | No | No |
| Chlamydia Screening in Women | January to December 2013 | No | Yes | Yes | No | No |
| Comprehensive Diabetes Care | | | | | | |
| LDL Control <100 mg/dl | January to December 2013 | No | No | Yes | No | No |
| HbA1C Control (<8%) | January to December 2013 | No | No | No | No | No |
| Systolic and Diastolic BP Levels < 140/90 | January to December 2013 | No | No | Yes | No | No |
| Controlling High Blood Pressure | January to December 2013 | No | No | Yes | No | No |
| Getting Needed Care | January to December 2013 | No | No | No | No | No |
| Yes= Met financial incentive and received P4P payment No= Did not meet financial incentive and did not receive P4P payment | | | | | | |

The MQD uses both HEDIS and CAHPS survey results to monitor progress in these areas for the QUEST health plans. The QUEST health plans had an opportunity to receive \$0.40 PMPM for improvement in each of the areas listed above for a maximum of \$2.00 PMPM for January to December 2013. Improvement is not required in all areas to receive the financial incentive.

In demonstration year 20, the health plans received financial incentives for performance improvement (see table above).

Outreach/Enrollment Activities

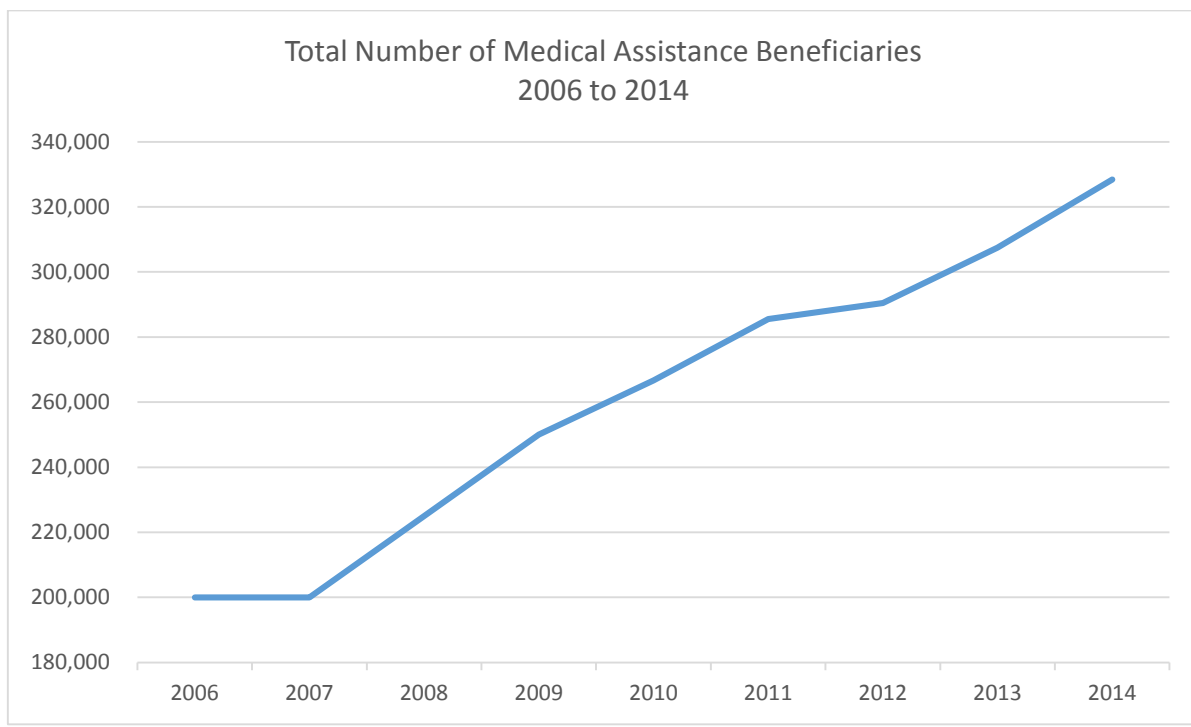
The DHS started determining eligibility for Medicaid individuals using new Modified Adjusted Gross Income (MAGI) criteria on October 1, 2013. In addition, MQD fine-tuned its work within its eligibility system called Kauwale (community) On-Line Eligibility Assistance System (KOLEA). DHS focused applicants to apply on-line at its mybenefits.hawaii.gov website.

The MQD implemented the Affordable Care Act (ACA) requirements in October 1, 2013. This included the FQHCs becoming navigators with the Hawaii Health Connector. Through this process, FQHCs were able to submit applications for Hawaii Medicaid through a portal developed by the Connector.

The Demonstration had a 23.1% percent increase in enrollment over State Fiscal Year 2010. The majority of this enrollment occurred in the QUEST program. See Table 1 for enrollment statistics.

The MQD has had an increase in enrollment of 64% since December 2006. See chart below for visual of the increase in enrollment of the Demonstration program in Hawaii.

At this time, DHS does not have any other outreach services for eligibility applications.



Outcomes, Quality and Access to Care

MQD Quality Strategy

The MQD started working with CMS, with Gary Jackson as the contact, in January 2010 on the revision of the Quality Strategy. MQD followed the CMS toolkit and checklist for State Quality Strategies as well as the Delaware Quality Strategy as a template. In May 2010, MQD submitted the revised Quality Strategy to CMS. The public comment period ended on September 9, 2010 and MQD received approval of its Quality Strategy. A copy of the Quality Strategy is posted at the MQD website (www.med-quest.us).

MQD's continuing goal is to ensure that our clients receive high quality care by providing effective oversight of health plans and contracts to ensure accountable and transparent outcomes. MQD has adopted the Institute of Medicine's framework of quality, ensuring care that is safe, effective, efficient, customer-centered, timely, and equitable. An initial set of ambulatory care measures based on this framework was identified. HEDIS measures that the health plans report to us are reviewed and updated each year. A copy of the list of the QUEST and QExA programs' reported HEDIS 2014 measures, including the validated HEDIS 2014 measures, is attached in Attachment A. Below is more detailed information regarding HEDIS.

The MQD performed one Adult and one Child CAHPS surveys in the spring of 2014. The Adult CAHPS survey was for the QUEST and QExA programs and the Child CAHPS survey was for the CHIP enrollees. Members of the QUEST and QExA health plans that are Medicaid adults and children were provided an opportunity to participate in this survey. CHIP enrollees of both QUEST and QExA had their own survey for reporting to CMS. The CHIP report is Statewide and not by health plan due to limited enrollment. See Attachment B for a copy of the QUEST, QExA, and CHIP CAHPS Star Report of the following points of information: Customer Service, Getting Care Quickly, Getting Needed Care, How Well Doctors' Communicate, Rating of All Health Care, Rating of Health Plan, Rating of Personal Doctor, and Rating of Specialist Seen Most Often. Below is more detailed information regarding the CAHPS survey.

QUEST & QExA HEDIS 2014

The most recent reported HEDIS year for QUEST & QExA is HEDIS 2014. The six EQRO audited scores for this year for the QUEST plans were Childhood Immunization Status (CIS), Well-Child Visits in the First 15 Months of Life (W15), Controlling High Blood Pressure (CBP), Comprehensive Diabetes Care (CDC), Breast Cancer Screening (BCS), and Chlamydia Screening in Women (CHL). The six measures reviewed for the QExA plans were Controlling High Blood Pressure (CBP), Comprehensive Diabetes Care (CDC), Adults' Access to Preventive/Ambulatory Health Services (AAP), Ambulatory Care (AMB), Inpatient Utilization – General Hospital/Acute Care (IPU), and Plan All-Cause Re-Admissions (PCR)

Measures

The graphs used to illustrate the various measures are, unless otherwise noted, scaled from 0% to 100%. This was done to facilitate comparisons between graphs and to present a consistent scale of measurement.

Initiatives related to these measures are reported separately in a subsequent section of this report.

HEDIS Measures

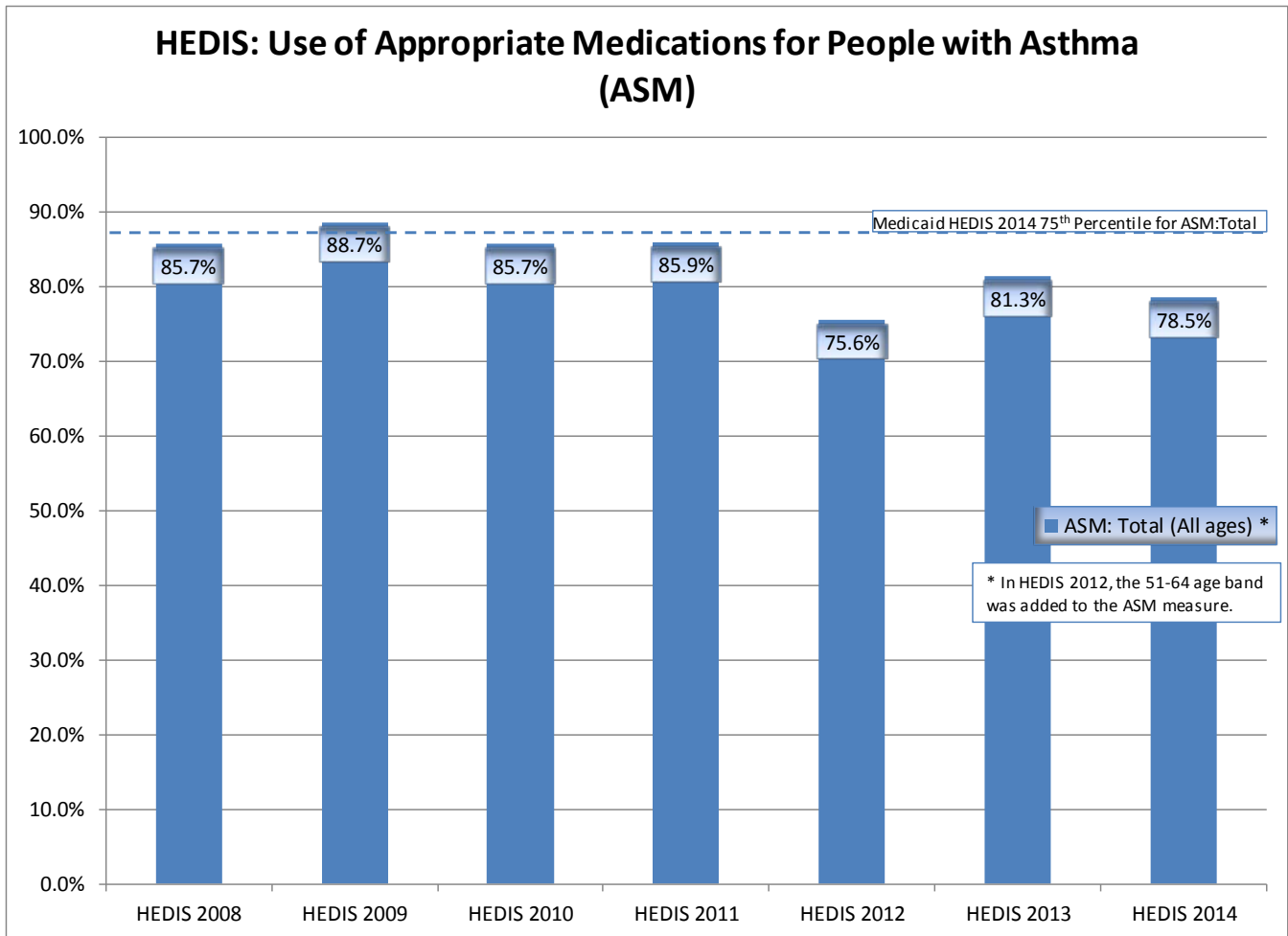
The Healthcare Effectiveness Data & Information Set (HEDIS) measures are included in this report to measure both the quality of healthcare delivered to, as well as the overall healthcare utilization levels of, the Hawaii QUEST and QExA recipients.

The HEDIS measures mostly involve ratios of a target behavior over the entire population that is eligible for that behavior. Occasionally ratios are reported on a sample of the population instead of the entire population, but on these occasions there are intensive internal claim audits applied to a sample of the claims. The HEDIS measures are based on self-reported HEDIS reports received from the five individual QUEST and QExA plans that are contracted with Med-QUEST – AlohaCare, HMSA, Kaiser, ‘Ohana Health Plan, and UnitedHealthcare Community Plan. It should be noted that prior to HEDIS 2011, only the QUEST recipients are reflected in the HEDIS scores. HEDIS reports from the plans are based on a calendar year period, a twelve-month period beginning in January 1 and ending on December 31 of the report year, and are due to Med-QUEST on approximately June 30 of the following year. These are sent via standard NCQA electronic file (IDSS) to Med-QUEST, and are then weight-averaged to create composite HEDIS measures for the entire Med-QUEST population for a single year. The plans are required to report on most of the HEDIS measures in each year. The definitions of the various HEDIS measures reported by the plans are no different from the national standard HEDIS definitions – we do not have any HEDIS-like measures. All five plans are concurrently audited by our External Quality Review Organization (EQRO).

Annual audits on how the plans calculate and report their HEDIS scores are conducted by the HEDIS-certified External Quality Review Organization (EQRO) entity under contract with, and under the direction of, Med-QUEST. Typically, these audits involve a sample of three to six HEDIS measures. The measures presented below are a small sample of the complete set of HEDIS measures that are reported each year,

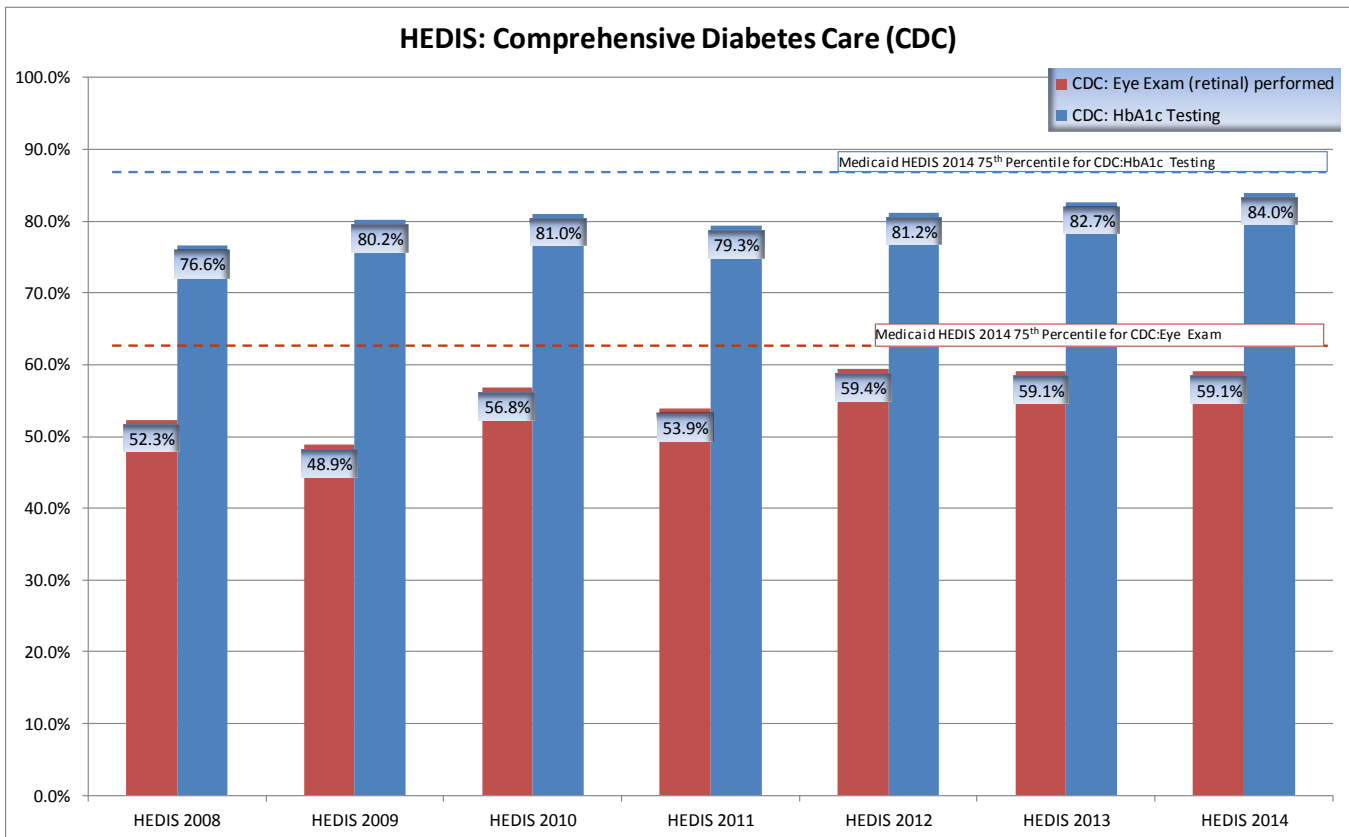
A longitudinal analysis is completed on the statewide QUEST rates to determine if there are broad trends in the measure over a period of several years. For most measures scores are reported for each year from 2008 to 2014. A comparison is made to the 2014 National Medicaid Median 75th Percentile score to bring perspective to where we score on a national level. Our Quality Strategy sets the National Medicaid 75th Percentile score as the target score for most of the HEDIS measures.

For all of the HEDIS measures except for the CDC: Poor HbA1c Control >9% and AMB: Emergency Department Visits, higher numeric scores are considered positive and lower numeric scores are considered negative; for these exception measures lower numeric scores are considered positive and higher numeric scores are considered negative.



ASM:

- The statewide Medicaid percentage of members 5-64 years of age identified as having persistent asthma and who appropriately prescribed medication has varied between 75% and 89% from 2008 to 2014, with the highest rate of 88.7% occurring in 2009 and the lowest rate of 75.6% occurring in 2012. Note that although the 51-64 year of age group was added in 2012, removing this age group would not have substantially increased the rates in later years.
- The 2014 year's score have decreased since the marked improvement made in 2013 and is ranked second lowest overall.
- The HI Quality Strategy target percentage for the ASM measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, this target is higher than the previous years reported, with the exception of 2009 when its rate (88.7%) seems to have met it.



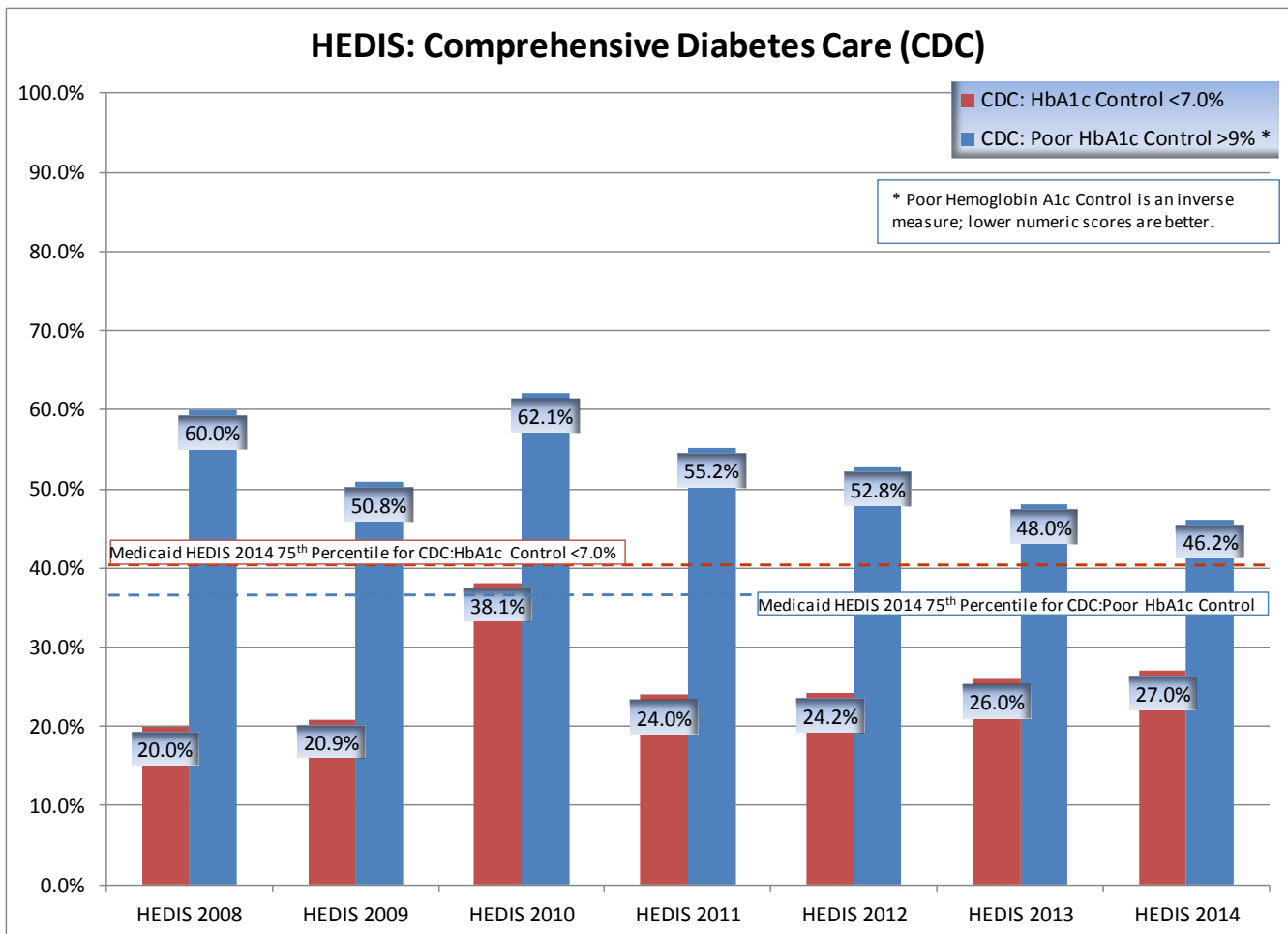
CDC – Eye Exam:

- The statewide Medicaid percentage of members 18-75 years of age identified with diabetes (type 1 and type 2) who had a retinal eye exam performed varied between 48% and 60% from 2008 to 2014, with the highest rate of 59.4% occurring in 2012 and the lowest rate of 48.9% occurring in 2009.
- There is a flat trend (no trend) in the rates of the past three years reported. The latest year (2014) reported a rate consistent with 2012. The first two years (2008 and 2009) reported the lowest rates.
- The HI Quality Strategy target percentage for the CDC – Eye Exam measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, the target was not met.

CDC – HbA1c Testing:

- The statewide Medicaid percentage of members 18-75 years of age identified with diabetes (type 1 and type 2) who had an HbA1c test performed varied between 77% and 84% from 2008 to 2014, with the highest rate of 84% occurring in 2014 and the lowest rate of 76.6% occurring in 2008.
- There is a moderate uptrend in the rates of the seven years reported. The latest year (2014) reported the highest rate and the first year (2008) reported the lowest rate.
- The HI Quality Strategy target percentage for the CDC – HbA1c Testing measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, this target was above all of the years reported.

HEDIS: Comprehensive Diabetes Care (CDC)



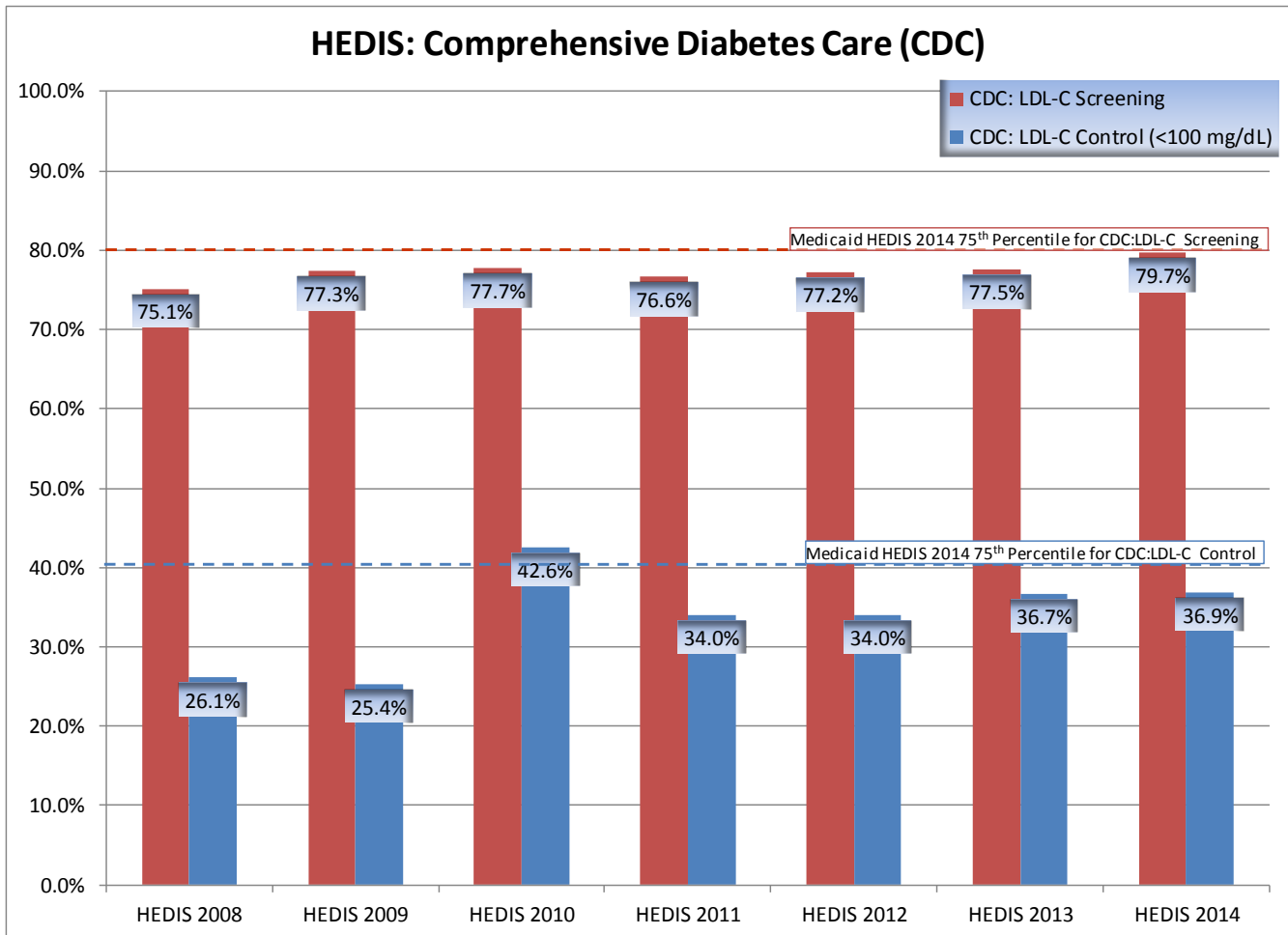
CDC – HbA1c Control <7.0%:

- The statewide Medicaid percentage of members 18-75 years of age identified with diabetes (type 1 and type 2) that had HbA1c under good control varied between 20% and 39% from 2008 to 2014, with the highest rate of 38.1% occurring in 2010 and the lowest rate of 20.0% occurring in 2008.
- There is a moderate uptrend in the rates of the seven years reported. The latest year (2014) reported the highest rate (except for the outlier of 38.1% in 2010), and the earliest year (2008) reported the lowest rate. In 2010, the rate of 38.1% seems like an outlier score especially when considering the six other years' scores were between 20.0% and 27%
- The HI Quality Strategy target percentage for the CDC – HbA1c Control <7.0% measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, this target was above all of the years reported.

CDC – HbA1c Poor Control >9.0%:

- The statewide Medicaid percentage of members 18-75 years of age identified with diabetes (type 1 and type 2) that had HbA1c under poor control varied between 63% and 47% from 2008 to 2014, with the highest rate of 62.1% occurring in 2010 and the lowest rate of 46.2% occurring in 2014. Note that this is an inverse measure, where the higher the numeric rate is the worse the score is.

- There is a slight downtrend (good) to flat trend in the rates of the seven years reported. The last four years' score went from 55.2% to 52.8% to 48.0% to 46.2% with the lowest score occurring in 2014 (46.2%).
- The HI Quality Strategy target percentage for the CDC – HbA1c Poor Control >9.0% measure is the 25th percentile of the national Medicaid population. For the 2014, this target is below (not good) all of the years reported.

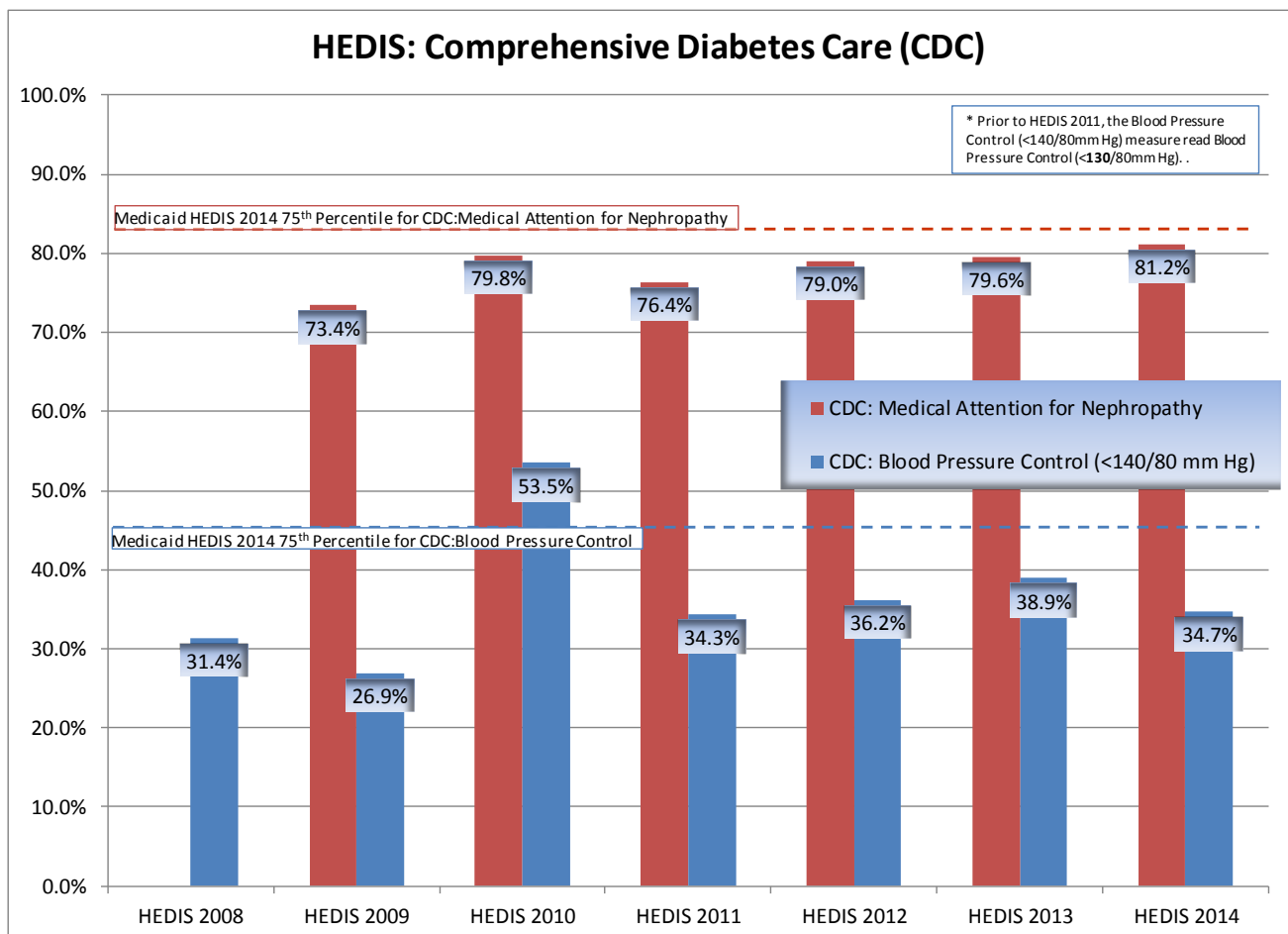


CDC – LDL-C Screening:

- The statewide Medicaid percentage of members 18-75 years of age identified with diabetes (type 1 and type 2) who had an LDL-C screening performed varied between 75% and 80% from 2008 to 2014, with the highest rate of 79.7% occurring in 2014 and the lowest rate of 75.1% occurring in 2008.
- There is a slight uptrend in the rates of the last four years reported. All years' scores were tightly bunched within three percentage points. The lowest rate was reported in the first year (2008).
- The HI Quality Strategy target percentage for the CDC – LDL-C Screening measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, this target was closely met.

CDC – LDL-C Control:

- The statewide Medicaid percentage of members 18-75 years of age identified with diabetes (type 1 and type 2) that had LDL-C under control varied between 25% and 43% from 2008 to 2014, with the highest rate of 42.6% occurring in 2010 and the lowest rate of 25.4% occurring in 2009.
- There is a flat trend (no trend) in the rates of the seven years reported. The last three years’ scores were tightly bunched within three percentage points. The lowest rate was reported in the first year (2009).
- The HI Quality Strategy target percentage for the CDC – LDL-C Control measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with a national averages, this target was higher than all of the years reported, except for 2010 when the rate (42.6%) seemed to have exceeded it.



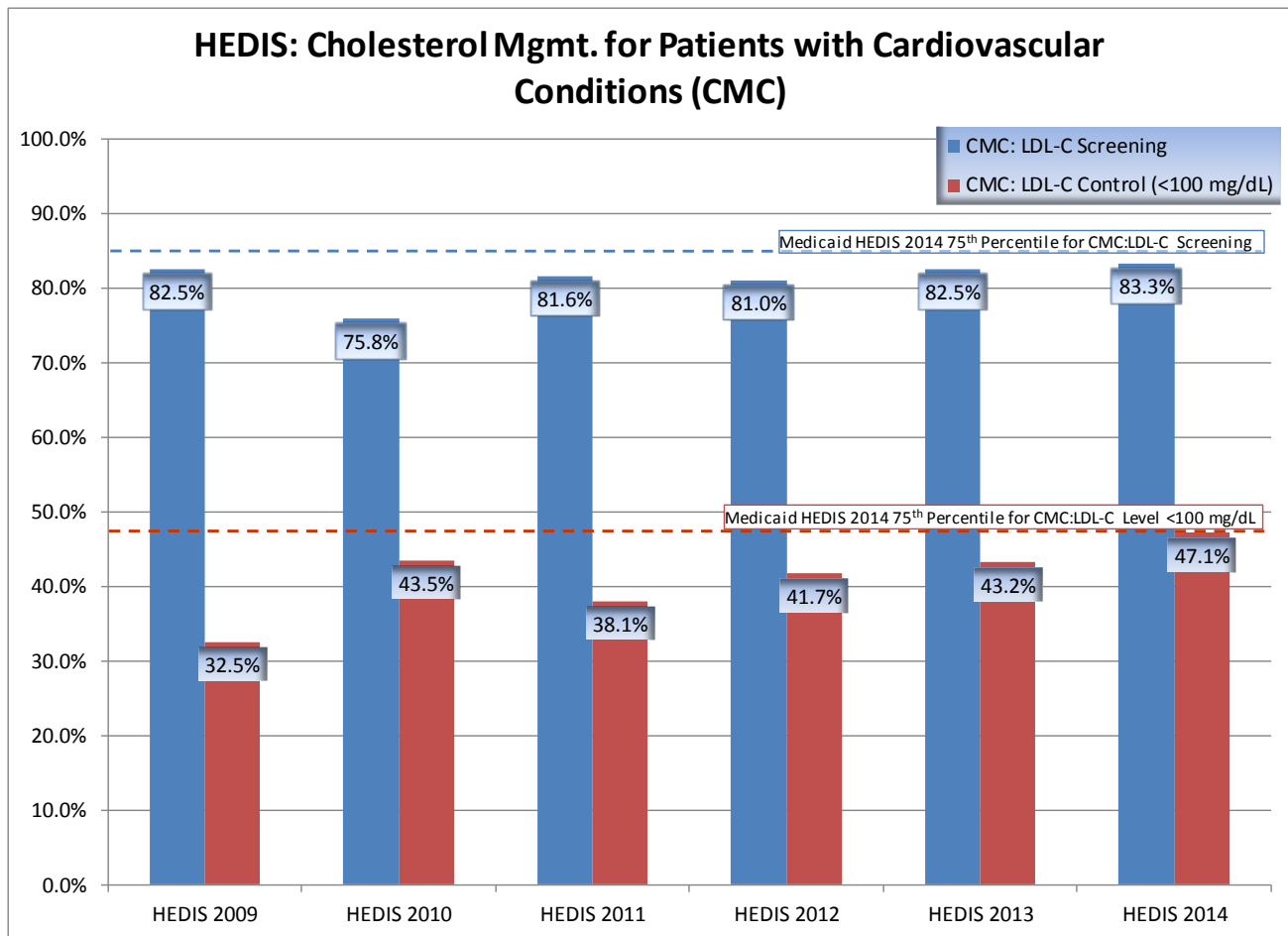
CDC – Medical Attention for Nephropathy:

- The statewide Medicaid percentage of members 18-75 years of age identified with diabetes (type 1 and type 2) that had medical attention for nephropathy varied between 73% and 82% from 2009 to 2014, with the highest rate of 81.2% occurring in 2014 and the lowest rate of 73.4% occurring in 2009. Note that this was a new measure in 2009.

- There is a slight up trend in the rates of the six years reported. The lowest rate was reported in the first year (2009), and the latest year reported (2014) had a rate (81.2%), which is an all-time high.
- The HI Quality Strategy target percentage for the Medical Attention for Nephropathy measure is the 75th percentile of the national Medicaid population. For the 2014, this target is higher than all of the years reported.

CDC – Blood Pressure Control (<140/80 mm Hg):

- The statewide Medicaid percentage of members 18-75 years of age identified with diabetes (type 1 and type 2) that had blood pressure under control below <140/80 mm Hg varied between 26% and 54% from 2008 to 2014, with the highest rate of 53.5% occurring in 2010 and the lowest rate of 26.9% occurring in 2009.
- There is a slight up trend in the rates of the first six years reported; the rate in 2014 (34.7%) decreased to the previous trend in 2011 (34.3%). Leaving out the high score for 2010 (which looks like an outlier), the highest two scores were in 2012 (36.2%) and 2013 (38.9%).
- The HI Quality Strategy target percentage for the CDC Blood Pressure Control (<140/80 mm Hg) measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, this target was higher than all of the years reported except for in 2010.



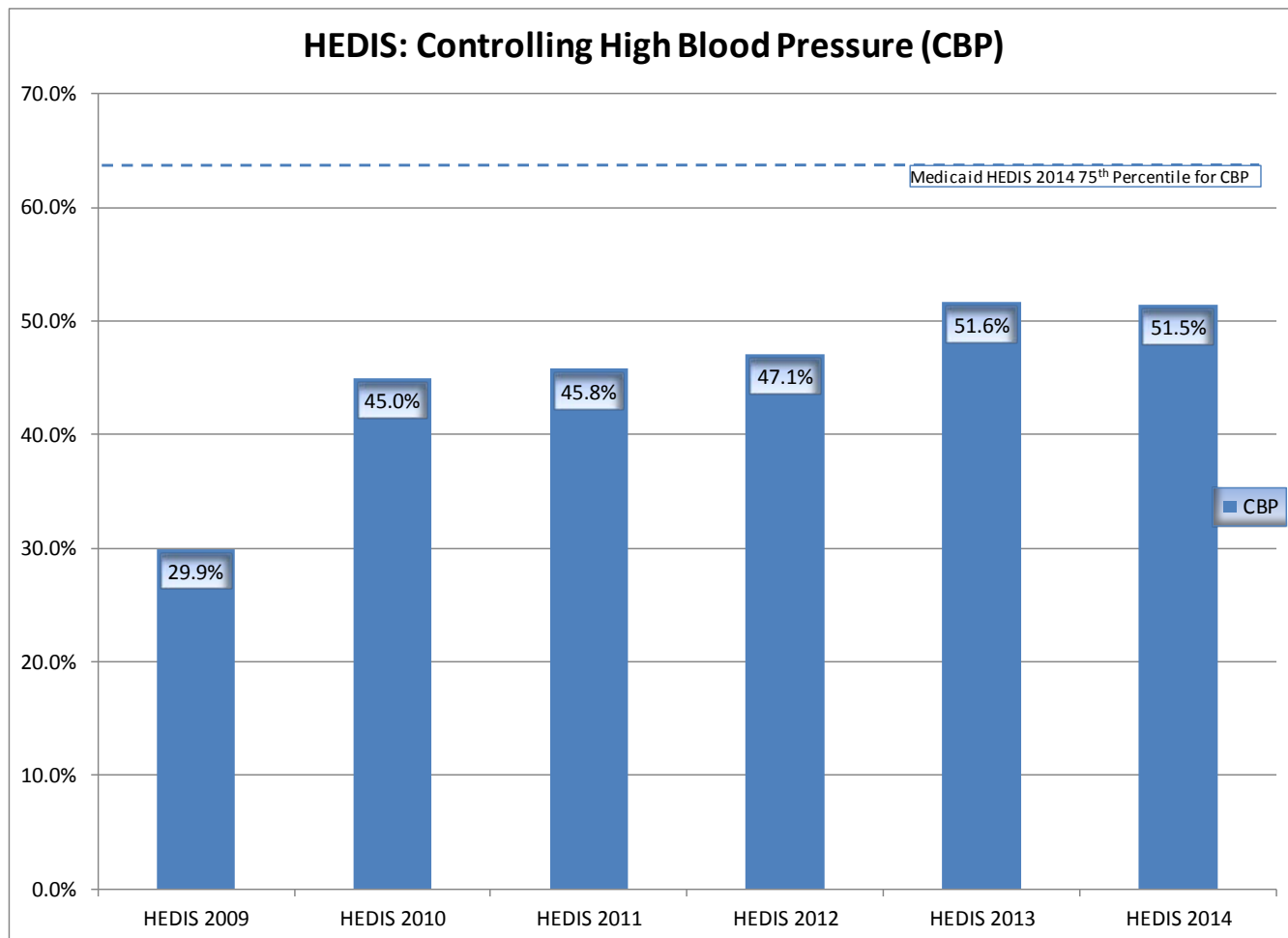
CMC – LDL-C Screening:

- The statewide Medicaid percentage of members 18-75 years of age identified with a cardiac condition that had an LDL-C screening performed varied between 75% and 84% from 2009 to 2014, with the highest rate of 83.3% occurring in 2014 and the lowest rate of 75.8% occurring in 2010. Note that the first year for this measure is 2009.
- There is a slight uptrend in the rates of the last three years reported. The highest rate was reported in last year (2014), the lowest rate occurred in the second year (2010), and the remaining years' scores fell between these.
- The HI Quality Strategy target percentage for the CMC – LDL-C Screening measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, this target was higher than all of the years reported.

CMC – LDL-C Control:

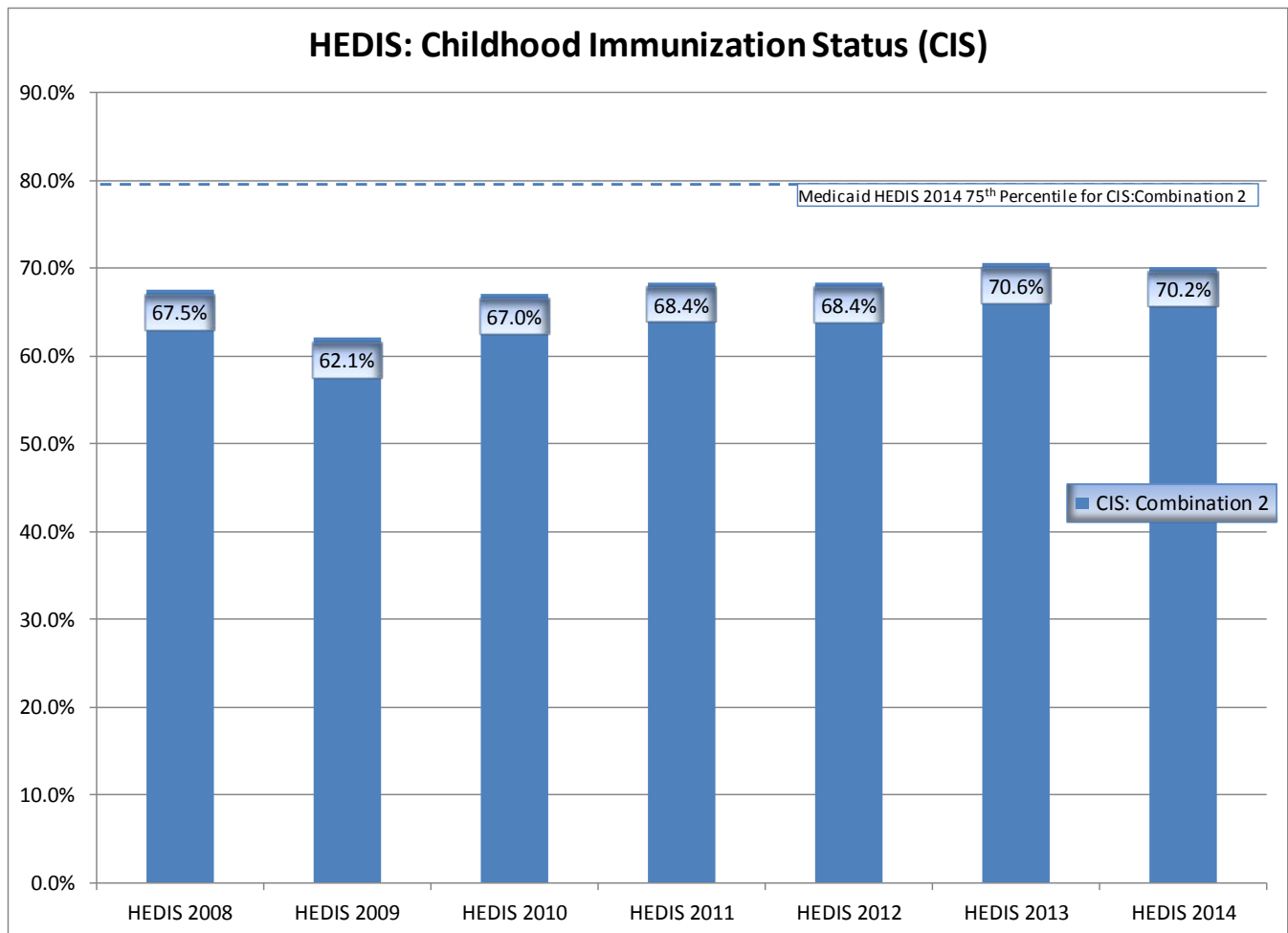
- The statewide Medicaid percentage of members 18-75 years of age identified with a cardiac condition that had LDL-C under control varied between 32% and 48% from 2009 to 2014, with the highest rate of 47.1% occurring in 2014 and the lowest rate of 32.5% occurring in 2009. Note that the first year for this measure is 2009.

- There is a clear up trend in the rates of the seven years reported. The rate in 2014 (47.1%) is the all-time highest rate.
- The HI Quality Strategy target percentage for the CMC – LDL-C Control measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, this target was nearly met in 2014.



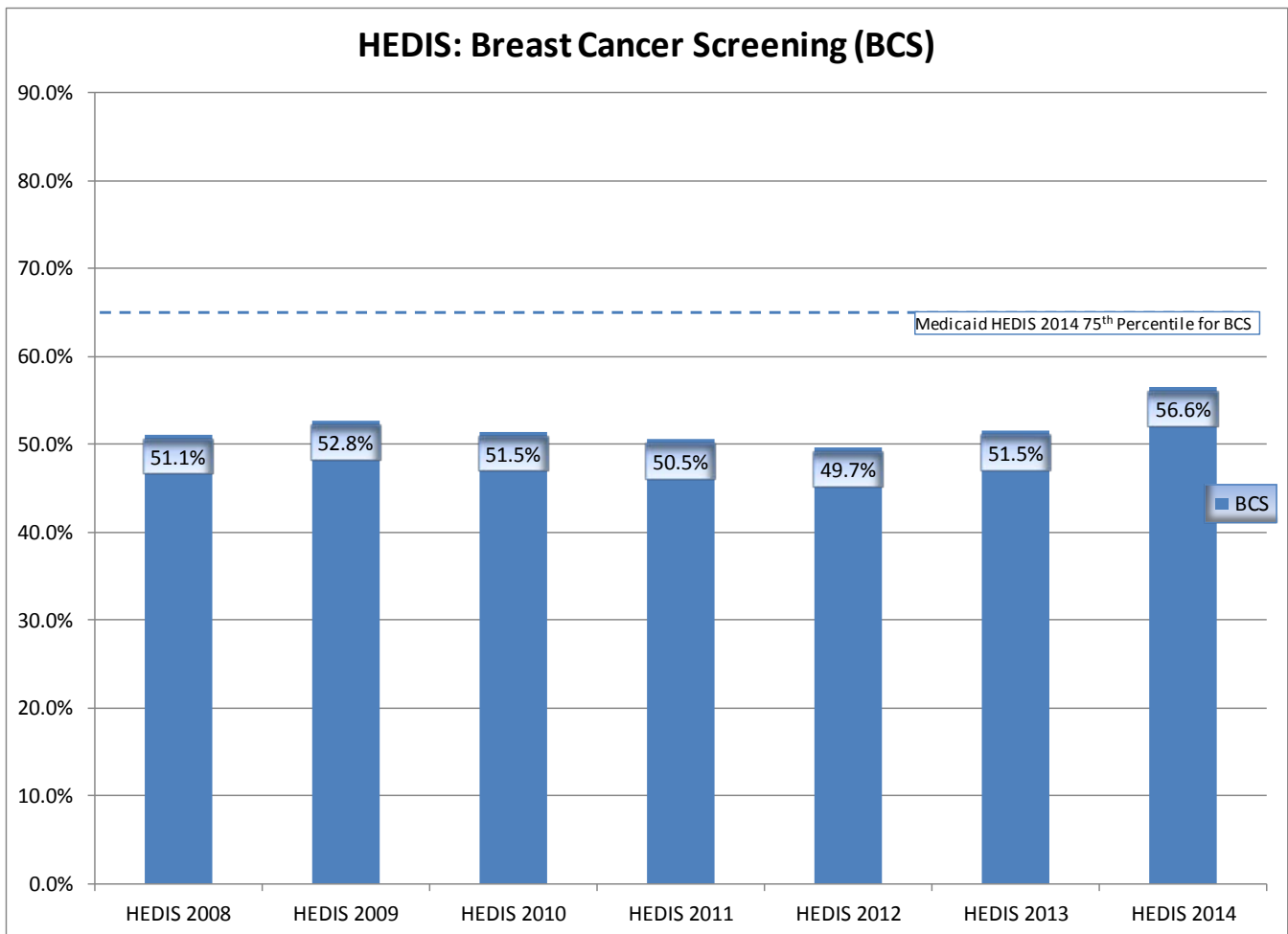
CBP:

- The statewide Medicaid percentage of members 18-85 years of age who had a diagnoses of hypertension and whose blood pressure was under control varied between 29% and 52% from 2009 to 2014, with the highest rate of 51.6% occurring in 2013 and the lowest rate of 29.9% occurring in 2009. Note that the first year for this measure is 2009.
- There is a clear up trend in the rates of the six years reported. From 2009 thru 2013, each subsequent year’s score is higher than the last. The last year’s (2014) rate (51.5%) has been consistent with the previous year’s (2013) rate (51.6%).
- The HI Quality Strategy target percentage for the CBP Control measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, the target was higher than all of the years reported.



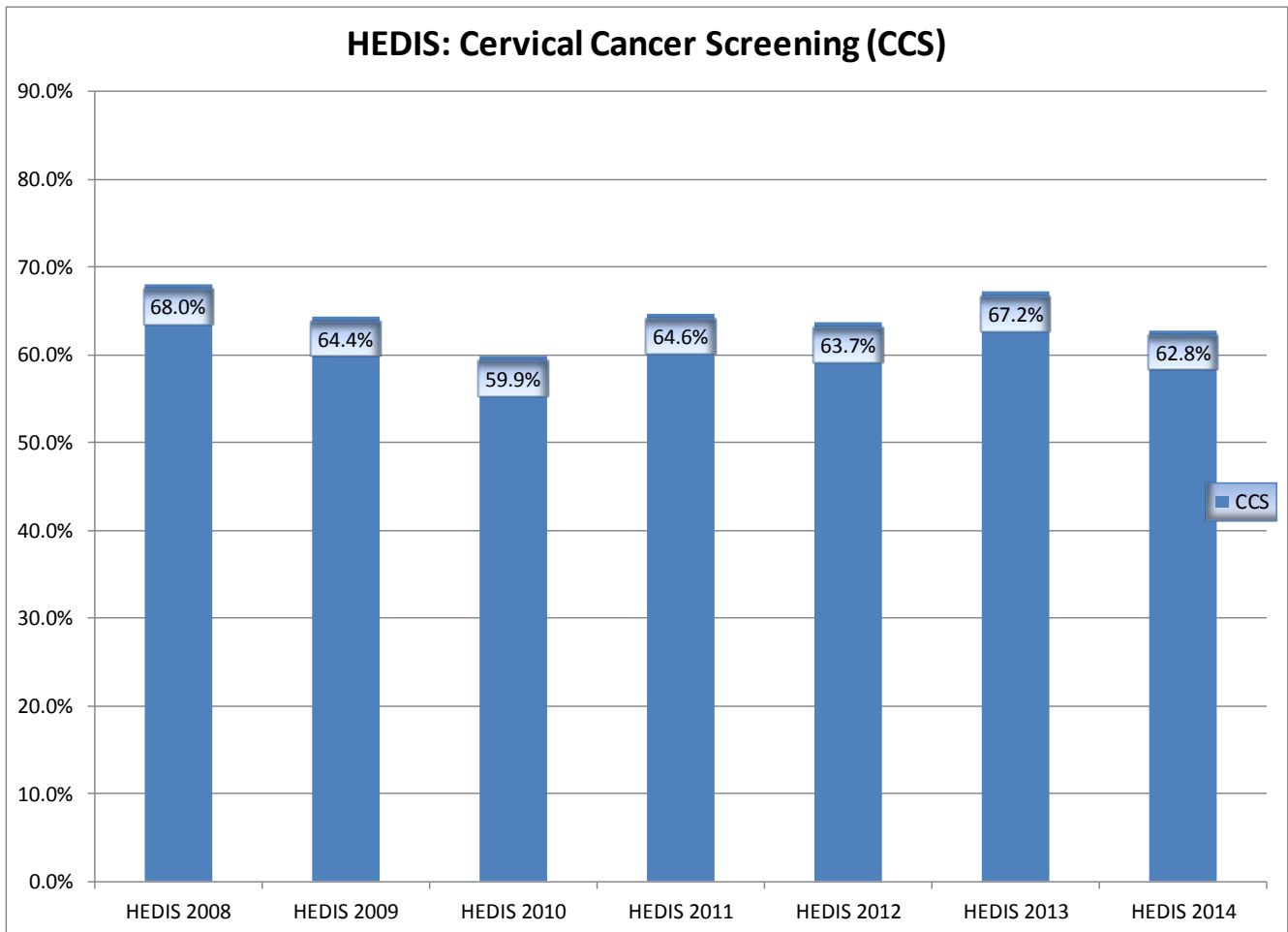
CIS:

- The statewide Medicaid percentage of children 2 years of age who, by their second birthday, had received the entire suite of Combination 2 vaccines (4 DTaP, 3 IPV, 1 MMR, 3 HiB, 3 HepB & 1 VZV) varied between 62% and 71% from 2008 to 2014, with the highest rate of 70.6% occurring in 2013 and the lowest rate of 62.1% occurring in 2009.
- There is a slight up trend in the rates of the first six years reported. Excluding the 2008 rate, the rates increased from 2009 to 2013 by 3.1 percentage points with no annual decreases. In the last three years reported the rates move sideways from 68.4% to 70.6% to 70.2%.
- The HI Quality Strategy target percentage for the CIS measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, the target was higher than all of the years reported.



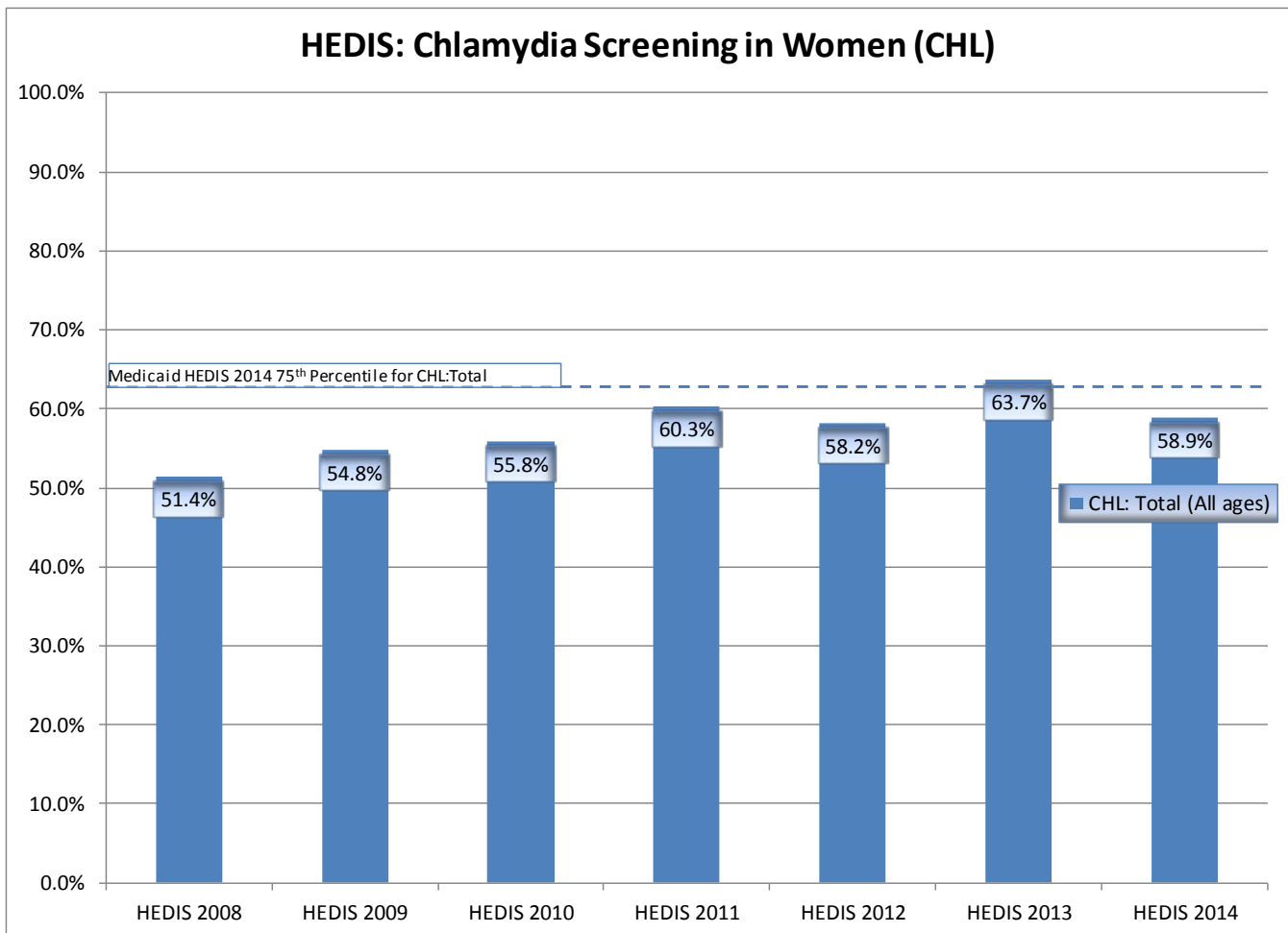
BCS:

- The statewide Medicaid percentage of women 40 - 69 years of age who had a mammogram to screen for breast cancer varied between 49% and 57% from 2008 to 2014, with the highest rate of 56.6% occurring in 2014 and the lowest rate of 49.7% occurring in 2012.
- There is a clear down trend in the rates for the first five years reported, however, the last two years' rates reported are trending positively (2013 with 51.5% and 2014 with 56.6%), showing strong improvement.
- The HI Quality Strategy target percentage for the BCS measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, the target was higher than all of the years reported.



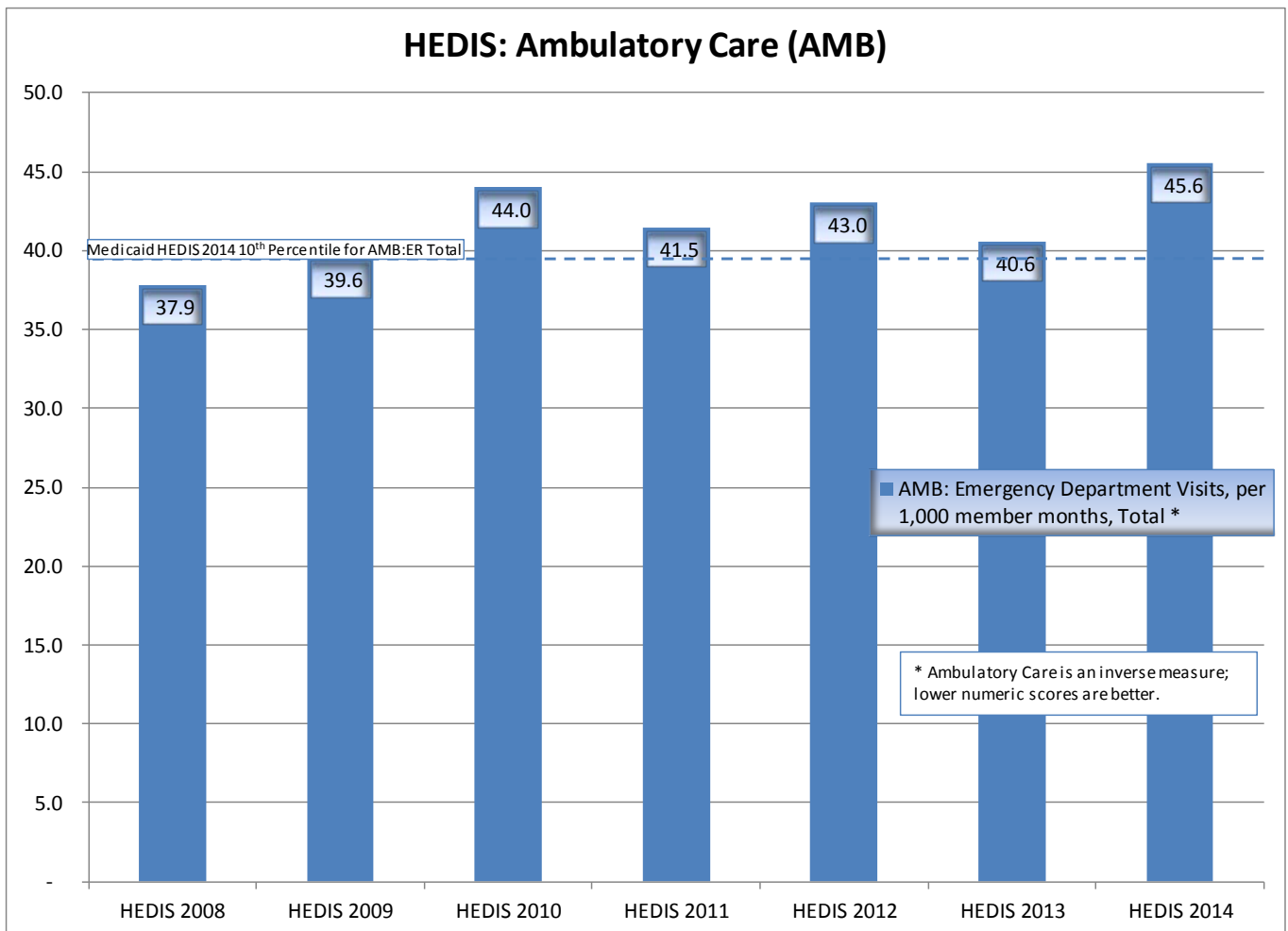
CCS:

- The statewide Medicaid percentage of women 21 - 64 years of age who received one or more Pap tests to screen for cervical cancer varied between 59% and 68% from 2008 to 2014, with the highest rate of 68.0% occurring in 2008 and the lowest rate of 59.9% occurring in 2010.
- There was a slight down trend in the rates of the first five years reported; the rate in 2013 (67.2%) increased to the previous trend in 2008 (68.0%). The rate in 2014 (62.8%) is starting to trend downward again.
- The HI Quality Strategy target percentage for the CCS measure is not currently available.



CHL:

- The statewide Medicaid percentage of women 16 - 24 years of age who were identified as sexually active and who had at least one test for Chlamydia during the measurement year varied between 51% and 64% from 2008 to 2014, with the highest rate of 63.7% occurring in 2013 and the lowest rate of 51.4% occurring in 2008.
- There is a clear up trend in the rates of the first six years reported. The lowest rate (51.4%) is in 2008 and the highest rate (63.7%) is in 2013. In the last year reported (2014) the rate (58.9%) is starting to trend downward again.
- The HI Quality Strategy target percentage for the CCS measure is the 75th percentile of the national Medicaid population. For the 2014, the latest year with national averages, the target was not met as when HI met its quality strategy target in 2013.



AMB:

- The statewide Medicaid rate of emergency department visits per 1,000 member months varied between 38.0 and 46.0 from 2008 to 2014, with the highest rate of 45.6 occurring in 2014 and the lowest rate of 37.9 occurring in 2008. Note that this is an inverse measure, where the higher the numeric rate is the worse the score is.
- There is a clear up trend in the rates of the seven years reported. The rate in 2014 (45.6) is at an all-time high (bad).
- The HI Quality Strategy target percentage for the AMB measure is the 10th percentile of the national Medicaid population. The target was below (bad) all of the last five years reported; For the 2014, the latest year with national averages, the target was lower (bad). Therefore, HI did not meet its quality strategy goal for ambulatory care.

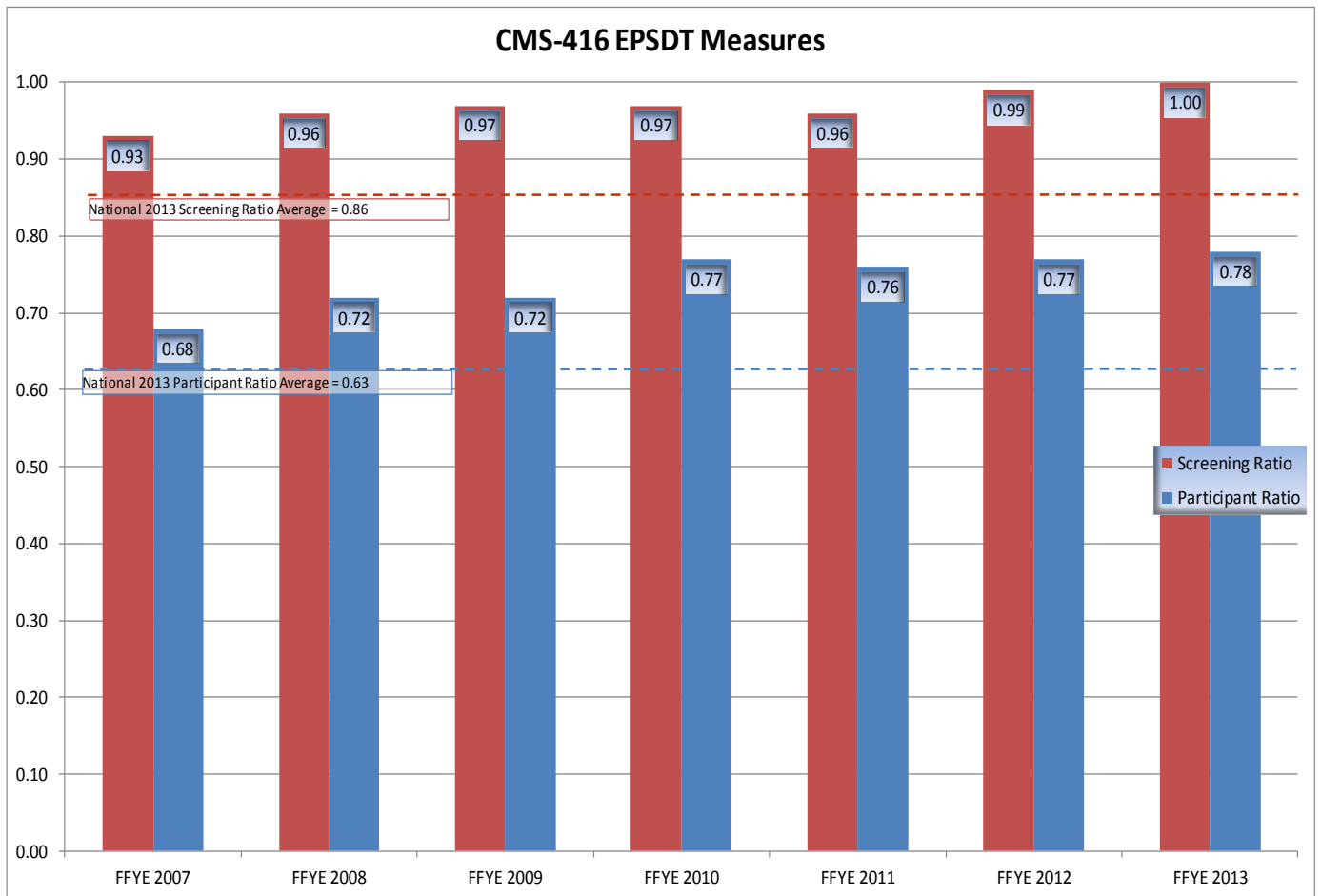
EPSDT Measures

The EPSDT measures are included in this report to measure the degree of comprehensive and preventive child healthcare for individuals under the age of 21.

The EPSDT measures are based on self-reported EPSDT reports received from the five individual plans that are contracted with Med-QUEST – AlohaCare, HMSA, Kaiser, ‘Ohana Health Plan and UnitedHealthcare Community Plan. The scores from these individual plan reports are then weight-averaged to calculate Hawaii composite scores. All five plans create custom queries to calculate their scores, and all of the EPSDT measures are reported in each year. The format and method of calculation for the various EPSDT measures reported by the plans is no different from the national standard CMS-416 EPSDT format, aside from small differences in the periodicity of visits by state. Audits on how the plans calculate and report their EPSDT scores are not currently conducted; future health plan audits on the EPSDT calculation and reporting are being considered. EPSDT reports from the plans are based on the federal fiscal year, a twelve month period beginning in October 1 and ending on September 30 of the report year, and are due to Med-QUEST on the last day of February in the year following the report year. The measures presented below are a small sample of the complete set of EPSDT measures that are reported each year.

A longitudinal analysis is completed on the statewide QUEST rates to determine if there are broad trends in the measure over a period of several years. Scores are reported for each year from 2007 to 2013. EPSDT is measured on a Federal Fiscal Year, therefore, the most recent results for this report are FFY13. A comparison is made to the National Medicaid EPSDT Average score – the 50th percentile – to bring perspective to where we stand on a national level.

For all of the EPSDT measures, higher numeric scores are considered positive and lower numeric scores are considered negative.



EPSDT – Screening Ratio:

- The statewide Medicaid screening ratio from the EPSDT report varied between 0.93 and 1.00 from 2007 to 2013, with the highest rate of 1.00 occurring in 2013 and the lowest rate of 0.93 occurring in 2007.
- There is a clear up trend in the rates of the seven years reported. The lowest rate of 0.93 was reported in the first year (2007), and the highest rate of 1.00 was reported in the last year reported (2013), with a mostly steady uptrend in between.
- The MQD quality strategy has no benchmark for the EPSDT Screening Ratio. For comparison purposes in 2013, the latest reported year, the national average is lower than all of the years reported.

EPSDT – Participant Ratio:

- The statewide Medicaid participant ratio from the EPSDT report varied between a high of 0.78 occurring in 2013 and the lowest rate of 0.68 occurring in 2007.
- There is a clear up trend in the rates of the seven years reported. Each year’s score was at least equal to, and more often greater than, the previous year’s score, ending in a high of 0.78 in 2013.
- The MQD quality strategy has no benchmark for the EPSDT Participant Ratio. For comparison purposes in 2013, the latest reported year, the national average is lower than all of the years reported.

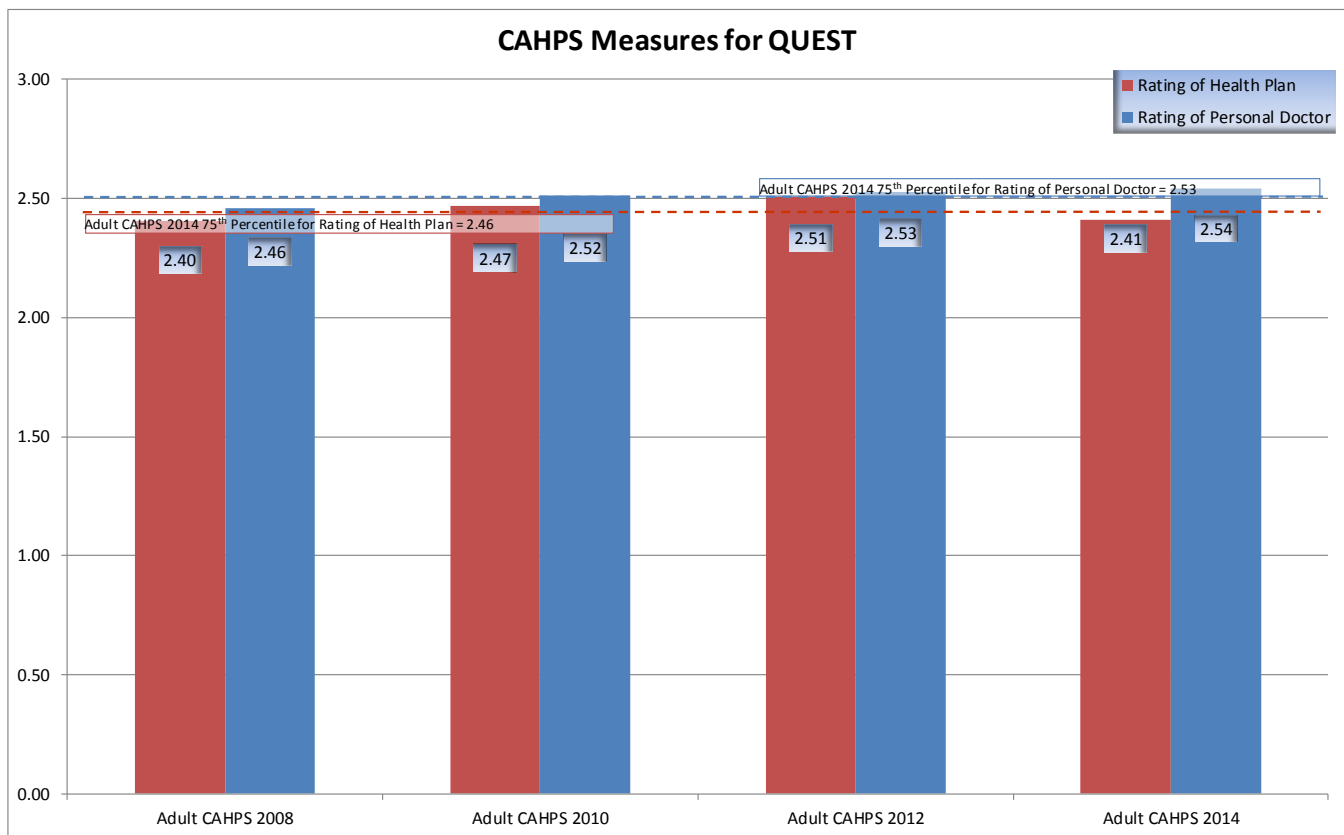
CAHPS Measures

The Consumer Assessment of Healthcare Providers and Systems (CAHPS) measures are included in this report to measure the degree of recipient satisfaction with Hawaii Med-QUEST.

Med-QUEST is required by the State of Hawaii to conduct an annual HEDIS CAPHS member survey. The CAHPS measures are based on annual surveys conducted by the EQRO entity under contract with, and under the direction of, Med-QUEST. The method of these surveys and the definitions of the various CAHPS measures strictly adhere to required national standard CAHPS specifications. The surveys were sent to a random sample of recipients. The overall survey response rate was 45% in 2011 and 38% in both 2012 and 2013. In 2014, it was 39.9% (35.2% for QUEST and 52.1% for QExA) overall. The “question summary rates” are reported for the different measures used in this report. The Adult Medicaid surveys were done in 2008, 2010, 2012, and 2014 and the Child Medicaid survey was done in 2009, 2011, and 2013. Only the 2014 results (from the Adult Medicaid surveys) are reported here. The survey asks which health plan the respondent is currently enrolled in, which enables the scores to be summarized by plan as well as program (QUEST vs. QExA). Since the QExA program was begun in February 2009, there are a limited number of years of CAHPS data for QExA. This report presents the rates of the QUEST population and the QExA population in separate charts. Going forward and as required by the State of Hawaii, these surveys will continue to be done annually, with the Child and Adult surveys being done in *alternating* years. The measures presented below are but a small sample of the entire slate of questions that were presented on the survey.

A longitudinal analysis is completed on the statewide QUEST rates to determine if there are broad trends in the measure over a period of several years. Because the populations surveyed are different between the Adult and Child surveys, these surveys are analyzed separately as the data allows. A comparison is made to the National Medicaid Child CAHPS 2014 75th percentile score to bring perspective to where we score on a national level. The National Medicaid 75th percentile score will be the target score for all of the CAHPS measures, as is specified in our Quality Strategy.

For the CAHPS measures, higher numeric scores are considered positive and lower numeric scores are considered negative.



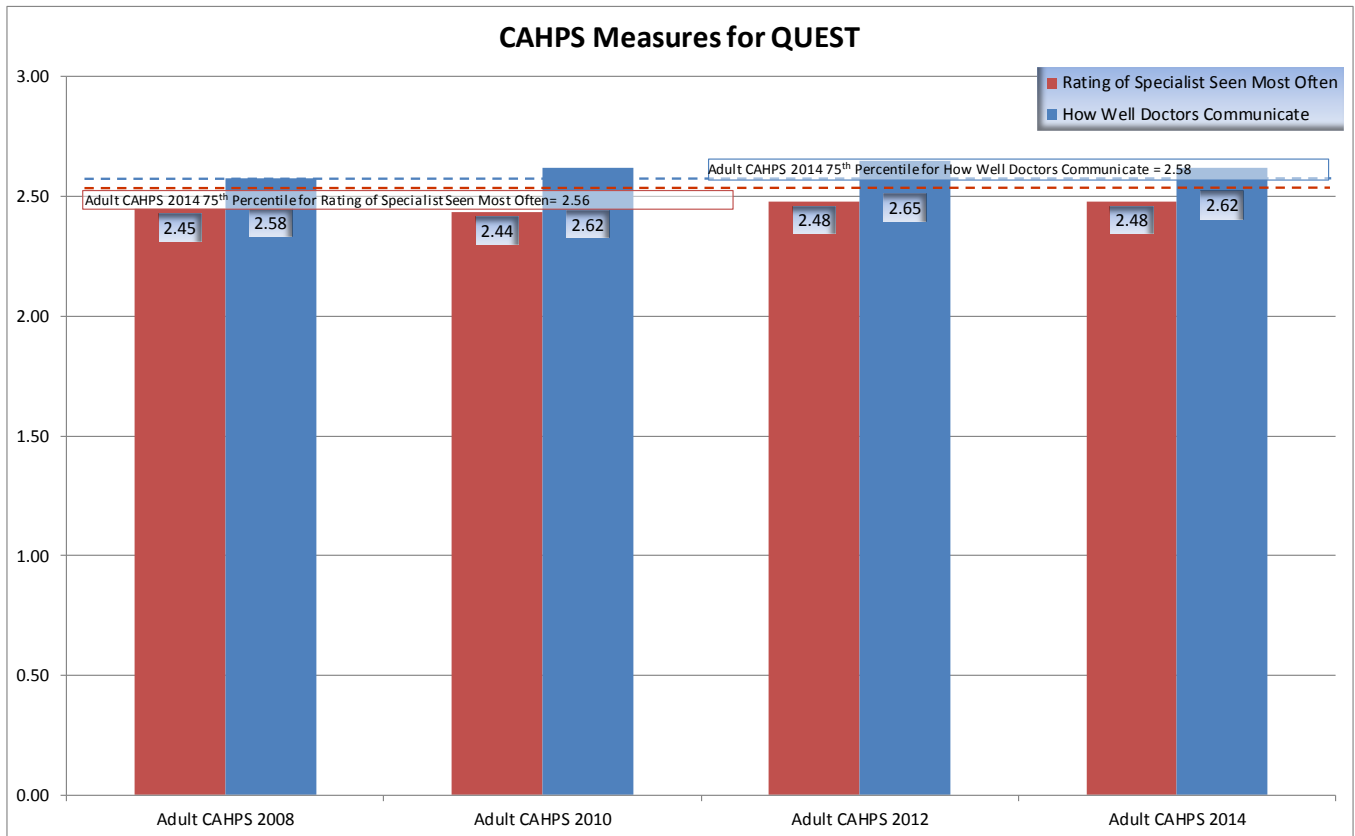
CAHPS for QUEST – Rating of Health Plan:

- The statewide CAHPS – Rating of Health Plan for the Adult QUEST population varied between a high rate of 2.51 occurring in 2012 and the lowest rate of 2.40 occurring in 2008. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- There is a clear up trend in the rates of the first three survey results reported. The rates moved from 2.40 to 2.47 to 2.51. However, recently, the 2014 rate (2.41) is starting to trend downward.
- The HI Quality Strategy target percentage for the CAHPS – Rating of Health Plan is the 75th percentile of the national Medicaid population. For 2014, the latest year with national averages, this target was 2.46 and not exceeded by the 2.41 rate reported in 2014.

CAHPS for QUEST – Rating of Personal Doctor:

- The statewide CAHPS – Rating of Personal Doctor for the QUEST population varied between a high rate of 2.54 occurring in 2014 and the lowest rate of 2.46 occurring in 2008. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- There is a clear up trend in the rates for the years reported for the Adult surveys. For the Adult years, the rates increased steadily from 2.46 to 2.54.

- The HI Quality Strategy target percentage for the CAHPS, Rating of Personal Doctor, is the 75th percentile of the national Medicaid population. For 2014, the latest year with national averages, this target was 2.53, which was met the past two years (2.53 in 2013 and 2.54 in 2014).



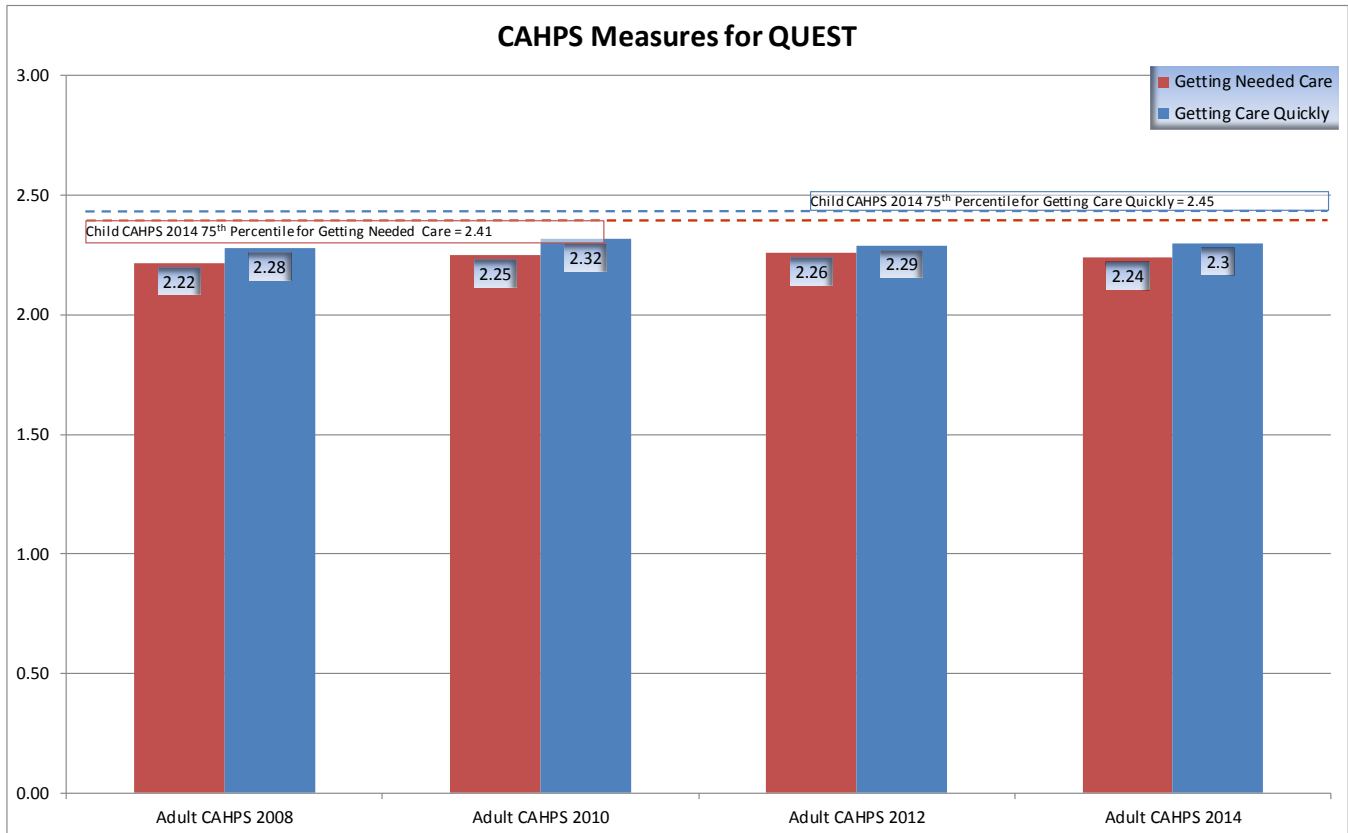
CAHPS for QUEST – Rating of Specialist Seen Most Often:

- The statewide CAHPS – Rating of Specialist Seen Most Often for the QUEST population varied between a high rate of 2.48 occurring in 2012 and 2014, and the lowest rate of 2.44 occurring in 2010. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- There is no clear trend in the rates of the four years reported. For the Adult years, the rates moved slightly up from 2.45 to 2.44 to 2.48 and remained at 2.48.
- The HI Quality Strategy target percentage for the CAHPS – Rating of Specialist Seen Most Often is the 75th percentile of the national Medicaid population. For 2014, the latest year with national averages, this target was 2.56 that was higher than all of the reported years.

CAHPS for QUEST – How Well Doctors Communicate:

- The statewide CAHPS – How Well Doctors Communicate for the QUEST population varied between a high rate of 2.65 occurring in 2012 and the lowest rate of 2.58 occurring in 2008. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.

- There is a clear up trend in the rates of the first three Adult surveys reported. For the Adult years, the rates move from 2.58 to 2.62 to 2.65. Then, in 2014, the rates had a slight downtrend back to 2.62.
- The HI Quality Strategy target percentage for the CAHPS – How Well Doctors Communicate is the 75th percentile of the national Medicaid population. For 2014, the latest year with national averages, this target was 2.58, which was met in all the years reported.

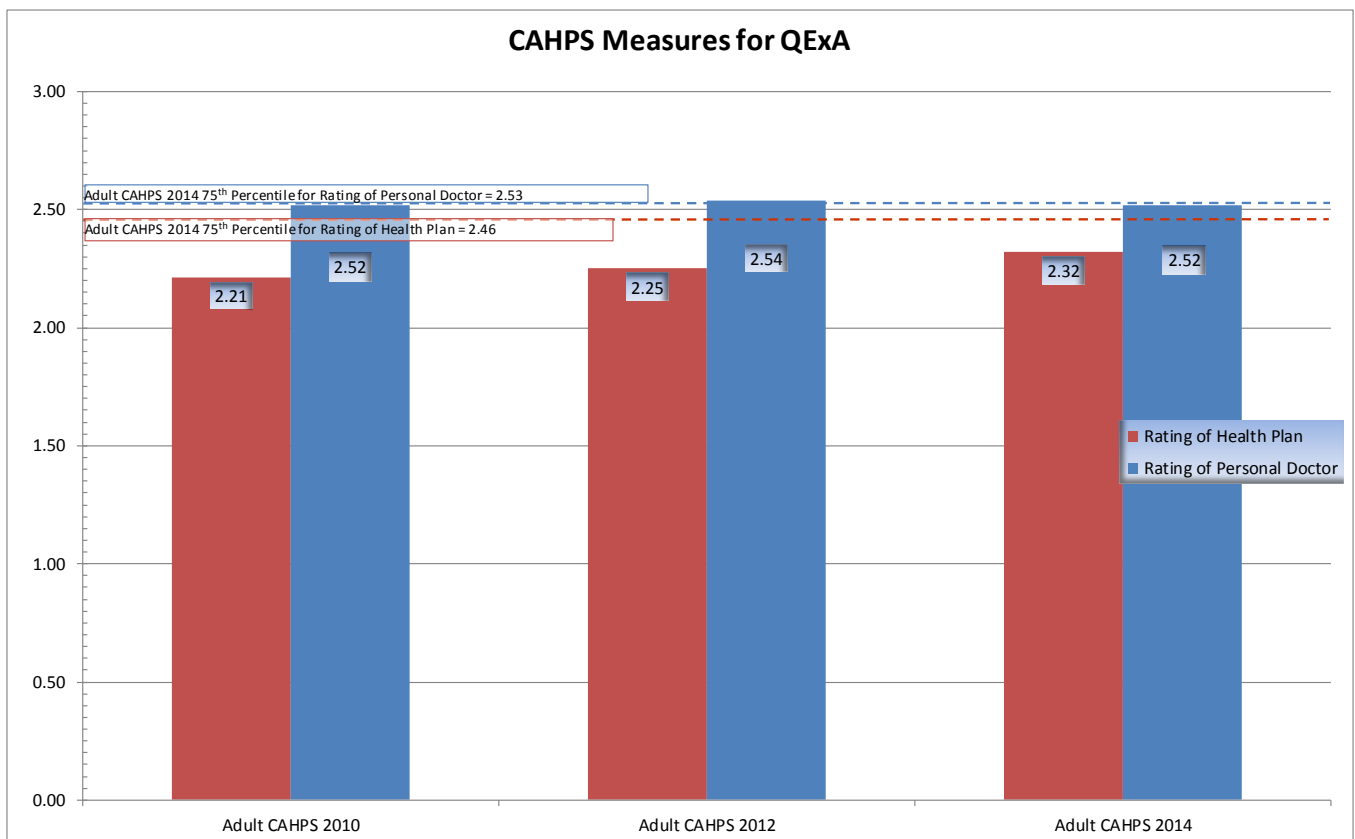


CAHPS for QUEST – Getting Needed Care:

- The statewide CAHPS – Getting Needed Care for the QUEST population varied between a high rate of 2.26 occurring in 2012 and the lowest rate of 2.22 occurring in 2008. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- There is no clear trend in the rates of the first three Adult surveys reported. Focusing on the Adult years, the rates move slightly up from 2.22 to 2.25 to 2.26 then decreased to 2.24 in 2014.
- The HI Quality Strategy target percentage for the CAHPS – Getting Needed Care is the 75th percentile of the national Medicaid population. For 2014, the latest year with national averages, this target was 2.41 which is higher than all of the reported years.

CAHPS for QUEST – Getting Care Quickly:

- The statewide CAHPS – Getting Care Quickly for the QUEST population varied between a high rate of 2.32 occurring in 2010 and the lowest rate of 2.28 occurring in 2008. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- There is no clear trend in the rates of the four years reported for the Adult surveys. For the Adult years, the rates moved sideways from 2.28 to 2.32 to 2.29 to 2.3.
- The HI Quality Strategy target percentage for the CAHPS – Getting Care Quickly, is the 75th percentile of the national Medicaid population. For the 2014 year, the latest year with national averages, this target was 2.45 that was higher than all of the reported years.



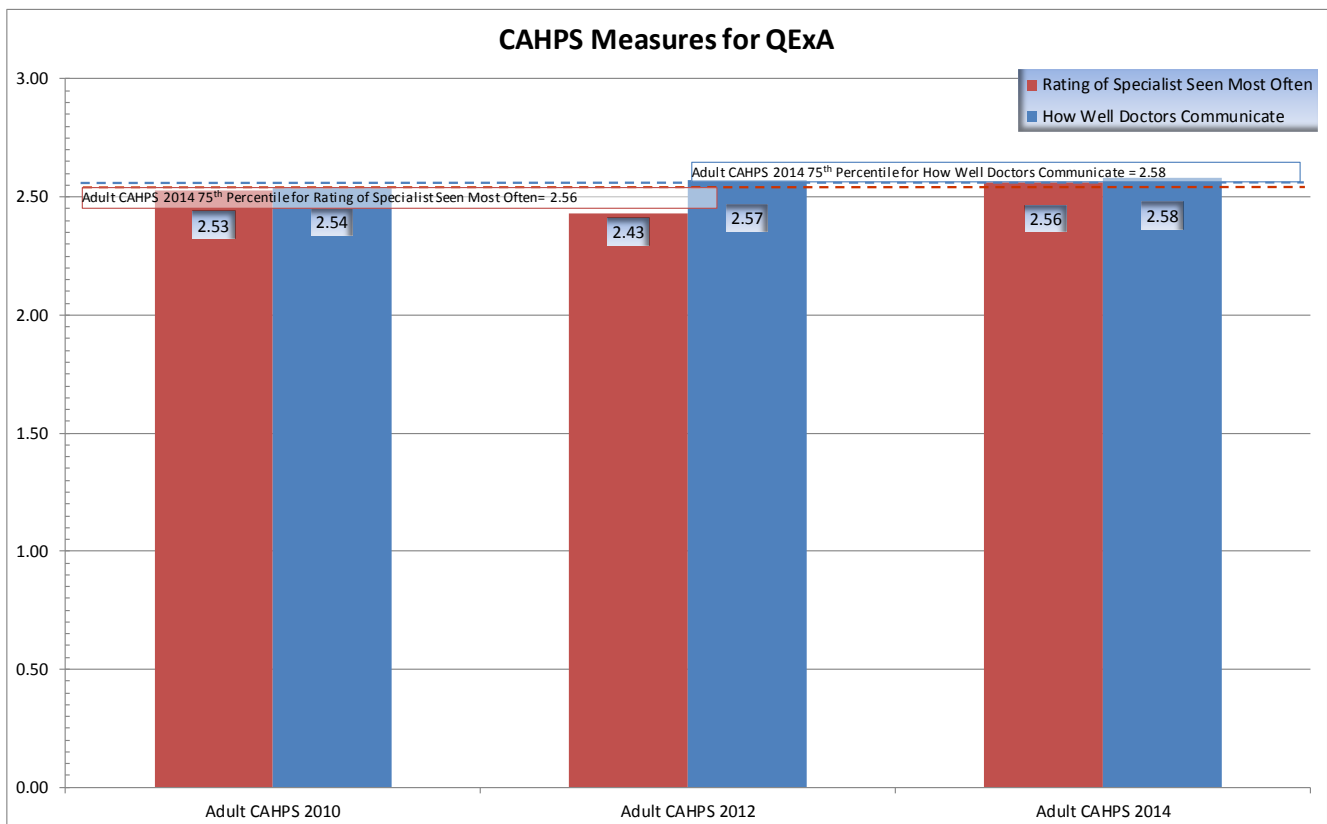
CAHPS for QExA – Rating of Health Plan:

- The statewide CAHPS – Rating of Health Plan for the QExA population varied between a high rate of 2.32 occurring in 2014 and the lowest rate of 2.21 occurring in 2010. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published. Also, note that the QExA program began in February 2009, which limits the number of data points.

- There is a clear uptrend in the rates of the three years reported. The low point in 2010 (2.21) was the first data point for the Adult population. The data for the Adult population has increased from 2.21 (2010) to 2.25 (2012) to 2.32 (2014).
- The HI Quality Strategy target percentage for the CAHPS – Rating of Health Plan is the 75th percentile of the national Medicaid population. For the 2014 year, this target was 2.53 that was better than all reported rates.

CAHPS for QExA – Rating of Personal Doctor:

- The statewide CAHPS Rating of Personal Doctor for the QExA population varied between a high rate of 2.54 occurring in 2012 and a low rate of 2.52 occurring in 2010 and 2014. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- There is no clear trend in the rates of the three years reported for the Adult surveys. The three years lie within a 0.02 point window.
- The HI Quality Strategy target percentage for the CAHPS – Rating of Personal Doctor is the 75th percentile of the national Medicaid population. For the 2014 year, the latest year with national averages, this target was 2.53 which was higher than 2010 and 2014 reported years’ rates (2.52) but lower than the 2012 rate (2.54).

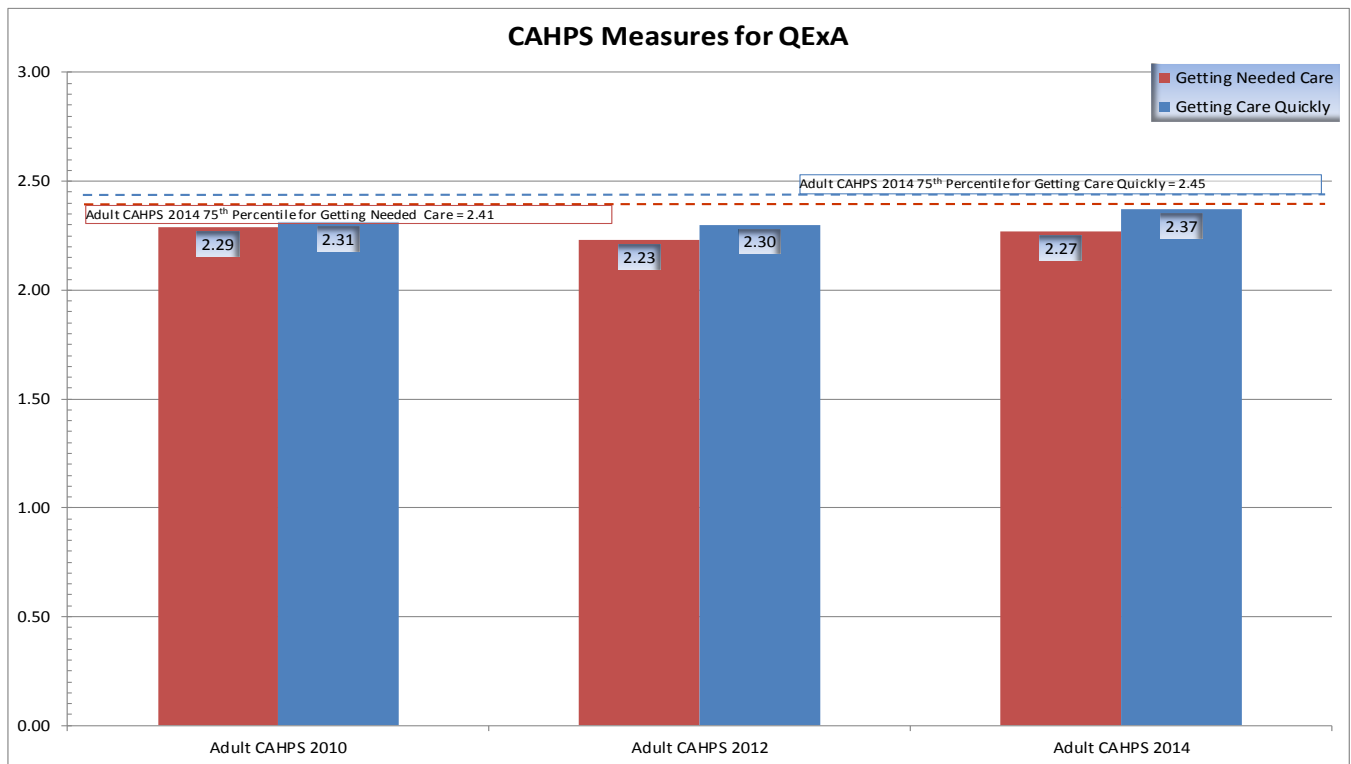


CAHPS for QExA – Rating of Specialist Seen Most Often:

- The statewide CAHPS – Rating of Specialist Seen Most Often for the QExA population varied between a high rate of 2.56 occurring in 2014 and a low rate of 2.43 occurring in 2012. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- The trend in the past year (2014) has increased, higher than the rate (2.53), when the survey commenced in 2010.
- The HI Quality Strategy target percentage for the CAHPS – Rating of Specialist Seen Most Often is the 75th percentile of the national Medicaid population. For the 2014 year, the latest year with national averages, this target was 2.56 that was achieved in 2014.

CAHPS for QExA – How Well Doctors Communicate:

- The statewide CAHPS – How Well Doctors Communicate for the QExA population varied between a high rate of 2.58 occurring in 2014 and the lowest rate of 2.54 occurring in 2010. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- The trend in the three years reported for the Adult survey is slightly increased. The Adult score moves from 2.54 to 2.57 to 2.58 from 2010 to 2014.
- The HI Quality Strategy target percentage for the CAHPS – How Well Doctors Communicate is the 75th percentile of the national Medicaid population. For the 2014 year, the latest year with national averages, this target was 2.58 that was met in 2014.



CAHPS for QExA – Getting Needed Care:

- The statewide CAHPS – Getting Needed Care for the QExA population varied between a high rate of 2.29 occurring in 2010 and the lowest rate of 2.23 occurring in 2012. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- There 2014 rate (2.27) is trending positively towards the highest rate of 2.29 from 2010 when the Adult survey commenced.
- The HI Quality Strategy target percentage for the CAHPS – Getting Needed Care is the 75th percentile of the national Medicaid population. For the 2014 year, the latest year with national averages, this target was 2.41 that is above each of the reported years.

CAHPS for QExA – Getting Care Quickly:

- The statewide CAHPS – Getting Care Quickly for the QExA population varied between a high rate of 2.37 occurring in 2014 and the lowest rate of 2.30 occurring in 2012. Note that alternating years have alternating survey populations, either Adult or Child. The results for the Child surveys were previously published.
- The Adult rates remained consistent from 2010 to 2012 but trending positively in 2014 with an all-time high of 2.37.
- The HI Quality Strategy target percentage for the CAHPS – Getting Care Quickly is the 75th percentile of the national Medicaid population. For the 2014 year, the latest year with national averages, this target was 2.45 that is higher than all of the reported year.

Med-QUEST Internal Measures

The Med-QUEST internal measures are included in this report to measure the financial aspects of the Hawaii Med-QUEST program. How is money being spent, and on how many and what type of recipients, is the focus of these measures.

The QUEST Expanded Access (QExA) program began February 1, 2009 and moved aged, blind, and disabled. One of the goals of QExA was to increase the percentage of nursing home level of care (LOC) clients in Home and Community Based Services (HCBS) provided to nursing home level of care (LOC) clients is an alternate service delivery model to traditional nursing home institutions. Instead of nursing home clients staying in an institution, they are out in the community and interacting. HCBS facilitate the continued social and mental stability of the client, as well as reduce the cost of serving this population. The average monthly \$ PMPM difference between a HCBS client and an institutional client was \$5,375 in SFY14. We look at both the increase in HCBS % of the total nursing home LOC population as well as the MQD's cumulative annual dollars saving from this increase in HCBS %. The cumulative dollar savings is calculated by determining taking the difference between the current year's HCBS % and the 2009 HCBS%, multiplying it by the total nursing home LOC population to get a monthly savings figure, and then multiplying it by twelve to get an annual savings figure.

The member month measure used is a sum of member months, and will consist of entire populations based on reports run at the end of each month. The capitation payment file is a detail of all capitation payments made to each plan, and is the source of member month data. This file has enrollments for retro payments reflected in the month that payment was made. Initial months are paid pro-rated daily amounts based on the start date. Termination always occurs at the end of the month, except for retro termination for disability or death.

Recent Initiatives on Measures

The following section will discuss initiatives that the health plans have started and also continued to improve the rates of the various measures discussed above.

HEDIS Initiatives

Use of Appropriate Medications for People with Asthma (ASM) Initiatives

- For one health plan, members with chronic conditions such as congestive heart failure, diabetes, and asthma were enrolled in and informed through a Disease Management Program.
- Providers were educated on HEDIS requirements and clinical practice guidelines semi-annually.
- Clinical Practice Guidelines are available web site, in the provider newsletter and the Provider Administrative Guide.
- Conferences, visits, reports and community programs were informative as well.

Comprehensive Diabetes Care (CDC) Initiatives

One health plan conducts causal/barrier analysis and evaluation of the efficacy of its interventions regularly.

- It updates interventions in response to identified barriers. In the *Diabetes Care* PIP, it changed its Pay for Quality measures and updated its reimbursement rate for diabetes education, based on an evaluation of specific HEDIS measure performance.
- It regularly conducts drill-down analyses during the review of interventions. For example, in the *Diabetes* PIP it analyzed comorbidities as a condition that may influence better control of HbA1c and found that members with diabetes and mental conditions had poorer control of their HbA1c. The intervention was adjusted to include coordination between medical and behavioral health.

The plan encourages flexibility and creativity at the provider level to address specific clinical and population needs. An example is the Advanced Hospital Care (AHC) program. The AHC incentivizes providers to lower admission rates while providing information about readmissions and other indicators.

- Specific to the AHC program, it sponsors collaboratives. For diabetes, as well as other clinical needs, it works with provider organizations to hold regular collaborative meetings to share best practices in clinical care and service.

In October 2013, another health plan updated the diabetes-related clinical practice guidelines, and informed its members and providers.

Another health plan reported that diabetes (HbA1c Control) has been a renewed priority in the region for 2013-2014. In addition to continuing its current processes, they trialed some new processes. Both are described as follows:

- Panel Support Tool (PST)- Tool used consistently by the PCP team to flag needed prevention and chronic disease gaps for each member at the point of care. It allows the PCP team to outreach to members who are not coming in to the clinic.
- Diabetes Education Classes are still available
- Electronic medical record system- Manages lab results for diabetes members.

- Patient Support Services (PSS) continues to be the central population management support for the PCP team for diabetes and cardiovascular disease members.
- Automated batch ordering of labs every six months continues for members with diabetes. Automated recorded reminders are used for members with overdue labs.
- To increase medication compliance, PSS staff members strive to ensure that Medicaid diabetes members receive a three-month supply of medications.
- A new process to address poor HbA1c control of >9 was begun in mid-2012. More recently, this effort has expanded to proactively reach members with A1c control of >8. Dedicated nursing and pharmacy PSS staff members assist PCP teams serving diabetes members with poorly controlled HbA1c.

Other Implementations By The Health Plans:

- New performance improvement projects (PIPs) in CY 2013, one of them a *Diabetes Mellitus* PIP. For this PIP, the plan implemented a cross-departmental PIP work group.
- The Study Indicator 1 title in the *Diabetes Care* PIP was clarified to indicate that the most recent HbA1c Test is referenced to ensure numerator compliance.
- One health plan allows members to self-refer for many specialties, including behavioral health. In 2013, another service was added to the list to allow members direct access to additional specialists.
- Service coordinators (SCs) performed outreach calls to members with diabetes care gaps. They educated members about the importance of diabetes management and reminded or assisted with scheduling appointments with the members' physicians.
- As a result of close collaboration between the health plan and its clinical partners, the plan was able to identify areas of opportunity that could be streamlined to remove unnecessary burden to the provider and to improve timely access to care and service for the member. An example is the change to the prior approval process to cover diabetic supplies for pregnant women with impaired glucose tolerance.
- Drill-down analysis of diabetic care gaps by city, gender, age, ethnicity/race, and PCP was completed in 2013 and compared to 2012. The analysis was mostly helpful in identifying the age group and cities on which to focus interventions.

Cholesterol Management for Patients with Cardiovascular Conditions (CMC) and Controlling High Blood Pressure (CBP) Initiatives

One health plan carried out the following to work on improving the *CDC* measures, especially the sub measures [*Blood Pressure <140/80* (to be retired in HEDIS 2015), *Blood Pressure <140/90* (to be moved to *Controlling Blood Pressure* measure in HEDIS 2015) and *HbA1c Testing*] below the 75th percentile:

- Instituted a new process using resources and tools from OPTUM (a health plan company) for medical record reviews (MRR) and implemented more frequent and more effective oversight by the health plan during the HEDIS season. Quality department staff members were also added. The health plan also monitors administrative data completeness quarterly.
- Disease Management Program – A source of information for members and providers.
- In 2013, community events were held and they distributed literacy promoting health education, health literacy, and preventive health care which included Taking Care of Your Heart, Healthy Weight, Healthy Life, and Preventive Health Care and Screenings

- PST is the tool used to indicate labs that are due (e.g., A1c, LDL) and recommend adjustments in medications for labs that are not at goal (e.g., adjustment of orals or addition of insulin for A1c or LDL labs that are not at goal).
- The PSS is also used as is the central population management support for the PCP team for cardiovascular disease members. This team of nurses and pharmacists helps contact members due for labs and/or medication pick-up and assists PCP teams with titrating medications to bring members to goal.
- Automated batch ordering of labs every six months continues for members with diabetes. Automated recorded reminders are used for members with overdue labs.
- Within one health plan's *Diabetes LDL* PIP, to provide the additional assistance to the PCP team, PSS has been focusing outreach toward Medicaid diabetes members, regardless of whether or not the member has been referred to PSS. In addition to the reminder calls about labs, PSS also assists in titrating medications to get A1c and LDL levels to goal.

Childhood Immunization Status (CIS) Initiatives

One health plan has initiated a strategy for 2014 to improve completeness of claims/encounter and laboratory data by adding supplemental databases from electronic health record (EHR) files. EHR files will contain more complete data on laboratory results, immunizations, and other elements not included on claims. It was proactive in submitting supplemental databases inputted for laboratory results, childhood immunizations, and chlamydia screening and included an EHR file from its largest provider.

In addition, another health plan has ongoing disease management programs that remind members of preventive screenings and have several outreach programs to educate members on chronic condition management and preventive care. The following lists the various outreach programs such as the Centralized Telephonic Outreach program that assists with scheduling appointments with their physicians.

To facilitate better access, another health plan has implemented its Patient-Centered Medical Home (PCMH) model focusing on open access. It recommended that providers conduct pre-visit planning to ensure that members have adequate time for their needs. For example, during a pre-visit planning session, a member identified as requiring an EPSDT visit would be scheduled for a 30–45 minute time slot. This allows for a thorough visit with time to administer immunizations and perform diagnostic tests.

Breast Cancer Screening (BCS), Cervical Cancer Screening (CCS), & Chlamydia Screening in Women (CHL) Initiatives

Outreach To Members:

- Another plan continued to be an active partner in the “a hui for WE” (Wellness Events) movement which provided actionable information for individuals in an effort to motivate them to work and focus on better health. It also continued its partnerships with the Women’s Health Center at The Queen’s Medical Center.
- HEDIS toolkits, which included a Personal Care Preventive Care Checklist for providers, were distributed during quality-focused provider visits. Providers were encouraged to use this checklist to help them identify other screening, tests, vaccines, or assessments needed when a patient comes in for an office visit.
- Ongoing disease management programs and periodicity letters remind members of preventive screenings

- Service coordinators (SCs) performed calls to members with chlamydia care gaps. They educated members about the importance of chlamydia screenings and reminded or assisted with scheduling appointments with the members' physicians. The service coordinators and case managers also accessed care gaps via EMMA (a clinical electronic medical record) and addressed them with members when they completed annual health and functional status assessments.

For one health plan, network PCPs do not have to ask for permission to refer a member to a network specialist or provider. A PCP may simply call and/or fax a referral directly to the network specialist or provider for services. Members may self-refer for women's health and family planning services.

Ambulatory Care (AMB) Initiatives

Outreach To Members To Decrease Inappropriate Emergency Room (ER) Utilization:

In review of the top diagnoses for ER visits/1000 in 2013, one plan observed that the majority of ER visits were related not to particular diseases, but to symptom management. In February 2014, the plan pulled (by diagnosis) the top 20 members over utilizing the ER. These members were discussed at the plan's Hospital Utilization Review and Readmission Team (HURRT) meetings. It was noted that many of these members had been designated "unable to contact." A few members were contacted and then plans were developed to educate them about going to their PCP or psychiatrist for some less urgent issues. Also, the possibility of using the Nurse Advice Line and/or Urgent Care centers was reinforced.

For those members still unable to be contacted and with no other outreach avenues despite intense review of their claims, authorizations, and documents, the plan highlighted the member as a "member alert" in its electronic system. If such a member is admitted or if the ER calls the health plan, the plan will assign a service coordinator to go to the facility to perform a health and functional assessment and provide education/training on alternatives to using the ER. In addition, the service coordination staff members receive a report of high ER utilizers at least quarterly in order to identify members who may need assistance with alternate services. As a result, the plan has observed decreases in ER utilization and readmission rates for those members presented to the HURRT.

In 2014, a health plan partnered with Home Outreach Program & E-health (H.O.P.E), a chronic disease management program that helps high-risk patients manage symptoms. The H.O.P.E program uses daily monitoring and feedback from telehealth nurses to reduce both emergency room visits and hospitalizations.

Provider Relations contracted with two new urgent care centers in late 2013, and the service coordinators have been educating members about this avenue for urgent care type services.

CMS-416 EPSDT Measures Initiatives

For one health plan, service coordinators currently maintain a resource file that includes updated listings of providers and specialists in various geographical areas who have open panels and are accepting new patients. The resource file is continually updated, expanded, and shared through e-mail blasts among service coordinators. The plan's EPSDT coordinator follows up on referrals documented on the EPSDT forms (8015 and 8016 forms) to ensure that pediatric members follow through on referrals made. In addition, the plan does not require a PCP to obtain authorization for a referral to an in-network specialist. This ensures that there are no delays with specialty referrals.

To facilitate better access, another plan has implemented its PCMH model which includes reviewing scheduling patterns from providers and recommending an attempt to shift to an open access scheduling model. The open access scheduling model allows for blocks of time that are free for same day appointments and walk-ins, helping minimize wait times for scheduled members. The health plan recommended that providers conduct pre-visit planning to ensure that members have adequate time for their needs. For example, during a pre-visit planning session, a member identified as requiring an EPSDT visit would be scheduled for a 30–45 minute time slot. This allows for a thorough visit with time to administer immunizations and perform diagnostic tests.

Through one plan's outreach program, an EPSDT coordinator outreached to pediatric members to educate and assist with scheduling appointments for well-visits and immunizations updates.

CAHPS (QUEST & QExA) Initiatives

As part of its strategy to improve CAHPS, the health plans supports and promotes the following activities that build the provider-patient relationship and the importance of members' engagement in their care, which can lead to better satisfaction and access to care.

Rating Of Health Plan And Customer Service

Ways The Health Plans Assessed And Evaluated The Membership Experience With The Health Plan:

- Quarterly focus groups
- Member grievances related to appointment availability or access to care are monitored real time for investigatory purposes and trended quarterly to identify providers receiving multiple complaints regarding access.
- Plan's Community Advocacy staff held health presentations monthly throughout the State where members may provide feedback about member experience (which is then brought to the appropriate department for follow up).
- QUEST Timely Access and telephonic timely access Surveys monitored access to care to measure appointment availability.

Actions Taken By Health Plans To Ensure Personnel Are Equipped To Address And Take Care of Member Concerns

Customer Service:

- New hire onboarding and on-going training provided
- Training for customer service staff includes average speed of answer, service levels, average handling time, customer satisfaction, first call resolution, and quality to measure the success of service and the ability to assist members.
- Focuses on various metrics which are tracked monthly and evaluated. When metrics are not met, analysis is conducted and corrected accordingly.
- CAHPS Associate Monthly Award program recognized actions which encompassed the core ways of increasing member satisfaction.
- Quality Improvement Team- quality-focused in-service training sessions for all departments.
- Member surveys done to gather member perception regarding wait time standards and experiences in getting in to see a provider.

Follow-Up Calls:

Health Services team, disease management nurses, the Complex Case Management program and service coordinators call members when they close the program or are discharged to determine if members were satisfied with the services. The health plan reported 85 to 95 percent satisfaction rates for these programs. Examples of areas for improvement noted

Workgroups Created To Improve Health Care Processes:

- Utilization Medical Advisory Committee (UMAC) - Engages in the plan's processes with physician attendance and reviewing and monitoring of processes and data, making recommendations as needed.
- Quality Improvement Intervention Workgroup (QIIW) - Takes a collaborative approach to improving quality health care.
- Members Matter Advisory Committee (MMAC) - To have and strengthen a formal means of communication with members.
- Member Advisory Group (MAG) - Advises on issues concerning the overall member experience.

Getting Needed Care & Getting Care Quickly Initiatives

Efforts By The Health Plans To Expand Access To A Provider:

- "Find a Provider"- An online tool.
- Customer Services
- Self-Refer for Specialists- Allows members to self-refer for many specialties.
- The access and availability grant program provided funding, providing \$300,000 in grants, to neighbor island providers to recruit new primary care and behavioral health practitioners to their communities.
- Enhancement of the PCMH model which improved patient access, including assigning patients to a designated primary care team, developing open access scheduling, and redefining care team member roles to free up appointment access and accommodate same day services. Six of these health centers have now received PCMH recognition from NCQA.
- As part of the PCMH program, an incentive to include a stipend to providers who were open panel and willing to accept new 'members.
- Services that are available twenty-four hours day, seven days a week: 24/7 Nurse Call Line, 24/7 Access and Live Nurse Chat are
- In 2014, the Teladoc service, a 24/7 access to a doctor via phone and online video consultations relayed through NurseLine, was upgraded with the NowClinic, an online care solution platform to connect members and providers.
- In-home visits by health care practitioners to assess health conditions and evaluate members' current health care needs and make recommendations.

Methods Utilized By The Health Plans To Assist With Preventive Visits, Appointment Scheduling And Screenings Due:

- Cozeva – An online tool.
- Centralized Telephonic Outreach Program - Also includes care gap and assists with transportation and interpretation services when needed.
- “Max-packing”- Appointments are consolidated around members’ transportation availability and is conducive to meeting with the member face to face while they are at one location for multiple appointments.
- Member education sessions on various health topics as well as emphasizing the need to communicate with their doctors.
- Periodicity letters sent to members are specific to gender, age, and chronic conditions. Also explains the importance of these visits and what to expect. This encourages communication regarding their health care and/or treatment options.
- Patient Reminder Cards
- “Quick reference card”- Includes all important phone numbers
- Informative handbooks
- In 2013, the Hawaii 5-2-1-0 information (related to Hawaii’s campaign to promote healthy lifestyles and prevent childhood obesity) and member handbook was translated into other languages such as Ilocano, Korean, Chinese, and Vietnamese in an effort to improve patient health literacy.

Methods Utilized By The Health Plan To Address And To Assist With Care Gaps:

- Personal Health Record (A piloted project) - Remains in member’s home and is updated at each face-to-face visit.
- CARE Connects links to members through the customer service phone lines.
- “Family-Centered Care Self-Assessment Tool”- Increases outpatient health care providers’ and families’ awareness about the implementation of family-centered care
- Information about the referral process and quick reference guides are available on the plans’ website, distributed in-person or by mail.
- No-show appointment follow-up process revitalized for one health plan
- Care Gap Reports- Given to providers and available via the provider portal. It has a built-in reminder system for services due and overdue.
- Created and deployed a new set of documents for the Service Coordinators to share with the member that will improve their understanding of their benefits, and how the plan supports these benefits.
- Warm transfers of all medication-related calls from Customer Service to pharmacy staff.
- Pharmacy and Therapeutics (P&T) Advisory Committee regularly reviews the formulary to ensure medically appropriate and cost-effective drugs are accessible. In addition, certain system edits are in place to check to ensure that members are using their medications safely and that drugs are monitored for effectiveness.
- As a result of close collaboration between the health plan and its clinical partners, one health plan was able to identify areas of opportunity that could be streamlined to remove unnecessary burden to the provider and to improve timely access to care and service for the member. An example is the change to the prior approval process to cover diabetic supplies for pregnant women with impaired glucose tolerance.

- Close collaboration between the health plan and its clinical partners, the health plan was able to identify areas of opportunity that could be streamlined to remove unnecessary burden to the provider and to improve timely access to care and service for the member. An example is the change to the prior approval process to cover diabetic supplies for pregnant women with impaired glucose tolerance

Rating Of Personal Doctor

Actions Taken By Health Plans To Ensure Quality Provider Performance:

- Providers are educated about member rights to choose a specialist as a PCP to encourage the right match of providers.
- Surveys are conducted to determine provider compliance with appointment availability standards. Providers identified as noncompliant with the standards are provided direct education and feedback.
- Practice Matters provider newsletter and the Provider Administrative Guide distributed.
- Educational and informative articles such as “Communicating Effectively for Coordination of Care” are included.
- Health presentations given monthly throughout the State which integrated the necessity of feeling comfortable talking with one’s provider.
- Access to online tools that make communication with their providers easy and convenient
- System enhancements are conducted frequently to remain current with the latest technologies. Those systems assist in completing, tracking, monitoring, and trending reports.
- Providers are encouraged to render the best care to its membership and to promote open communication including nonverbal communication such as ensuring eye contact and active listening.
- Provider relations representatives educate providers on accessibility of timely appointments required by Med-QUEST and NCQA during provider orientation and ongoing education sessions. Providers not meeting requirements may be expected to produce a corrective action plan.
- Providers are trained on members’ rights and their responsibilities to adequately care for members. Provider wait times are also monitored and tracked through grievances. If a complaint is received, staff reaches out to the provider, investigates, educates, and provides feedback on findings.
- In 2013, primary care providers were given monthly “report cards” showing their performance on selected HEDIS performance measures. Feedback from providers on these reports has been positive.
- Cultural competency improvement initiative for providers.

Physicians’ Assessment Initiatives

Efforts Made By The Health Plans To Increase Provider Satisfaction:

- Improved the knowledge base of their employees through various training modalities and initiated improvements to the prior authorization (PA) process.
- Office Advisory Group- Consists of the providers’ office staff who are also being engaged in the plan’s processes.

- Changes to PA requirements to reduce the burden on providers. These changes are being implemented in 2014.
- Improved system capability to allow online submission and approval of PAs is being implemented.
- Conducted provider training sessions in person on all islands to improve provider understanding of requirements and to address provider issues and concerns.
- PCPs may use an online secure portal to request PA for referrals to out-of-network specialists/providers. These requests are reviewed and responded to within the time frame allowed by the MQD. Providers are encouraged to call in “URGENT” requests to ensure timely review and response.
- Network providers are notified regularly in writing and at least 30 days in advance of any drugs deleted and/or added to the formulary.
- Direct servicing offered without going through a local vendor as done in the past. The newly formed provider advocate team has been trained to assist providers with educational needs, claims resolution, and contracting needs. This new model is designed to ensure that providers have access to the resources available to them and to ensure that claims are paid timely and accurately. Direct provider servicing aims to enhance the provider’s experience.
- Aerial, a health care management platform implemented in early 2013, helps monitor and track authorization requests from date of receipt to date of outcome decision. An online tool to accept/process authorizations is also available.
- Created and implemented a tool—Clinical Guidelines for Authorization—in collaboration with some providers selected to participate in the Office Advisory Group.
- Training Sessions provided based on provider-and member-specific issues and trends, or high volume inquiries. Staff member are also given one-on-one coaching to ensure servicing/knowledge consistency and competency.
- Areas of opportunity identified that could be streamlined to remove unnecessary burden to the provider and to improve timely access to care and service for the member.
- Providers reminded of the ability to submit and check status of PAs online.

Plans’ All-Cause Readmission Initiatives

- Implemented a new 30-day hospital readmission program called AHOP (After Hospital Outreach Program) targeting members with congestive heart failure to help prevent hospital readmissions. Interventions include health education, follow-up appointments, transportation, and collaboration with PCP.
- Submitted a Preventive Care Checklist of HEDIS-related tests and procedures to the State and is awaiting approval for its use for members.
- In February 2014, one health plan pulled (by diagnosis) the top 20 members over utilizing the ER. These members were discussed at the HURRT meetings. This includes an interdisciplinary team of clinical staff (medical, social work, and behavioral health), managers, and medical directors (medical and behavioral health) to review the “super utilizers” (i.e., top 1 percent of utilizers, complex medical/behavioral health cases). Case reviews are presented on the members most frequently readmitted to the hospital and/or with the highest ER usage and provide a comprehensive recommendation to the specific service coordinator/case manager to incorporate in the member’s care plan. This health plan reports that it has seen a decrease in readmission rates for those members who had interventions through this interdisciplinary team. As stated previously, the utilization of the HURRT has decreased ER utilization and readmission rates for those members presented to the HURRT.

- A new performance improvement projects (PIPs) in CY 2013 was *Plan All Cause Readmissions*.
- In 2014, one health plan partnered with H.O.P.E. to reduce both emergency room visits and hospitalizations.
- In late 2013, one plan introduced its member/family-centric Clinical Effectiveness Initiative (CEI) Model. The CEI Model is based upon a fundamental whole-person approach across all points of service and the continuum of care.

Home and Community Based Services (HCBS) Initiatives

- Streamlined ability to receive HCBS instead of nursing facility placement since start of QExA
 - By moving HCBS from the 1915(c) waivers into an 1115 demonstration waiver in health plans, MQD was able to minimize the silos that existed previously to “get into a waiver.”
 - Health plan members are assessed for their choice of placement for long term supports and services (LTSS).
 - Choices offered include:
 - Their home with support provided by home care agencies or family members provided as a health plan paid consumer-directed personal assistant
 - Residential settings such as community care foster family homes or assisted living facilities
 - Institutional setting
 - Once member is assessed for needing long term supports and services, health plans are able to provide LTSS within approximately thirty (30) days.
 - DHS had a wait list of approximately 1,000 for all four 1915(c) waivers combined prior to QExA implementation
- Standardized assessment tools for HCBS
 - At the start of QExA, MQD and the health plans developed a standardized personal assistance and skilled nursing tool to assure consistency with health plan assessments for receipt of HCBS
 - The use of these assessment tools have helped to streamline receipt of services

Hawaii Medicaid Enrollment Initiatives

- MQD is focused on assuring processing of applications for Medicaid within 45-days or else providing presumptive eligibility.

- From July 1 to September 30, 2013, MQD has enacted eligibility for beneficiaries' five-days prior to submittal of application to assure that medical services received will be covered. Effective October 1, 2013, MQD enacted eligibility for beneficiaries, ten-days prior to submittal of application.
- MQD has amended its 1115 demonstration waiver to provide eligibility up to 133% (with a 5% disregard) of Federal Poverty Level for implementation of ACA.

Other Quality Projects

MQD continues to work on strategies and measures related to home and community based services, which will affect mostly our QExA health plans, the Developmental Disability and Intellectual Disability (DD/ID) program, and the Going Home Plus (GHP) program. MQD started implementing CMS' Quality Framework for Home and Community Based Services (HCBS) in SFY2012. The quality grid included measures that span the six assurances and sub-assurances of level of care, service plans, qualified providers, health and welfare, financial accountability, and administrative authority.

MQD developed behavioral health monitoring tools to measure the transition and on-going implementation of providing behavioral health services for Hawaii's Medicaid SMI population. Some of the areas measured include:

- Services provided
- Health plans meeting case management acuity (i.e., assuring that case managers are meeting with their clients in accordance with timeframes established during a psychosocial assessment)
- Acute psychiatric hospitalizations
- Discharge planning and follow-up with seven days after an acute psychiatric hospitalization
- Management of sentinel events

Measures for inpatient care and long-term care will need to be developed in the future in partnership with our stakeholders. Measures for the QUEST and QExA populations will vary.

Our quality approach aspires to 1) have collaborative partnerships among the MQD, health plans, and state departments; 2) advance the patient-centered medical home; 3) increase transparency- including making information (such as quality measures) readily available to the public; 4) being data driven; and 5) use quality-based purchasing- including exploring a framework and process for financial and non-financial incentives.

Quality Activities during the demonstration year

The State of Hawaii, Med-QUEST Division has a contract with Health Services Advisory Group (HSAG) to perform its EQRO activities. In 2014, MQD moved into the second of its three year cycle for mandatory external quality review that is described in Code of Federal Regulations (CFR) at 42 CFR 438.358. For this review, the HSAG performed a desk review of documents and an on-site review of the re-evaluation of health plan compliance that included reviewing additional documents and conducting interviews with key staff members from each health plan. HSAG evaluated the degree to which each health plan complied with federal Medicaid managed care regulations and associated State contract requirements in performance categories (i.e., standards) that related to the access and measurement and

improvement standards in 42 CFR 438.214-230, Subpart D. The five standards included requirements that addressed the following areas:

- Subcontractors and Delegation
- Credentialing
- Quality Assessment and Performance Improvement
- Health Information Systems
- Practice Guidelines

Each health plans was provided a report that described their areas of success as well as areas for improvement. Corrective Action Plans (CAP) was required for areas requiring improvement. Across all five plans subcontractors and delegation had the highest number of CAPs.

HSAG performed Performance/HEDIS validation reports as well as PIP reports. In regards to the PIPs, in 2014:

- All health plans performed well in the Design stage. This indicates plans demonstrated the ability to document required information for that stage of their PIPs. The health plans designed scientifically sound studies supported by use of key research principles. The design of the PIPs promoted progression to the next stage of the PIP process.
- All health plans performed well in the Implementation stage. These findings suggest health plans accurately documented a thorough process for analyzing data, identifying barriers, and developing interventions.
- All health plans' PIPs received an overall *Met* validation status.

A variety of suggested activities was provided to the health plans that included conducting causal barrier analysis and improving PIP documentation. Other EQRO activities include the completion of the CAHPS Child survey for the CHIP population and CAHPS Adult survey for each health plan with the finalization of reports.

In addition, the EQRO completed the Annual Technical Report, which includes follow-up and updates from the previous year's Technical report submitted from the health plans. The Annual Technical Report is posted on the MQD website. We also continue to do inter-rater reliability reviews with our PRO level of care determinations.

We are continuing to actively working on strategies and measures related to home and community based services. These include establishing guidelines and reporting requirements as well as oversight of grievance and appeals processes, nursing assessments, among others. We have met with the health plans to do an overview, and we will follow-up with regular meetings with the health plans specifically for the implementation of HCBS monitoring.

Most importantly, we are establishing and implementing an internal quality flow processes that will guide all quality activities from reporting to analysis to corrective action to system changes. We are establishing Quality Committees and Leadership Teams according to the Quality Strategy.

Improvement of Health Plan Report Forms and Monitoring Tools

In demonstration year 20, MQD continues to align the report forms and monitoring tools for these programs wherever possible. MQD is developing tools for health plan reporting and review tools for MQD staff to use to standardize report analysis. This process is ongoing and will continue into demonstration year 21. Prior to any health plan report tool being issued, MQD receives input from the QUEST and QExA health plans. MQD has templates implemented for all reports submitted.

Cost of Care

Financial Performance of the Demonstration

The Demonstration expended approximately \$670 million to provide services to Medicaid clients in Hawaii (both State and Federal funds). See Attachment C for summary of financial expenditures for demonstration year 20 (July 1 to December 31, 2013).

Financial/Budget Neutrality Development/Issues

The MQD submitted budget neutrality for each quarter in demonstration year 20.

Member Month Reporting

A. For Use in Budget Neutrality Calculations

| Without Waiver Eligibility Group | July to September 2013 (1st qtr totals) | October to December 2013 (2nd qtr totals) | January to March 2014 (3rd qtr totals) | April to June 2014 (4th qtr totals) |
|---|---|---|--|---|
| Children (EG1) | 431,322 | 332,052 | 346,435 | 343,968 |
| Adults (EG2) | 308,635 | 153,459 | 168,664 | 166,311 |
| Aged (EG3) | 63,574 | 64,888 | 67,922 | 68,387 |
| Blind/Disabled (EG4) | 73,164 | 73,594 | 74,414 | 74,844 |
| EG 5-VIII-Like Adults | N/A | 171,985 | 6,985 | 378 |
| EG 6-VIII Group Combined | N/A | | 205,646 | 235,272 |

B. For Informational Purposes Only

| With Waiver Eligibility Group | July to September 2013 (1st qtr totals) |
|--|---|
| State Plan Children | 330,313 |
| State Plan Adults | 114,550 |
| Optional MQD Plan Children | |
| Optional MQD Plan Children MCHP | 90,345 |
| CHIPRA | 10,490 |
| Foster Care Children | 174 |
| Medically Needy Adults | |
| Demonstration Eligible Adults (QUEST & QUEST-Net Adults) | 99,766 |
| Demonstration Eligible Adults (QUEST-ACE) | 94,319 |
| Aged with Medicare | 58,780 |
| Aged without Medicare | 4,784 |
| Blind/Disabled with Medicare | 30,715 |
| Blind/Disabled without Medicare | 42,370 |
| Breast and Cervical | 79 |

| With Waiver Eligibility Group | October to December 2013 (2nd qtr totals) | January to March 2014 (3rd qtr totals) | April to June 2014 (4th qtr totals) |
|---------------------------------------|---|--|---|
| State Plan Children | 331,573 | 345,846 | 343,241 |
| State Plan Adults | 142,023 | 168,105 | 166,216 |
| Aged | 64,888 | 67,922 | 68,387 |
| Blind or Disabled | 73,594 | 74,414 | 74,844 |
| Expansion State Adults | | 72,106 | 98,896 |
| Newly Eligible Adults | | 113,540 | 136,376 |
| Optional State Plan Children | | | |
| Foster Care Children, 19-20 years old | 479 | 589 | 727 |
| Medically Needy Adults | | | |
| Demonstration Eligible Adults | 11,436 | 559 | 95 |
| Demonstration Eligible Children | | | |
| VIII-Like Group | 171,985 | 6,985 | 378 |

Audits and Lawsuits

Audits

The MQD undergoes a single-state audit annually. There were no finding related to the 1115 waiver from July 1, 2013 through June 30, 2014. MQD provides CMS with a copy of the audit findings annually.

Lawsuits

One member filed a lawsuit in circuit court related to health plan's processing of denial of transportation services (airplane) for a non-Medicaid child who is traveling with his/her family from Hawaii to the Continental United States for medically necessary services for his/her siblings. The DHS prevailed in this lawsuit in 2014. The lawsuit was appealed to the Intermediate Court of Appeals for review; DHS prevailed in the appeal. The member is able to either request a reconsideration or file with the Hawaii supreme court.

Demonstration Programmatic Information specific to QUEST Expanded Demonstration QUEST, QUEST Expanded Access (QExA), and Fee-For-Service (FFS) Concerns

The MQD has two areas that address consumer issues. The MQD Customer Service Branch and the Health Care Services Branch, Quality and Member Relations Improvement Section (HCSB/QMRI). Both of these areas addressed consumer issues for the QUEST, QExA, and Fee-For-Service (FFS) programs. As telephone calls come into the MQD Customer Service Branch, if related to client or provider problems with health plans (either QUEST or QExA), they transfer those telephone calls to the HCSB. The clerical staff person(s) takes the basic contact information and assigns the call to one of the social workers. MQD tracks the calls and their resolution through an Access database. If the clients' call is an enrollment issue (i.e., into a QExA health plan), then the CSB will work with the client to resolve their issue. Below are charts for QUEST, QExA, and the FFS program for DY 20.

QUEST Consumer Issues

During the demonstration year 20, the HCSB/QMRI, as well as other MQD staff, processed approximately 15 member and provider telephone calls and e-mails (see table to the right) for the QUEST program.

| | Member | | | Provider | | |
|--------------------------|-----------|-----------|-----------|----------|-----------|-----------|
| | QUEST | QExA | FFS | QUEST | QExA | FFS |
| July to September 2013 | 5 | 21 | 3 | 0 | 15 | 12 |
| October to December 2013 | 0 | 20 | 2 | 0 | 9 | 11 |
| January to March 2014 | 2 | 21 | 2 | 1 | 9 | 14 |
| April to June 2014 | 5 | 32 | 6 | 2 | 10 | 16 |
| Total | 12 | 94 | 13 | 3 | 43 | 53 |

Through implementation of the QExA program, HCSB/MPRS has formalized processes to address consumer issues. The processes have been formally communicated to the public through the QExA program, but not yet for the QUEST program. Despite communication during SFY2013, HCSB/QMRI has not seen a larger number of consumers contact us regarding the QUEST program.

QExA Consumer Issues

During the demonstration year 20, the HCSB/QMRI staff, as well as other MQD staff, processed approximately 94 member and provider telephone calls and e-mails (see table above). These numbers are not distinct members or providers, but are distinct issues. The number of calls from members is approximately 60% than from last year (SFY13) and approximately 32% of the start of QExA when the HCSB received approximately 73 member calls in the first quarter of 2009.

The number of provider calls is approximately 13% of the number of calls that the HCSB staff received in the first quarter of 2009- January to March 2009 (82 provider calls).

FFS Consumer Issues

During the demonstration year 20, the HCSB/MPRS, as well as other MQD staff, processed approximately 66 member and provider telephone calls and e-mails (see table above). These numbers are not distinct members or provider, but are distinct issues. As noted, this number continues to increase each quarter. Through implementation of the QExA program, HCSB has formalized processes to address consumer issues. The processes have been formally communicated to the public through the QExA program, but not yet for the FFS program. In addition, though the FFS program is small, HCSB continues to receive calls from both FFS members and providers.

The MQD and the QExA health plans continue to have two regularly scheduled meetings. One of the

meetings is a monthly meeting with the Case Management Agencies. The meetings with these agencies are focused around continually improving and modifying processes within the health plans related to HCBS. In addition, a QExA transition group formed on the island of Maui. This group meets bi-monthly to address Maui specific issues regarding QExA. The members of this group are mostly other State agencies as well as a few provider groups (i.e., one of the FQHCs on Maui) and a few QExA consumers. The primary issue being addressed at this time is growing the health plans provider networks on Maui.

Most of the communication with providers occurs via telephone and e-mail at this time. The MQD will arrange any meetings with QUEST or QExA health plans and provider groups that are requested.

The MQD estimates that provider call volume has decreased due to frequent meetings with the providers throughout the program as well as the health plans addressing provider issues when the health plan is contacted first.

Appeals

During the demonstration year 20, the HCSB processed 30 appeals (see table to below). All of these appeals were appealing the health plans decision to reduce or deny services. In these appeals, the hearing officer felt that the actions taken by the health plan were not appropriate (i.e., the appeal was overturned) in 3 of the 11 appeals (27%). The hearing officer felt that the actions taken by the health plan were appropriate (i.e., the appeal was upheld) in 8 of

| Category | # |
|---|----|
| Submitted | 30 |
| DHS resolved with health plan in member's favor prior to going to hearing | 19 |
| Hearings | |
| Resolution in DHS favor | 8 |
| Resolution in Member's favor | 3 |

| Types of Appeals | # |
|--|----|
| Medical | 7 |
| LTSS | 13 |
| Other: Wheelchair, Medications, Transportation | 4 |

the 11 appeals (73%). In addition, 19 of the 30 appeals through administrative resolution were withdrawn or dismissed because MQD did not agree with the health plan's denial or reduction or the member had not gone through the health plan appeal process first. In these situations, through MQD's intervention, the beneficiaries received the services that they had submitted the appeal for initially. Administrative resolution was approximately 63.3% of the appeals.

Enrollment of individuals

| | # |
|---|--------|
| Individuals who chose a health plan when they became eligible | 194 |
| Individuals who changed their health plan after being auto-assigned | 12,557 |
| Individuals who changed their health plan outside of allowable choice period (i.e., plan to plan change) | 521 |
| Individuals in the ABD program that changed their health plan within days 61 to 90 after confirmation notice was issued | 42 |

The DHS enrolled approximately 34,400 members from October 1, 2013 to June 30, 2014. Of this group, 194 chose their health plan when they became eligible, 12,557 changed their health plan after being auto-assigned.

In addition, DHS had 521 plan-to-plan changes from October 1, 2013 to June 30, 2014. A plan-to-plan change is a change in enrollment outside of the allowable choice period. Both health plans (the losing and the gaining health plan) agree to the change. Changes are effective the first day of the following month.

In addition, 42 individuals in the QUEST Expanded

Access (QExA) program changed their health plan during days 61 to 90 after a confirmation notice was issued.

Behavioral Health Programs Administered by the DOH and DHS

The DHS assumed approximately 3,700 individuals from the Adult Mental Health Division (AMHD) under the Department of Health (DOH) on September 1, 2013. In addition, MQD transitioned approximately 1,500 individuals from the QUEST program to the behavioral health program called Community Care Services (CCS) program. Individuals in CCS have a Serious Mental Illness (SMI) diagnosis with functional impairment. The Medicaid beneficiaries who continue to receive services from AMHD are legally encumbered. These individuals are under court order to be cared for by AMHD. The information provided in the table above identifies that the AMHD continues to provide services to 261 Medicaid beneficiaries. The CCS program has a little over 6,000 individuals.

| Program | 6/30/14 |
|---|----------------|
| Adult Mental Health Division (AMHD/DOH) | 261 |
| Child and Adolescent Mental Health Division (CAMHD/DOH) | 3,300 |
| Community Care Services (CCS/DHS) | 6,025 |

The Child and Adolescent Mental Health Division (CAMHD) under the DOH provides behavioral health services to children from ages three (3) through twenty (20). CAMHD is providing services to approximately 3,300 children as of June 30, 2014.

Reporting

The MQD receives reports consistent with the reporting requirement in the QUEST and QExA RFPs. MQD staff review quarterly and annual reports for compliance with the QUEST and QExA programs.

The MQD receives a monthly Dashboard report for both QUEST and QExA programs. The MQD uses the Dashboard to share information on the programs with the public. The Dashboard contains information on member and provider demographics, call center statistics, claims processing, complaints from both members and providers, and utilization data. The July 1 to December 31, 2013 compilation of the Dashboards are attached as Attachment D and the January 1 to June 30, 2014 versions of the Dashboards are attached as Attachment E.

Annual Plan Change

QUEST Annual Plan Change (APC) was in August 2013. 8,260 individuals chose a new health plan that went into effect on October 1, 2013. Approximately 3.3% of the QUEST population chose a new health plan in 2013.

| Annual Plan Change for QUEST- Aug 2013 | |
|---|--|
| | # of health plan changes (loss to plan) |
| AlohaCare | 3,518 |
| HMSA | 2,496 |
| Kaiser | 291 |
| 'Ohana | 1,012 |
| United | 942 |
| Total | 8,260 |

QExA Annual Plan Change (APC) was in November 2013. 584 members changed health plans during APC. 326 individuals left 'Ohana and 258 left United.

| Annual Plan Change for QExA- Nov 2013 | |
|---------------------------------------|---|
| | # of health plan changes (loss to plan) |
| 'Ohana | 326 |
| United | 258 |
| Total | 584 |

Home and Community Based Services (HCBS) Waiting List

The QExA health plans did not have a wait list for HCBS.

HCBS Expansion and Provider Capacity

MQD monitors the number of clients receiving HCBS when long-term care services were required. The number of clients requiring long-term services and supports (LTSS) continues to rise. In the second quarter of 2014, the number of individuals receiving LTSS has increased by approximately 46.4% since the start of the program. HCBS has absorbed all of this increase instead of nursing facility services. Nursing facility services have decreased by approximately 10.3% since program inception.

| | 2/1/09 | 2nd Qtr 2014, av | % change since baseline (2/09) | % of clients at baseline (2/09) | % of clients in 2nd Qtr 2014 |
|-------|--------|------------------|--------------------------------|---------------------------------|------------------------------|
| HCBS | 2,110 | 4,699 | 123%↑ | 42.6% | 64.9%↑ |
| NF | 2,840 | 2,546 | 10.3%↓ | 57.4% | 35.1%↓ |
| Total | 4,950 | 7,245 | 46.4%↑ | | |

The number of clients receiving HCBS has increased by approximately 123%. At the start of the program clients receiving HCBS was 42.6% of all clients receiving long-term care services. This number has increased to almost 65% (64.9%) since the start of the program.

QUEST Integration transition

The DHS was procuring the QUEST Integration program during the first quarter of FFY14. The Request for Proposals was issued on August 5, 2013. Proposals were submitted on November 1, 2013. The DHS was evaluating proposals from November 2, 2013 through January 4, 2014.

The DHS started QUEST Integration transition or readiness review for QUEST Integration health plans on February 1, 2014. Readiness review during the third quarter of FFY14 consisted of submission of documents to MQD for review and MQD's review of those documents. MQD developed a process for tracking, review and return of submissions. In addition, MQD developed review tools for assuring that all deliverables meet contract requirements.

During this quarter, MQD performed three trainings for health plans. Trainings were:

- Putting QI into EPSDT
- Why It's Not Good Enough to Be Patient & Family Centered... Honoring Diversity

- Leading the Way to Make Sure Your Consumer Directed Program is on the Right Path

During this quarter, MQD developed of standardized health and functional assessment and service plan tools. These tools were issued to health plans the end of the third quarter of FFY 14. In addition, MQD developed templates for meeting Federal regulations for the Grievance system.

Status of the Demonstration Evaluation

MQD submitted its final demonstration evaluation to CMS on January 24, 2014 during Demonstration Year 20.

MQD Contact(s)

Jon D. Fujii
Research Officer
601 Kamokila Blvd. Ste. 506A
Kapolei, HI 96707

Tables

Table 1A - Enrollment Counts from June 2013 to September 2013

| | June 2013 | September 2013 | Percent Change |
|--|----------------|----------------|----------------|
| By Program | | | |
| QUEST | | | |
| 1925- Transitional Medicaid | 6,558 | 6,390 | (2.6 %) |
| Adult/Children AFDC Family members covered by Section 1931 | 87,993 | 87,661 | (0.4 %) |
| Foster Children (19-20 years old) receiving foster care maintenance payments or under an adoption assistance agreement | 5,020 | 4,934 | (1.7 %) |
| General Assistance | 5,497 | 5,335 | (2.9 %) |
| QUEST-Net | 11,833 | 12,271 | 3.7 % |
| QUEST | 49,758 | 48,230 | (3.1 %) |
| QUEST-ACE | 26,525 | 28,746 | 8.4 % |
| S-CHIP | 28,890 | 29,315 | 1.5 % |
| TANF | 15,198 | 15,497 | 2.0 % |
| QUEST Total | 237,272 | 238,379 | 0.5 % |
| QUEST Expanded Access (QExA) | | | |
| Aged, Blind, Disabled (ABD) | 43,577 | 43,718 | 0.3 % |
| QExA Spenddown | 2,415 | 2,435 | 0.8 % |
| Other (QMB, SLMB, QDWI) | 4,091 | 4,206 | 2.8 % |
| QExA and other ABD Total | 50,083 | 50,359 | 0.6 % |
| BHH (Basic Health Hawaii)/ QUEST State Funded | 5,055 | 4,949 | (2.1 %) |
| QUEST/QExA/Other Total | 292,423 | 293,687 | 0.4 % |
| Health Plan | | | |
| AlohaCare | 69,690 | 66,636 | (4.4 %) |
| HMSA | 130,918 | 133,011 | 1.6 % |
| Kaiser | 23,167 | 24,784 | 7.0 % |
| ‘Ohana QUEST | 9,581 | 9,950 | 3.9 % |
| United QUEST | 8,892 | 8,863 | (0.3 %) |
| QUEST FFS Window | 92 | 84 | (8.7 %) |
| QUEST Total | 242,340 | 243,328 | 0.4 % |
| ‘Ohana QExA | 24,572 | 24,522 | (0.2 %) |
| United QExA | 21,364 | 21,576 | 1.0 % |
| QExA Total | 45,946 | 46,098 | 0.3 % |
| Island | | | |
| Oahu | 179,227 | 179,901 | 0.4 % |
| Kauai | 16,072 | 16,287 | 1.3 % |
| Hawaii | 62,145 | 62,854 | 1.1 % |
| Maui | 30,951 | 30,586 | (1.2 %) |
| Molokai | 3,305 | 3,338 | 1.0 % |
| Lanai | 723 | 721 | (0.3 %) |
| Total | 292,423 | 293,687 | 0.4 % |

Table 1B - Enrollment Counts from October 2013 to June 2014

| | October 2013 | June 2014 | Percent Change |
|--|---------------------|------------------|-----------------------|
| By Program | | | |
| QUEST | | | |
| Foster Children (19-20 years old) receiving foster care maintenance payments or under an adoption assistance agreement | 4,926 | 5,804 | 17.8 % |
| Adults | 55,962 | 81,803 | 46.2 % |
| Adult caretakers | 41,388 | 44,053 | 6.4 % |
| Children | 103,463 | 108,417 | 4.8 % |
| S-CHIP | 29,597 | 28,722 | (3.0 %) |
| QUEST (Medical extension) | 2,437 | 0 | (100 %) |
| QUEST Total | 237,773 | 262,995 | 10.6 % |
| QUEST Expanded Access (QExA) | | | |
| Aged, Blind, Disabled (ABD) | 43,020 | 48,892 | 13.6 % |
| QExA Spenddown | 3,194 | 0 | (100%) |
| Other (QMB, SLMB, QDWI) | 4,184 | 1,185 | (71.7 %) |
| QExA and other ABD Total | 50,398 | 50,077 | (0.6 %) |
| BHH (Basic Health Hawaii)/ QUEST State Funded | 5815 | 6,634 | 14.1 % |
| QUEST/QExA/Other Total | 293,986 | 325,510 | 10.7 % |
| Health Plan | | | |
| AlohaCare | 66,254 | 69,113 | 4.3 % |
| HMSA | 133,035 | 149,344 | 12.3 % |
| Kaiser | 24,708 | 25,743 | 4.2 % |
| ‘Ohana QUEST | 10,236 | 16,196 | 58.2 % |
| United QUEST | 9,090 | 14,782 | 62.6 % |
| QUEST FFS Window | 265 | 0 | (100 %) |
| QUEST Total | 243,588 | 275,178 | 13.0 % |
| ‘Ohana QExA | 24,632 | 26,665 | 8.3 % |
| United QExA | 21,749 | 23,667 | 8.8 % |
| QExA Total | 46,381 | 50,332 | 8.5 % |
| Island | | | |
| Oahu | 180,087 | 199,062 | 10.5 % |
| Kauai | 16,384 | 18,255 | 11.4 % |
| Hawaii | 62,413 | 69,081 | 10.7 % |
| Maui | 30,485 | 34,896 | 14.5 % |
| Molokai | 3,346 | 3,462 | 3.5 % |
| Lanai | 719 | 754 | 4.9 % |
| Total | 293,986 | 325,510 | 10.7 % |

Table 1C - Enrollment Counts – Medicare Sharing Programs

| Medicare Sharing Program | June 2013 | June 2014 | Percent Change |
|---------------------------------|------------------|------------------|-----------------------|
| H37 - QMB ONLY | 184 | 275 | 49.5 % |
| H37 - QMB ONLY CFA | 2 | 0 | (100 %) |
| H39 - SLMB | 3,869 | 3,162 | (18.3 %) |
| H39 - SLMB CFA | 6 | 3 | (50 %) |
| H40 - QDWI | 0 | 2 | 100 % |
| G01 - QUALIFIED INDIVIDUAL | 0 | 1,110 | 100 % |
| Total | 4,061 | 4,552 | 12.1 % |

Table 2- Benefits for QUEST and QExA

| | QUEST | QExA |
|--|-------|------|
| Primary and Acute Care Services | | |
| Cognitive rehabilitation services | | X |
| Cornea transplants and bone graft services | X | X |
| Durable medical equipment and medical supplies | X | X |
| Emergency and Post Stabilization services | X | X |
| Family planning services | X | X |
| Home health services | X | X |
| Hospice services | X | X |
| Inpatient hospital services for medical, surgical, psychiatric, and maternity/newborn care | X | X |
| Maternity services | X | X |
| Medical services related to dental needs | X | X |
| Other practitioner services; | X | X |
| Outpatient hospital services | X | X |
| Personal assistance services - Level I | | X |
| Physician services | X | X |
| Prescription drugs | X | X |
| Preventive services | X | X |
| Radiology/laboratory/other diagnostic services | X | X |
| Rehabilitation services | X | X |
| Smoking Cessation | X | X |
| Sterilizations and hysterectomies | X | X |
| Transportation services | X | X |
| Urgent care services | X | X |
| Vision and hearing services | X | X |
| Inpatient psychiatric hospitalizations | X | X |
| Ambulatory mental health services and crisis management | X | X |
| Medications and medication management | X | X |
| Psychiatric or psychological evaluation and treatment | X | X |
| Medically necessary alcohol and chemical dependency services | X | X |
| Methadone management services | X | X |
| Intensive Care Coordination/Case Management | X | |
| Partial hospitalization or intensive outpatient hospitalization | X | |
| Psychosocial Rehabilitation | X | |
| Therapeutic Living Supports | X | |

| | QUEST | QExA |
|---|-------|------|
| Long-Term Care Services | | |
| Home and Community Based Services: | | |
| Adult day care | | X |
| Adult day health | | X |
| Assisted living services | | X |
| Attendant care | | X |
| Community Care Management Agency (CCMA) services | | X |
| Community Care Foster Family Home (CCFFH) services | | X |
| Counseling and training | | X |
| Environmental accessibility adaptations | | X |
| Home delivered meals | | X |
| Home maintenance | | X |
| Medically fragile day care | | X |
| Moving assistance | | X |
| Non-medical transportation; | | X |
| Personal assistance services – Level I and Level II | | X |
| Personal Emergency Response Systems (PERS) | | X |
| Private duty nursing | | X |
| Residential care | | X |
| Respite care | | X |
| Specialized medical equipment and supplies | | X |
| Institutional Services: | | |
| Nursing Facility services | X | X |

Table 3- Carve-Out programs

The programs listed below are provided outside of either the QUEST or QExA programs. If a program is not checked, it is either provided within the program or not offered at all due to eligibility criteria in QUEST and QExA.

| | QUEST | QExA |
|---|--------------|------|
| Adult Mental Health Division | Within QUEST | X |
| Child and Adolescent Mental Health Division | X | X |
| Community Care Services (Behavioral Health program administered by DHS) | Within QUEST | X |
| Dental Services | X | X |
| Developmental Disabilities/Intellectual Disabilities (DD/ID) 1915(c) waiver | | X |
| School Based Services | X | X |
| State of Hawaii Organ Transplant Program (SHOTT) | X | X |
| Vaccines for Children | X | X |
| Zero to Three (Early Intervention) | X | X |