Hawaii PMMIS

Hawaii Prepaid Medical Management Information System

Technical Guide Provider



Version 3.1 December 2020

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1 Preface

1.1 Overview

The Provider Health Plan Manual is distributed to medical, dental, and behavioral health plans contracting with the Hawaii Department of Human Services (DHS), Med-QUEST Division (MQD) to further their understanding of the Hawaii Prepaid Medical Management Information System (HPMMIS). This Provider Health Plan Manual contains the provider file layouts, code tables and FTP process to be used by health plans to provide information to and receive information from MQD through HPMMIS. HPMMIS is operated and maintained by the State of Arizona Medicaid agency known as the Arizona Health Care Cost Containment System Administration (AHCCCS).

1.2 Provider Registration and Affiliation

Health plans are to submit bi-monthly electronic files of their health plan providers who are new to HPMMIS or have a new service address to be added to the Provider database. Health plans are required to submit quarterly electronic files of their entire health plan provider network (HPS). HPMMIS and the Health Care Services Branch (HCSB) adds and updates the provider master registry file (PMR) and the health plan affiliations based on these files. The provider master registry file is used when editing encounters submitted by the health plans. HPMMIS also updates the provider master registry file with information from the Hawaii State license file (DCCA) and the CLIA license file. HPMMIS will provide electronic error reports to the plans.

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1.3 Conventions Used in this Manual

Unless otherwise stated, the following terms are used in this manual as defined below.

| CLIA | A Clinical Laboratory Improvement Act | | |
|-------------|---|--|--|
| CMS | Centers for Medicare and Medicaid Services (formerly known as HCFA) | | |
| DCCA | State of Hawaii Department of Commerce and Consumer Affairs | | |
| DHS | Department of Human Services | | |
| ECC | Enrollment Call Center in MQD which processes health plan enrollment choices. | | |
| HAWI | Hawaii Automated Welfare Information System | | |
| HCFA | Health Care Financing Administration (former name of CMS) | | |
| Health plan | Health plans include medical, dental, and behavioral health plans contracted with the State of Hawaii to provide services to eligible members. | | |
| HPA | Health Plan Add file that can be submitted bi-monthly by the health plans to HPMMIS | | |
| HPMMIS | The Hawaii Prepaid Medical Management Information System is based on the Arizona PMMIS and is operated and maintained by the State of Arizona for Hawaii. | | |
| HPS | Health Plan Provider Network (plan submittal) file that is submitted monthly by the health plans to HPMMIS. | | |
| MQD | MQD is the Med-QUEST Division of the Hawaii Department of Human Services. | | |
| MFIS | MFIS is the Member File Integrity Section in MQD, which resolves membership roster problems. | | |
| PMR | Provider Master Registry, which is maintained by HPMMIS, of all providers who are in the HPMMIS Provider database. | | |
| SFTS | Secure File Transfer Server (also known as SFTP or EFT) | | |
| TPL | Third Party Liability | | |
| VPN | Virtual Private Network | | |

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2 Provider Interface

2.1 Health Plan Provider Network

Each health plan is required to develop and maintain a sufficient provider network to provide the required health services to its members in a timely manner. In most situations, the provider network consists of providers on contract with the health plans. Sometimes, the health plan will authorize services to be provided by a provider out-of-network or not on contract with the health plan. Both types of providers (on contract and not) must be reported to the Med-QUEST Division via the SFTS process. Refer to Appendix 3H – Med-Quest/Health Plans File Transfers for a brief description of the process.

2.1.1 Provider Network Reporting

The plan can submit the provider information electronically twice a month based on the scheduled submittal times. This information will include data such as provider service location address(es), mailing address, phone number(s), professional license number, expiration date and other data fields. The same provider information must be submitted for both the network providers and those out-of-network. Failure to submit the provider data correctly and timely could result in encounter data submission errors, which may result in financial penalties.

2.1.2 Provider Registry Definitions

A list of provider registry definitions appears below.

| Default Provider | A provider who is used only once. Information associated with this type of provider is currently stored within the HPMMIS provider database. Health plans should not submit default providers on the HPA submission. | | | |
|------------------|---|--|--|--|
| Provider | An individual or entity who furnishes services for the health plan through a contract or non- contracted agreement. Each provider must meet applicable state and federal regulations, licensing, certification, and re-certification requirements. | | | |

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| Health Plan Add (HPA) File | This is a file the health plan will use to submit contracted and non-contracted providers that are new to HPMMIS (i.e. not listed in the PMR), add a new service address for a provider that is listed in the PMR or reactivate a provider that shows terminated in the PMR. The HPA is reported electronically on a bi-monthly basis to the MQD. HPA submissions that meet all requirements are accepted and data entered into HPMMIS by the Health Care Services Branch into the HPMMIS Provider database. |
|---|--|
| Health Plan Provider Network (HPS) | In each health plan, the provider network is the conglomeration of the required components or types of providers to provide the required health services to the recipient. The provider network must be sufficient in size within the health plan's service area to provide the needed services to the recipient in a timely manner. The HPS is reported electronically monthly to MQD. |
| Provider Master Registry (PMR) | This is the registry maintained by MQD of all QUEST providers and is placed on the SFTS server on a monthly basis after processing all of the plans' HPA files. The health plan should use the PMR to obtain all QUEST IDs for its providers. If a provider is not listed on the PMR, yet is a member of the health plans provider network, the plan must submit the providers' information on their next HPA submission to have a QUEST ID assigned. |
| Health Plan Error File (HPE) | Accepted HPA bi-monthly submissions are processed through the full range of edits during the processing cycle. All submissions that are accepted will be reviewed by the Health Care Services Branch for appropriateness to be added into HPMMIS. All other provider records that have not passed the edits will be returned on the HPE file for correction and resubmission. This report may also contain messages to the health plans such as the provider already exists in the system. This file is returned to the health plans via the SFTS. |
| Health Plan Quarter Error File (HPQ) | When the HPS (Health Plan Quarterly Submission) file is processed, it will generate this output file that will contain provider records which have not passed the HPS process edits. |

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| Primary Care Provider (PCP) | A primary care provider is a state-licensed physician or a nurse practitioner contracted by a participating health plan to assess an enrollee's health care needs and provide services to meet those needs either directly or through the plan's provider network. A primary care provider who is a nurse practitioner shall be a family nurse practitioner, pediatric nurse practitioner, or (if the enrollee is a pregnant woman) a nurse midwife. |
|-----------------------------|--|
| Provider Record | A provider record consists of several sub-record types about providers in the health plan servicing QUEST recipients: Master record Address Group affiliation Specialty License Type EPSDT Contact CLIA NPI Enrollment Credentialing |

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2.2 Provider Record Submission Requirements

CMS terms and conditions result in the requirement that health plans provide the requested data. The State will perform periodic reviews, including validation studies, in order to ensure compliance. The State is required to have provisions in its contracts with the health plan for the provision of the data and is authorized to impose financial penalties if the data is not provided timely and accurately.

DHS reserves the right to request additional data, information, and reports from the health plan as needed to comply with CMS requirements and for its own management purposes.

2.2.1 Provider Submission Cycle

Twice each month (1st and 3rd Weds) the health plans can submit electronically a Health Plan Add file via the SFTS process. Bi-monthly submissions will assure up-to-date provider data is in the database for the encounter data process. Provider data must also be received accurately and in the proper format. Although a provider file can be submitted anytime, the submission date on the filename must reflect the date of the next processing Wednesday. The latest a provider file can be submitted on a processing Wednesday is 5:00 p.m. HST.

2.2.1.1 New Providers

When a health plan adds a new provider, it should first review the PMR to determine whether the provider has an existing QUEST ID. The assigned QUEST ID should be used in the HPA submission for existing providers when submitting a new address or change of address, adding a NPI, reactivating a terminated provider or adding a credentialing record. If there is no existing QUEST ID, the health plan may submit the provider on its HPA file in order to generate a QUEST ID for the provider. The minimum required records for all new providers are the AA (Master), BB (Address) and MM (Credentialing) records.

If records submitted for a new provider passes the HPA editing process, a QUEST ID will automatically be generated and provider will go into a Pended enrollment status (P-07) until HCSB can verify the data submitted and activate provider's enrollment. The QUEST provider ID number will be returned on the PMR.

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2.2.1.2 New Service Addresses or Change of Address

If the health plan would like to add a new service address or change an address for an existing provider, they should review the PMR to determine whether the service address currently exists for that provider. If the service address does not exist or a change of address is needed, the health plan may submit the provider and the address(es) on its HPA file with the 6-digit root QUEST ID in the AA and BB records. If the service address is not found within the HPMMIS provider database for that provider, it will be added to HPMMIS and the address will be returned on the PMR. The only records that are required to add a new service address or do an address change are the AA and BB records.

**Note: If MQD receives a change of address via a hardcopy request from the provider with a different address, it will supersede any address submitted by the health plan.

2.2.1.3 Adding a NPI

If a health plan would like to add a NPI to an existing provider within HPMMIS, it should first check if a KK record exists for that provider on the PMR to determine whether the NPI already exists. If the NPI is not found (no KK record), the health plan may submit the NPI on its HPA file with the 6-digit root QUEST ID and NPI in the AA record. If the NPI is not found by MQD within the HPMMIS provider database, it will be added to HPMMIS and the NPI will be returned on the PMR on the KK record. The only records that are required to add a NPI are the AA and BB records.

2.2.1.4 Provider Terminations

When a health plan terminates a provider for any reason, it should submit a record of the termination via the HPS submittal. The end date on the contract record (GG) should be filled with the contract expiration date. However, Med-QUEST will not terminate the provider in the PMR because provider may be Active with other Health Plans or in the FFS program.

2.2.1.5 Reactivate a Terminated Provider

If the health plan would like to reactivate a provider that shows terminated in the PMR file, health plan may submit the provider, address and license information on its HPA file with the 6-digit root QUEST ID in the AA, BB, FF and MM records. These are minimum records needed to reactivate a provider.

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**Note: providers with a Terminated Status of 51 = TERMINATION-OWNERSHIP CHANGE, 52 = TERMINATION-PROVIDER TYPE CHANGE or 53 = TERMINATION-MULTIPLE ID'S (PMMIS) can not be reactivated. The JJ (enrollment) record should be checked to determine the Replacement Provider ID to be used.

2.2.1.6 Add a Credentialing record

If a health plan would like to add a Credentialing (MM) record to an existing provider within HPMMIS, the health plan must submit at least an AA record along with the MM record type and include the root 6 digit Quest Provider ID in both records.

2.3 Preparing Provider Data for Submission

When reporting provider data to MQD, a health plan must apply the following guidelines to assure consistent and accurate data. Provider registry data is reported using eleven different record types, each of which is described below with clarification of the related data elements. For further understanding of the required data submission, refer to Appendix 3A – Provider Master Registry / Health Plan Add / Health Plan Provider Network and Appendix 3D – Provider Health Plan Add (HPA) and Provider Master Registry (PMR) Record Index/Data Elements.

2.3.1 General Guidelines

2.3.1.1 Required Data Elements

Most of the data elements are required for completeness of each provider profile. If the data element is not applicable to a particular provider profile, then the record is considered to be conditional. If the provider being submitted does not require a license, then the FF record should not be completed or if the provider being submitted does not have a specialty, then the DD record set should not be completed.

2.3.1.2 Field Values

To assure consistency and accuracy of the data for the DATE fields, the format is CCYYMMDD. Any date fields that should remain open, such as an End Date, must be filled with 99999999.

All character data should be left justified with trailing blanks and in capital letters. All numeric data should be right justified with leading zeroes. If the

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data for a character field is not applicable, fill the complete field with blanks. If the data for a numeric field is not applicable, fill the complete field with zeroes. If the character field is not applicable, fill the complete field with spaces. All provider sub-records (for example, BB, CC, DD, etc.) will follow the master record to which they apply. Multiple sub-records that apply to a distinct provider will be grouped together.

2.3.1.3 Duplicate Checking Process

Our Duplicate checking process uses the following criteria:

- If SSN, DOB & Gender are present in the HPA, use *only* SSN for duplicate checking.
- If SSN not present, use NPI for duplicate checking.
- If SSN & NPI are not present, use FEIN for duplicate checking.

2.3.2 Master Record (AA)

The master record consists of the general identifying information for the provider. It is a required record to establish the provider profile. The following topics warrant clarification to understand the provider information to be submitted and to obtain accurate provider data from the health plans.

Provider Type

Provider types are grouped by type of services rendered. Examples are hospital, physician, dentist, DME supplier, and Case Management Agencies. Select the appropriate Provider Type Code listed in Appendix 3B – Provider Codes and Values sub-section 3.2.4 Provider Type Codes.

2.3.3 Address Record (BB)

Providers may have different addresses for the location of services, billing, and mailing. Correct address data will assure reliable data analysis for reporting purposes. This is a required record for all provider types with the exception of 01 Provider types. All address records must be submitted with only one Correspondence and one or more Service addresses, except for Provider Type 01 – Group Billing Provider that requires only one correspondence and one Pay To address record. If the health plan submits Pay To information this will not be data entered into the HPMMIS provider database with the exception of Group Biller Pay To information.

Submit a separate record for each address or address type combination. The address record set cannot exceed forty records per Provider.

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2.3.4 Group Record (CC)

If a provider is not associated with a group, the CC Record should not be completed. Submit a group record for individual providers associated with a group practice. This is a conditionally required record. Not all providers are participants of a group practice. This record is required for individuals who are members of a group practice. An AA record needs to be established for the group practice with associated records to establish the group's profile. The Group ID field should contain the provider identification number of the provider's group. For example, Dr. Smith is a member of the Johnson group. Submit AA master records for both Dr. Smith and the Johnson group. Also submit a CC record for Dr. Smith that will indicate he or she is a member of the Johnson group. Do not submit a Group Record for '01-Group Billing' providers since a group can not be associated with itself or another '01-Group Billing' provider.

2.3.5 Specialty Record (DD)

Some providers may specialize in a type of service or treatment of certain medical conditions. For the physicians, the specialty is determined by the Specialty Board Certification requirements. The Department of Health certifies the nurse practitioner specialty. Other providers and non-licensed providers must select their specialty from the Provider Specialty/Subspecialty Codes list found in Appendix 3B – Provider Codes and Values sub-section 3.2.5 Provider Specialty Codes. This is not a required record for providers.

Specialty begin and end dates are the effective and expiration dates, respectively, for the provider's specialty within the health plan. The specialty begin date must be completed for every specialty record on a provider. Indicate open-ended end dates with nines (99999999).

When a provider has more than one specialty record, the Primary Indicator will identify if the specialty record is the primary specialty of the provider.

For example, a pediatric endocrinologist would have the following specialties:

150 – Pediatrician

156 – Pediatric - Endocrinology

063 - Endocrinology

The primary indicator would be Y (yes) for 150 and N (no) for 156 and 063. Each specialty requires an individualized DD record to be submitted.

The PCP Indicator shows the provider has been designated as a primary care provider within the health plan and is eligible to have a PCP relationship with a recipient.

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2.3.6 EPSDT Record (EE)

This record is used to indicate the dates for which a provider was authorized to provide EPSDT services to a health plan's population. This record is conditional; if no information is provided for a set of dates, it is presumed that the provider was not an EPSDT provider on those dates.

2.3.7 License Record (FF)

Not all provider types require a license to practice their specialty. If the provider does not require a license the FF record should not be completed.

License Number

The Department of Commerce and Consumer Affairs (DCCA) issues the licenses for such medical professions as physicians, pharmacies, psychologists, physical therapists, nurse midwives and other provider types.

The Department of Health (DOH) certifies ambulance (air and ground) service providers, nurse practitioners, hospitals, home health agencies and other provider types.

Exception: Non-professional, non-licensed providers include commercial airlines, and taxi companies.

2.3.8 Contract Dates Record (GG)

This record is used to indicate which providers were in a health plan's network for which dates. This record is conditional. If no information is given for a provider, it will be assumed that the plan used the provider, but the provider was not in the plan's contracted network.

2.3.9 CLIA Record (HH)

This record is used to indicate which providers are certified to provide laboratory services under the Clinical Laboratory Improvement Act. This record is conditional if the provider is not certified to provide laboratory services under the Clinical Laboratory Improvement Act. If the provider is a certified CLIA provider then it is expected that the current CLIA information will be provided.

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2.3.10 Reimbursement Type Record (II)

This record provides miscellaneous data to the health plans as well as to Med-QUEST departments that have requested this type of data previously. It is created for the PMR (Provider Master Registry) file only. Health plans should not generate this record.

2.3.11 Enrollment Record (JJ)

This record provides the Health Plans and Med-QUEST departments with a provider's enrollment dates. There may be more than one enrollment date segment for each provider record which details dates on which the provider was made active or dates on which they were terminated. It is created for the PMR (Provider Master Registry) file only. Health plans should not generate this record.

2.3.12 NPI Record (KK)

This record provides the NPI that was received by Med-QUEST from the providers. There may be more than one NPI for each provider. This record is created for the PMR (Provider Master Registry) file only. Health plans should not generate this record.

2.3.13 Membership Record (LL)

This record will be generated by the health plans and should be included in the quarterly health plan submissions (HPS) file only. It should be generated if a provider is a pcp and should contain membership information. It will not be included in the PMR file to the health plans.

2.3.14 Provider Credentialing Record (MM)

This record will be generated by the health plans and will be used to show if a provider has been credentialed or not and the date range for the credentialing. It will not be included in the PMR file to the health plans.

2.3.15 Ownership-Personnel Record (NN)

This record is conditional and should only be generated by the health plans when the provider type used is found in Section 3.2.7 (Provider Types Requiring Ownership-Personnel Data). It will be used to report ownership/personnel data of these providers as per CMS mandates:

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§455.104 Disclosure by Medicaid providers and fiscal agents: Information on ownership and control §455 Subpart E Provider Screening and Enrollment

2.4 Processing of the Health Plan Add and Provider Network Submissions

Each health plan submits its add file and entire provider network to MQD electronically using the plan provider network record layout, which is identical to the provider master registry, record layout. The plans' submitted add file and networks are processed in HPMMIS. The following files are returned to the plans:

Provider Master Registry, which includes all QUEST providers with the QUEST IDs

Report of errors encountered during processing the network file

Formats for these files are contained in these appendices:

Appendix 3A – Provider Master Registry / Health Plan Add / Health Plan Provider Network

Appendix 3B – Provider Codes and Values

Appendix 3C – Provider Error Reports

Appendix 3D – Provider Health Plan Add (HPA) and Provider Master Registry (PMR) Record Index/Data Elements

Appendix 3E – Provider Type Definitions

Appendix 3F – Provider Types and Licensing

Appendix 3G – State and Territory Code Table

Appendix 3H – Med-Quest/Health Plans File Transfers

Appendix 3I – Health Plan IDs

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2.5 Provider Master Registry (PMR)

The provider master registry contains all providers in the HPMMIS provider database, regardless of health plan affiliation. The registry is provided to plans on the MQD SFTS server following processing of the health plans' provider network files. The record format for the provider master registry is the same as that used by the health plans to submit their provider networks.

The health plan ID and health plan provider ID are blank on the provider master registry because it is not health plan specific. The provider status field contains the enrollment status reflected in HPMMIS for the provider:

Active

Terminated

Pended

Suspended

Denied

2.6 File Transfer and Retention

Monthly provider files remain on the MQD SFTS server for 90 days before being backed up and deleted by Med-QUEST. For detailed information regarding file transfer procedures, refer to Appendix 3H – Med-Quest/Health Plans File Transfers.

2.7 Provider File Testing

The provider process is date driven so a test filename must contain the process date. A provider test process is run every Thursday evening so a provider test file that is submitted for a Thursday's process must contain that Thursday's date in the filename. A test file should be on the SFTP by 5:00 p.m. HST.

The provider test process can be run on other days upon a health plan's request. Because it will be run during the day, a test file must be submitted to the SFTP by 12:00 p.m. HST on the requested process day and the process date must be on the filename. The request must be made to the MQD Systems Office via email after the file is placed on the SFTP. Any files placed on the SFTP after 12:00 p.m. HST is subject to be processed the next business day and should have the appropriate process date on the filename.

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3 Appendices

The file formats in this section are used to communicate provider information between HPMMIS and the health plan.

3.1 Appendix 3A – Provider Master Registry / Health Plan Add / Health Plan Provider Network

3.1.1 File Header Record Format

| | | | | Actual I | Position | |
|---|----------------|------|------|----------|----------|-----------------------------------|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Health Plan ID | 6 | AN | 01 | 06 | Unique 6-character health plan ID |
| 2 | Current Date | 8 | N | 07 | 14 | CCYYMMDD |
| 3 | File Type Code | 2 | AN | 15 | 16 | PN = Provider Network |
| 4 | Filler | 284 | AN | 17 | 300 | Reserved for future use |

3.1.2 AA Master Record

| | | | | Actual I | Position | |
|----|-------------------------|------|------|----------|----------|---|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates provider master record; value AA |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR file, this field will have 'HPMMIS'). |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR file, this field will have spaces). |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Filler | 32 | AN | 27 | 58 | Reserved for future use |
| 6 | Name | 40 | AN | 59 | 98 | Registered business name or Provider's Last/First Name |
| 7 | SSN | 9 | AN | 99 | 107 | Social security number |
| 8 | Provider Type | 2 | AN | 108 | 109 | Code classifying the provider by type of services rendered. (Refer to Appendix 3E – Provider Type Definitions.) |
| 9 | Provider Status | 2 | AN | 110 | 111 | 2 digit code that identifies if provider is Active, Terminated, or Restricted |
| 10 | NPI | 10 | N | 112 | 121 | National Provider Identifier |
| 11 | NPI Begin date | 8 | N | 122 | 129 | CCYYMMDD; Effective Date of NPI |
| 12 | Date of Birth | 8 | N | 130 | 137 | CCYYMMDD; Provider's Date of Birth |
| 13 | Gender | 1 | AN | 138 | 138 | Provider's Gender |
| 14 | Language Code 1 | 2 | AN | 139 | 140 | Refer to 3.2.10 Language Codes Table (HPS file only) |

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| | | | | | | In PMR, this field will have spaces. |
|----|-----------------|-----|----|-----|-----|--|
| 15 | Language Code 2 | 2 | AN | 141 | 142 | Refer to 3.2.10 Language Codes Table (HPS file only) In PMR, this field will have spaces. |
| 16 | Language Code 3 | 2 | AN | 143 | 144 | Refer to 3.2.10 Language Codes Table (HPS file only) In PMR, this field will have spaces. |
| 17 | Language Code 4 | 2 | AN | 145 | 146 | Refer to 3.2.10 Language Codes Table (HPS file only) In PMR, this field will have spaces. |
| 18 | Filler | 154 | AN | 147 | 300 | Reserved for future use |

3.1.3 BB - Address Record

| | | | | Actual Position | | |
|----|-----------------------------|------|------|-----------------|-----|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates provider address record; value BB |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR file, this field will have 'HPMMIS'). |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR file, this field will have spaces). |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Location Code | 2 | AN | 27 | 28 | Indicates Service address location code |
| 6 | Address Type | 1 | AN | 29 | 29 | C = Correspondence, P = Payment, or S = Service |
| 7 | FEIN or Tax ID number | 9 | AN | 30 | 38 | Federal Employer Identification Number |
| 8 | Send Mail Here Indicator | 1 | AN | 39 | 39 | Send Mail Here Indicator |
| 9 | Street Address #1 | 40 | AN | 40 | 79 | Address line 1; free text |
| 10 | Street Address #2 | 40 | AN | 80 | 119 | Address line 2; free text |
| 11 | City | 20 | AN | 120 | 139 | City; free text |
| 12 | State | 2 | AN | 140 | 141 | State abbreviation; USPS standard |
| 13 | Zip Code | 9 | AN | 142 | 150 | Zip + 4 or zip |
| 14 | Address Begin Date | 8 | N | 151 | 158 | CCYYMMDD; effective date of provider's address |
| 15 | Address End Date | 8 | N | 159 | 166 | CCYYMMDD; end date of a provider's address |
| 16 | Filler | 134 | AN | 167 | 300 | Reserved for future use. |

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3.1.4 CC - Group Record

| | | | | Actual I | Position | |
|----|----------------------------|------|------|----------|----------|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates provider group record; value CC (optional if no affiliation) |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR file, this field will have 'HPMMIS'). |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR file, this field will have spaces). |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Filler | 2 | AN | 27 | 28 | Reserved for future use |
| 6 | Health Plan Group ID | 12 | AN | 29 | 40 | Spaces |
| 7 | QUEST Group Provider ID | 6 | AN | 41 | 46 | Provider ID for group provider |
| 8 | Filler | 2 | AN | 47 | 48 | Reserved for future use |
| 9 | Group Begin Date | 8 | N | 49 | 56 | CCYYMMDD; effective date of group provider's affiliation with provider |
| 10 | Group End Date | 8 | N | 57 | 64 | CCYYMMDD; expiration date of group provider's affiliation with provider |
| 11 | Filler | 236 | AN | 65 | 300 | Reserved for future use |

3.1.5 DD - Specialty Record

| | | | | Actual I | Position | |
|---|-------------------------|------|------|----------|----------|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates provider specialty record; value DD (optional if no specialty) |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR file, this field will have 'HPMMIS'). |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR file, this field will have spaces). |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Filler | 2 | AN | 27 | 28 | Reserved for future use |
| 6 | Primary Indicator | 1 | AN | 29 | 29 | Spaces |
| 7 | Specialty Code | 3 | AN | 30 | 32 | Provider specialty code |
| 8 | Begin Date | 8 | N | 33 | 40 | CCYYMMDD; effective date of provider's specialty |
| 9 | End Date | 8 | N | 41 | 48 | CCYYMMDD; expiration date |

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| | | | | | | of provider's specialty |
|----|--------------------|-----|----|----|-----|-------------------------------|
| 10 | PCP Indicator | 1 | AN | 49 | 49 | Y or N (spaces on PMR) |
| 11 | PCP Spec Indicator | 1 | AN | 50 | 50 | B, 6 or N (PMR file only) |
| 12 | Attestation Date | 8 | N | 51 | 58 | Date of Self Attestation (PMR |
| | | | | | | file only) |
| 13 | Filler | 242 | AN | 59 | 300 | Reserved for future use |

3.1.6 EE - EPSDT Record

| | | | | Actual Position | | |
|---|-------------------------|------|------|-----------------|-----|---|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates EPSDT type record; value EE |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR file, this field will have 'HPMMIS') |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR file, this field will have spaces) |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Filler | 2 | AN | 27 | 28 | Reserved for future use |
| 6 | Begin Date | 8 | N | 29 | 36 | CCYYMMDD; effective date of provider's EPSDT COS '08' |
| 7 | End Date | 8 | N | 37 | 44 | CCYYMMDD; expiration date of provider's EPSDT COS '08' |
| 8 | Filler | 256 | AN | 45 | 300 | Reserved for future use |

3.1.7 FF – License Type Record

| | | | | Actual F | Position | |
|---|-------------------------|------|------|----------|----------|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates License type record; value FF |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR file, this field will have 'HPMMIS'). |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR file, this field will have spaces). |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Filler | 2 | AN | 27 | 28 | Reserved for future use |
| 6 | Agency ID | 3 | AN | 29 | 31 | Indicates licensing agency |
| 7 | DEA Level | 1 | AN | 32 | 32 | Indicates DEA level if license is 017 DEA otherwise it is not |

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| | | | | | | used |
|----|--------------------|-----|----|----|-----|-------------------------------|
| 8 | License Number | 15 | AN | 33 | 47 | license or certificate number |
| 9 | License Effective | 8 | N | 48 | 55 | CCYYMMDD |
| | Date | | | | | |
| 10 | License Expiration | 8 | N | 56 | 63 | CCYYMMDD |
| | Date | | | | | |
| 11 | Filler | 237 | AN | 64 | 300 | Reserved for future use |

3.1.8 GG - Contract Record

| | | | | Actual I | Position | |
|---|-------------------------|------|------|----------|----------|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates contract type record; value GG |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR file, this field will have 'HPMMIS'). |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR file, this field will have spaces). |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Filler | 2 | AN | 27 | 28 | Reserved for future use |
| 6 | Begin Date | 8 | N | 29 | 36 | CCYYMMDD; enrollment begin date |
| 7 | End Date | 8 | N | 37 | 44 | CCYYMMDD; enrollment end date |
| 8 | Filler | 256 | AN | 45 | 300 | Reserved for future use |

3.1.9 HH - CLIA Record

| | | | | Actual F | Position | |
|----|-------------------------|------|------|----------|----------|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates CLIA type record; value HH |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR file, this field will have 'HPMMIS'). |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR file, this field will have spaces). |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Filler | 2 | AN | 27 | 28 | Reserved for future use |
| 6 | CLIA Number | 10 | AN | 29 | 38 | CLIA unique laboratory ID for agency 200 only |
| 7 | Street Address #1 | 40 | AN | 39 | 78 | Street address line 1 for CLIA lab |
| 8 | Street Address #2 | 40 | AN | 79 | 118 | Spaces |
| 9 | City | 20 | AN | 119 | 138 | City |
| 10 | State | 2 | AN | 139 | 140 | State |

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| 11 | Zip | 9 | AN | 141 | 149 | Postal zip code |
|----|------------|-----|----|-----|-----|-------------------------|
| 12 | Begin Date | 8 | N | 150 | 157 | CCYYMMDD; License issue |
| | | | | | | date |
| 13 | End Date | 8 | N | 158 | 165 | CCYYMMDD; License end |
| | | | | | | date |
| 14 | Filler | 135 | AN | 166 | 300 | Reserved for future use |

3.1.10 II – Reimbursement Type (PMR File Only)

| | | | | Actual I | Position | |
|----|-----------------------------|------|------|----------|----------|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | ÄN | 01 | 02 | Indicates Reimbursement type record; value II |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | HPMMIS |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Spaces |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Location Code | 2 | AN | 27 | 28 | Indicates Service address location code |
| 6 | Address Type | 1 | AN | 29 | 29 | C = Correspondence, P = Payment, or S = Service |
| 7 | Attention | 40 | AN | 30 | 69 | Address attention line |
| 8 | Phone | 10 | AN | 70 | 79 | Address Phone |
| 9 | FAX | 10 | AN | 80 | 89 | Address Fax |
| 10 | Reimbursement Type | 2 | AN | 90 | 91 | Provider reimbursement type if address type = "C" only otherwise spaces |
| 11 | Reimbursement Begin Date | 8 | AN | 92 | 99 | Provider begin date of reimbursement type if address type = "C" only otherwise spaces. Format CCYYMMDD |
| 12 | Reimbursement End Date | 8 | AN | 100 | 107 | Provider end date of reimbursement type if address type = "C" only otherwise spaces. Format CCYYMMDD or may be all '9's. |
| 13 | Filler | 193 | AN | 108 | 300 | Reserved for future use |

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3.1.11 JJ - Enrollment Record (PMR File Only)

| | | | | Actual I | Position | |
|----|----------------------------|------|------|----------|---|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates enrollment record; value JJ |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | HPMMIS |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Spaces |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Provider Status Type | 1 | AN | 27 | 27 | Indicates enrollment status. A = Active, P = Pended, I = Inactive, D = Denied, S = Suspended, T = Terminated |
| 6 | Provider Status Code | 2 | AN | 28 | 29 | Indicates code for enrollment status. (Refer to 3.2.3 Provider Status Codes (PMR Only) Provider Status Codes (PMR Only)). |
| 7 | Status Begin Date | 8 | AN | 30 | 37 | Enrollment begin date. |
| 8 | Status End Date | 8 | AN | 38 | 45 | Enrollment end date. |
| 9 | Replacement Provider ID | 6 | | | Replacement provider ID for certain terminated providers. | |
| 10 | Filler | 249 | AN | 52 | 300 | Reserved for future use |

3.1.12 KK - NPI Record (PMR File Only)

| | | | | | ` | • , |
|---|-------------------------|------|------|--------|----------|--------------------------------|
| | | | | Actual | Position | |
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates NPI record; value KK |
| 2 | Health Plan ID | 6 | AN | 03 | 80 | HPMMIS |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Spaces |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | NPI | 10 | AN | 27 | 36 | National Provider Identifier |
| 6 | NPI Begin Date | 8 | AN | 37 | 44 | |
| 7 | NPI End Date | 8 | AN | 45 | 52 | |
| 8 | Filler | 248 | AN | 53 | 300 | Reserved for future use |

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3.1.13 LL – Membership Record (Health Plan Submission file only)

| | | | Actual Position | | | |
|----|-----------------------|------|-----------------|------|-----|---------------------------------------|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates membership record; value LL |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | 6 char health plan id |
| 3 | Health Plan Provider | 12 | AN | 09 | 20 | Provider number assigned by |
| | ID | | | | | health plan |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID |
| 5 | Location Code | 2 | AN | 27 | 28 | Location Code |
| 6 | Island | 2 | AN | 29 | 30 | Island Code |
| 7 | Recipient Count | 5 | N | 31 | 35 | Member Count |
| 8 | Recipient Max | 5 | N | 36 | 40 | Member Limit |
| 9 | New Patient Indicator | 1 | AN | 41 | 41 | Accept new patients (Y/N) |
| 10 | Filler | 125 | AN | 42 | 166 | Filler |

3.1.14 MM - Credentialing Record

| Actual Position | | | | | | | |
|-----------------|------------------------------|------|------|------|-----|--|--|
| | I = | | | | | | |
| # | Data Name | Size | Type | From | То | Remarks | |
| 1 | Record Type | 2 | AN | 01 | 02 | Value "MM" | |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character health plan ID. (In PMR, this field will have 'HPMMIS'). | |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Health Plan issued Provider ID. (In PMR, this field will have spaces). | |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | Provider ID | |
| 5 | Begin Date | 8 | AN | 27 | 34 | CCYYMMDD | |
| 6 | End Date | 8 | AN | 35 | 42 | CCYYMMDD | |
| 7 | Credentialing Successful | 1 | AN | 43 | 43 | "Y" or "N" | |
| 8 | Submitting Health Plan ID | 6 | AN | 44 | 49 | Spaces. (In PMR – this field will have the Health Plan that submitted the credentialed record). | |
| 9 | Load Date | 8 | AN | 50 | 57 | Spaces. (In PMR – this field will have the date the rec was loaded into HPMMIS via HPA process). | |
| 10 | Filler | 243 | AN | 58 | 300 | Reserved for future use | |

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3.1.15 NN - Ownership-Personnel Record

| | | | <u>'</u> | Actual F | | |
|----|---------------------------|------|----------|----------|-----|---|
| # | Data Name | Size | Туре | From | То | Remarks |
| 1 | Record Type | 2 | AN | 01 | 02 | Indicates Ownership/Personnel record; value NN |
| 2 | Health Plan ID | 6 | AN | 03 | 08 | Unique 6-character Health Plan ID Required |
| 3 | Health Plan Provider ID | 12 | AN | 09 | 20 | Prov ID from Health Plan |
| 4 | QUEST Provider ID | 6 | AN | 21 | 26 | New Provider ADD: Spaces Existing Provider: QUEST PR-ID |
| 5 | Personnel/Company Name | 25 | AN | 27 | 51 | Personnel Name or Company Name Personnel Name format: last name/first name |
| | | | | | | Company Name format: no '/' |
| 6 | DOB | 8 | N | 52 | 59 | CCYYMMDD; Date of Birth (In PMR file, this field will have spaces). |
| 7 | Personnel SSN | 9 | N | 60 | 68 | Social Security Number (In PMR file, this field will have spaces). |
| 8 | Taxpayer ID | 9 | N | 69 | 77 | Taxpayer Identification Number (In PMR file, this field will have spaces). |
| 9 | Title Code | 2 | AN | 78 | 79 | Refer to Title Codes table in Section 3.2.8 (In PMR file, this field will have spaces). |
| 10 | Begin Date | 8 | N | 80 | 87 | CCYYMMDD; Effective Date of Title (In PMR file, this field will have spaces). |
| 11 | End Date | 8 | N | 88 | 95 | CCYYMMDD; End Date of Title (In PMR file, this field will have spaces). |
| 12 | Criminal Offense Code | 3 | AN | 96 | 98 | Refer to Criminal Offense Codes table in Section 3.2.9. (In PMR file, this field will have spaces). |
| 13 | Street Addr#1 | 55 | AN | 99 | 153 | Address line 1; free text (In PMR file, this field will have spaces). |

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| 14 | Street Addr#2 | 55 | AN | 154 | 208 | Address line 2; free text (In PMR file, this field will have spaces). |
|----|---------------|----|----|-----|-----|--|
| 15 | City | 25 | AN | 209 | 233 | City; free text (In PMR file, this field will have spaces). |
| 16 | State | 2 | AN | 234 | 235 | State abbreviation; USPS Standard. (In PMR file, this field will have spaces). |
| 17 | Zip Code | 5 | N | 236 | 240 | 5 digit Zip Code only (In PMR file, this field will have spaces). |
| 18 | Filler | 60 | AN | 241 | 300 | For future use |

3.1.16 File Trailer Record Format

| | | | | Actual F | Position | |
|---|-------------------|------|------|----------|----------|--|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Trailer Indicator | 6 | AN | 01 | 06 | ZZZZZZ |
| 2 | Current Date | 8 | N | 07 | 14 | CCYYMMDD |
| 3 | Total Count | 6 | N | 15 | 20 | Total number of records (including header and trailer records) |
| 4 | Filler | 280 | AN | 21 | 300 | Reserved for future use |

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3.2 Appendix 3B – Provider Codes and Values

3.2.1 Agency Codes

| | Agency Codes |
|----------------|---|
| Agency Code | Description |
| 009 | Alcohol and Drug Abuse Division - DOH |
| 010 | HCFA State Survey Agency |
| 017 | Drug Enforcement Agency |
| 020 | Board of Acupuncture |
| 025 | Advanced Practice Registered Nurse Program |
| 050 | Tax Clearance |
| 051 | Comprehensive General Liability |
| 052 | Professional Liability |
| 053 | Automobile Liability |
| 054 | Expanded Care Adult Residential Care Home |
| 055 | DHS Child Foster License |
| 056 | Certification/License Foster Home |
| 057 | Hawaii Drivers License |
| 058 | C.N.A. License |
| 059 | Non-Profit Approval From IRS |
| 060 | Board of Behavior Analyst |
| 061 | Adult Day Care |
| 062 | H&CB Case Management Agency |
| 063 | Bloodborne Pathogens |
| 064 | First Aid |
| 065 | CPR |
| 066 | Tuberculin Clearance |
| 068 | Assisted Living Facility |
| 070 | Hawaii Criminal Justice Data Center |
| 130 | Board of Chiropractic Examiners |
| 200 | HCFA/CLIA (Clinical Laboratory Improvement) |
| 220 | Board of Dental Examiners |
| 230 | Dispensing Opticians Program |
| 500 | Hearing Aid Dealers and Fitters Program |
| 560 | Marriage and Family Therapists Program |
| 620 | Board of Massage Therapy |
| 630 | Board of Medical Examiners |
| 720 | Board of Examiners in Naturopathy |
| 750 | Board of Nursing |
| 760 | Nursing Home Administrator Program |
| 770 | Occupational Therapy Program |
| 780 | Board of Examiners in Optometry |
| 790 | HI Board of Osteopathic Examiners |
| 820 | Board of Pharmacy |
| 825 | Board of Physical Therapy |
| 850 | Professional Corporations |
| 860 | Board of Psychology |

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| Agency Code | Description |
|----------------|---|
| 920 | Social Worker Program |
| 930 | Board of Speech Pathology and Audiology |

3.2.2 License Type Codes

| License | D |
|-----------|---------------------------------------|
| Type Code | Description |
| ACU | Acupuncturist |
| AMD | Certified Physicians Assistant |
| APRN | Advanced Practice Registered Nurse |
| AUD | Audiologist |
| BA | Behavior Analyst |
| DC | Chiropractor |
| DH | Dental Hygienist |
| DIB | Dispensing Optician Business |
| DIO | Dispensing Optician |
| DOS | Osteopathic Physician and Surgeon |
| DT | Dentist |
| HA | Hearing Aid Dealer and Fitter |
| LPN | Licensed Practical Nurse |
| LSW | Licensed Social Worker |
| LTD | Limited Practical Nurse |
| MAE | Massage Establishment |
| MAT | Massage Therapist |
| MD | Physician |
| ND | Naturopath |
| NHA | Nursing Home Administrator |
| OD | Optometrist |
| OT | Occupational Therapist |
| PCC | Chiropractic Professional Corporation |
| PCD | Dental Professional Corporation |
| PCM | Medical Professional Corporation |
| PCOP | Optometry Professional Corporation |
| PCOS | Osteopathic Professional Corporation |
| PH | Pharmacist |
| PHY | Pharmacy |
| PO | Podiatrist |
| PSY | Psychologist |
| PT | Physical Therapy |
| RN | Registered Nurse |
| RX | APRN - Prescriptive Authority |
| SP | Speech Pathology |
| SW | Social Worker |

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3.2.3 Provider Status Codes (PMR Only)

| Provider | Trovider Status Socies (Finite Strily) |
|-------------|--|
| Status Code | Description |
| 01 | Active |
| 02 | Active-Unlicensed Nursing Home/Hospice |
| 03 | Active-Decertified for SNF/ICF |
| 04 | Active-Decertified for Hospice |
| 05 | Active-Decertified for SNF/ICF, Hospice |
| 07 | Pending - In process |
| 09 | Pending – NPI missing |
| 10 | Pending-Address missing |
| 11 | Pending-Reimbursement type missing |
| 12 | Pending-License/Certification missing |
| 13 | Pending-Category of service missing |
| 14 | Pending-Specialty missing |
| 15 | Pending-Rate schedule missing |
| 16 | Pending-Affiliation missing |
| 17 | Pending-Non-categorical deduction missing |
| 18 | Pending-Tax ID Ownership Invalid |
| 19 | Pending-Owner/Provider IDs do not match |
| 20 | Pending-Not Owner/Provider IDs Match |
| 21 | Pending-Provider contract missing |
| 22 | Pending-Service address Pay to Location Blank |
| 25 | Terminated by MQD |
| 26 | Decertified by Program Contractor |
| 27 | Terminated-no provider agreement |
| 28 | Terminated-failure to recertify |
| 29 | Terminated-no activity in 12 months |
| 30 | Terminated-other |
| 31 | Terminated-no activity in 24 months |
| 32 | Terminated-death |
| 33 | Terminated-moved out of state |
| 34 | Terminated-voluntary |
| 35 | Terminated-multiple provider id (MMIS) |
| 36 | Terminated-License terminated Board of Dental Examiners. |
| 37 | Terminated-License terminated Board of Medical Examiners |
| 38 | Terminated-License terminated Board of Nursing |
| 39 | Terminated-License terminated Board of Optometry |
| 40 | Terminated-License terminated Board of Osteopath |
| 41 | Terminated-License terminated Board of Pharmacy |
| 42 | Terminated-License terminated Board of Psychology |
| 44 | Terminated-HCFA mandated |
| 45 | Terminated-Radiation Reg |
| 46 | Terminated-License terminated Board of Podiatry |
| 47 | Terminated-License terminated Board of Chiropractic |
| 48 | Terminated-License terminated board of Speech/hearing |
| 49 | Terminated-License terminated Board of Physical Therapy |

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| Provider Status Code | Description |
|-------------------------|---|
| 50 | Terminated-License terminated Board of Respiratory |
| 51 | Terminated-ownership change |
| 52 | Terminated-provider type change |
| 53 | Terminated-multiple ids (HPMMIS) |
| 54 | Terminated-returned mail |
| 55 | Terminated-retired |
| 56 | Terminated-out of business |
| 57 | Terminated-License terminated Board of Occupational Therapy |
| 58 | Suspension – non payment |
| 59 | Termination-EVS Provider |
| 60 | Suspended-other |
| 61 | Suspended-License suspended Board of Dental Examiners |
| 62 | Suspended-License suspended Board of Medical Examiners |
| 63 | Suspended-License suspended Board of Nursing |
| 64 | Suspended-License suspended Board of Optometry |
| 65 | Suspended-License suspended Board of Osteopath |
| 66 | Suspended-License suspended Board of Pharmacy |
| 67 | Suspended-License suspended Board of Psychology |
| 68 | Suspended-License suspended Dept. of Health |
| 69 | Suspended-License suspended HCFA Mandated |
| 70 | Suspended-License suspended Radiation Regulations |
| 71 | Suspended-License suspended Board of Podiatry |
| 72 | Suspended-License suspended Board of Chiropractic |
| 73 | Suspended-License suspended Speech and Hearing |
| 74 | Suspended-License suspended Board of Physical Therapy |
| 79 | Terminated-Application Fee Not Received |
| 80 | Conv Active-Address Missing |
| 81 | Conv Active-Reimburse Type Missing |
| 82 | Conv Active-License/Cert Missing |
| 83 | Conv Active-Category of Service Missing |
| 84 | Conv Active-Specialty Missing |
| 85 | Conv Active-Rate Schedule Missing |
| 86 | Conv Active-Affiliation Missing |
| 90 | License Not Active |
| 91 | CMS Sanction |
| 92 | Provider Type/Service Not Covered |
| 93 | Out of State |
| 94 | QMB Only-No Medicare Number |
| 97 | Historical Active-Old MMIS System |
| 98 | Registration denied |
| 99 | Suspension-OIG |

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3.2.4 Provider Type Codes

| Provider Type Code | Description | NPI Required? |
|--------------------------|---|------------------|
| A7 | RESPITE (FOR MQD USE ONLY) | N |
| BC | Board Certified Behavior Analyst | Y |
| C1 | Acupuncturist | N |
| C2 | Federally Qualified Health Center (FQHC) | Y |
| C3 | Family Planning Services | Y |
| D1 | Dentist – Endodontist | Y |
| D2 | Dentist – Pedodontist | Y |
| D3 | Dentist – Oral Surgeon | Y |
| D4 | Clinic – Dental Services | Y |
| H1 | DD/ID | N |
| | (FOR MQD USE ONLY) | |
| S1 | SPECIALIZED SERVICES (FOR MQD USE ONLY) | N |
| Z1 | Out-Of-State Middle-Risk Managed Care Only | Y |
| Z2 | Out-Of-State High-Risk Managed Care Only | Υ |
| 01 | Group-Payment ID (FOR MQD USE ONLY) | N |
| 02 | Hospital | Υ |
| 03 | Pharmacy | Υ |
| 04 | Laboratory | Y |
| 05 | Clinic | Y |
| 06 | Emergency Transportation | Υ |
| 07 | Dentist | Υ |
| 08 | MD – Physician | Υ |
| 09 | Certified Nurse – Midwife (FOR MQD USE ONLY) | Υ |
| 10 | Podiatrist | Y |
| 11 | Psychologist | Y |
| 12 | Certified Registered Nurse Anesthetist | Y |
| 13 | Occupational Therapist | Υ |
| 14 | Physical Therapist | Υ |
| 15 | Speech/Hearing Therapist | Υ |
| 16 | Chiropractor | Υ |
| 17 | Naturopath | Υ |
| 18 | Physicians Assistant | Υ |
| 19 | Registered Nurse Practitioner | Υ |
| 20 | Respiratory Therapist | Υ |
| 21 | Massage Therapist | N |
| 22 | Nursing Home | Y |
| 23 | Home Health Agency | Y |
| 24 | Personal Care Attendant | N |
| 27 | Adult Day Health | N |

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| Provider | | NPI |
|----------|--|-------------|
| Type | Description | Required? |
| Code | Bosonphon | - Roquirou: |
| 28 | Non-Emergency Transportation Providers | N |
| 29 | Community/Rural Health Center | Y |
| 30 | DME Supplier | Y |
| 31 | DO-Physician Osteopath | Y |
| 33 | Rehabilitation Center | Y |
| 34 | Case Management Services | N |
| 35 | Hospice | Y |
| 36 | Assisted Living Home/HCBS | N |
| 41 | Dialysis Clinic | Y |
| 43 | Ambulatory Surgical Center | Y |
| 46 | Nurse (Private – RN/LPN) | Y |
| 47 | Registered Dietician | N |
| 48 | Nutritionist | N |
| 49 | Assisted Living Center – Units Only | N |
| 50 | Adult Foster Care | N |
| 51 | Behavioral Health Counselor | N |
| 52 | Mental Health Clinic | N |
| 55 | Hotels | N |
| 56 | Boarding Home | N |
| 57 | Residential Treatment Facility | N |
| 62 | Audiologist | Y |
| 63 | Drug And Alcohol Rehab | Y |
| 64 | Detox Center | Y |
| 69 | Optometrist | Y |
| 70 | Home Delivered Meals | N |
| 73 | Default Provider | N |
| | (FOR MQD USE ONLY) | |
| 75 | MHS Social Worker | N |
| 77 | Mental Health Rehabilitation | N |
| | (FOR MQD USE ONLY) | |
| 78 | Mental Health Residential Treatment Center | Υ |
| | (FOR MQD USE ONLY) | |
| 79 | Vision Center | Y |
| 80 | DHS MHS PROVIDER | N |
| | (FOR MQD USE ONLY) | |
| 86 | Certified Marriage/Family Therapist (CMFT) | Υ |
| 90 | QMB Only Provider | N |
| | (FOR MQD USE ONLY) | |
| 91 | QMB Only Recipient | N |
| | (FOR MQD USE ONLY) | |
| 95 | Interpreter Services | N |
| 96 | Non-Emergency Transportation (Recip) | N |
| 97 | Air Transportation | N |
| 98 | Case Manager | Y |
| 99 | EVS/Non-Service Provider | N |
| | (FOR MQD USE ONLY) | |

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3.2.5 Provider Specialty Codes

| F- | Provider Specially Codes |
|-------------------|------------------------------------|
| Specialty Code | Description |
| PCP | PCP Specialty Rates |
| 010 | Allergist/Immunologist |
| 011 | Allergist |
| 012 | Immunologist |
| 015 | Optician |
| 019 | Geneticist |
| 020 | Anesthesiologist |
| 030 | Surgery – Colon/Rectal |
| 040 | Dermatologist |
| 050 | Family Practice |
| 055 | General Practice |
| 060 | Internal Medicine |
| 062 | Cardiovascular Medicine |
| 063 | Endocrinologist |
| 064 | Gastroenterologist |
| 065 | Hematologist |
| 066 | Infectious Diseases |
| 067 | Nephrologist |
| 068 | Pulmonary Diseases |
| 069 | Rheumatologist |
| 070 | Surgery – Neurology |
| 071 | MSW Social Worker |
| 072 | Other Microbiology |
| 073 | Other Immunohematology |
| 074 | Histopathology |
| 075 | Neurologist |
| 076 | Pediatric Neurologist |
| 077 | Homeopathic |
| 078 | Clinical Cardiac Electrophysiology |
| 080 | Nuclear Medicine |
| 081 | Nuclear Physics |
| 082 | Gerontologist |
| 083 | Psychologist |
| 084 | RN – Family Nurse Practitioner |
| 085 | RN – School Nurse Practitioner |
| 086 | RN – Pediatric Nurse Associate |
| 087 | RN – Pediatric Nurse Practitioner |
| 088 | RN – Geriatric Nurse Practitioner |
| 089 | Obstetrician And Gynecologist |
| 090 | Gynecologist |
| 091 | Obstetrician |
| 092 | Maternal and Fetal Medicine |
| 093 | Reproductive Endocrinologist |

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| Specialty Code | Description |
|-------------------|--|
| 094 | RN – Midwife |
| 095 | Women's HC/OB-Gyn Nurse Practitioner |
| 096 | Neonatal Nurse Practitioner |
| 097 | RN – Adult Nurse Practitioner |
| 098 | Psych/Mental Health Nurse Practitioner |
| 099 | Neurodevelopmental Disabilities |
| 100 | Ophthalmology |
| 101 | Transplant Hepatology |
| 110 | Surgery – Orthopedic |
| 120 | Otolaryngologist |
| 122 | Laryngologist |
| 124 | Otologist |
| 125 | Rhinologist |
| 131 | Blood Banking |
| 135 | Anatomical/Clinical Pathology |
| 136 | Forensic Pathology |
| 138 | Medical Chemistry |
| 141 | Neuropathology |
| 143 | Dermatopathology |
| 150 | Pediatrician |
| 151 | Pediatric – Cardiologist |
| 152 | Pediatric – Hematologist |
| 153 | Surgery – Pediatric |
| 154 | Pediatric – Nephrologist |
| 155 | Pediatric – Neonatal/Prenatal Medicine |
| 156 | Pediatric – Endocrinologist |
| 157 | Pediatric – Allergist |
| 158 | Radiology Pediatric |
| 159 | Pediatric Pulmonary Disease |
| 160 | Physical Medicine/Rehabilitation |
| 161 | Osteopathic Manipulative Therapy |
| 162 | Sports Medicine |
| 165 | Therapist – Speech |
| 166 | Therapist – Occupational |
| 167 | Therapist – Physical |
| 170 | Surgery – Plastic |
| 171 | Surgery – Plastic Otolaryngological Facial |
| 175 | Acupuncturist |
| 176 | Adolescent Medicine |
| 178 | Hypnotist |
| 180 | Administrative Medicine |
| 181 | Surgery – Obstetrical |
| 182 | Preventive Medicine |
| 183 | Occupational Medicine |
| 184 | Public Health |
| 185 | Aerospace Medicine |
| 187 | Nutritionist |

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| Specialty Code | Description |
|-------------------|------------------------------|
| 188 | Pharmacologist |
| 189 | Psychosomatic Medicine |
| 191 | Pediatric – Psychiatrist |
| 192 | Psychiatrist |
| 195 | Psychiatrist And Neurologist |
| 200 | Radiology |
| 201 | Radiology – Diagnostic |
| 205 | Radiology – Therapeutic |
| 210 | Surgery |
| 211 | Surgery – Abdominal |
| 212 | Surgery – Cardiovascular |
| 213 | Surgery – Hand |
| 214 | Surgery – Head And Neck |
| 215 | Surgery – Maxillofacial |
| 216 | Surgery – Trauma |
| 217 | Surgery – Urological |
| 218 | Surgery – Vascular |
| 219 | Surgery – Gynecological |
| 220 | Surgery – Thoracic |
| 230 | Urologist |
| 241 | Oncologist |
| 250 | Emergency Medicine |
| 251 | Critical Care Medicine |
| 400 | Microbiology |
| 410 | Bacteriology |
| 430 | Serology |
| 431 | Syphilis |
| 437 | Other Serology |
| 440 | Virology |
| 441 | Surgery – Opthalmological |
| 450 | Mycology |
| 460 | Parasitology |
| 464 | Blood Grouping/Rh Typing |
| 470 | Pregnancy Testing |
| 484 | Surgery – Podiatrist |
| 490 | Immunohematology |
| 500 | Rh Titers |
| 501 | Crossmatching |
| 503 | Physiological Testing |
| 504 | EKG Services |
| 510 | Clinical Chemistry |
| 511 | Routine Chemistry |
| 524 | Urinalysis |
| 530 | Pathology |
| 532 | Oral Pathology |
| 540 | Exfoliative Cytology |
| 550 | Radiobioassay |

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| Specialty Code | Description |
|-------------------|---------------------------------------|
| 574 | Histocompatability |
| 585 | Other Clinical Chemistry |
| 600 | Optometrist |
| 620 | Hospice and Palliative Medicine |
| 622 | Pediatric Emergency Medicine |
| 650 | Podiatrist |
| 714 | Eye – Low Vision Specialist |
| 798 | Physician Assistant |
| 800 | Dentist – General |
| 801 | Dentist – Orthodonture |
| 802 | Dentist – Endodontist |
| 803 | Dentist – Oral Pathologist |
| 804 | Dentist – Pedodontist |
| 805 | Dentist – Prosthodontist |
| 806 | Dentist – Periodontist |
| 807 | Dentist – Public Health |
| 808 | Dentist – Oral Surgeon |
| 809 | Dentist – Anesthesiologist |
| 880 | Developmental Behavioral Pediatrics |
| 900 | Procedures – Any Certified Laboratory |
| 901 | Emergency Room Physicians |
| 913 | Dialysis |
| 925 | Audiologist |
| 927 | Cardiologist |
| 935 | Otorhinolaryngologist (ENT) |
| 943 | Pediatric Orthopedist |
| 950 | Orthopedist |
| 951 | Addiction Medicine |
| 952 | Anatomic Pathology |
| 953 | Broncho – Esophagology |
| 954 | Chemical Dependency |
| 955 | Chemical Pathology |
| 956 | Diabetes |
| 957 | Diagnostic Laboratory Immunology |
| 958 | Gynecological Oncology |
| 959 | Immunopathology |
| 960 | Legal Medicine |
| 961 | Neoplastic Diseases |
| 962 | Nuclear Radiology |
| 963 | Pediatric Hematology – Oncology |
| 964 | Pain Control |
| 965 | Psychoanalysis |
| 966 | Retired |
| 967 | Pathology – Radioisotopic |
| 968 | Radiology – Oncology |
| 969 | Medical Toxicology |
| 970 | Hematology and Oncology |

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| Specialty Code | Description |
|-------------------|-----------------------------------|
| 971 | Industrial Medicine |
| 972 | Osteopathic Manipulative Medicine |
| 973 | Proctology |
| 974 | Rehabilitation Medicine |
| 975 | Roentgenology (Diagnostic) |
| 976 | Sclerotherapy |
| 977 | Surgery, Oral and Maxillofacial |
| 999 | Other |

3.2.6 Reimbursement Types

| Code | Description |
|------|--|
| 04 | Managed Care Only Provider |
| 05 | Fee for Service and Managed Care Provider |
| 06 | H&CBS, Fee for Service, & Managed Care |
| 07 | H&CBS and Managed Care Provider |
| 10 | Group PR, Payable Cannot be Service Provider |
| 98 | Case Manager, Not Reimbursed |
| 99 | Not Reimbursed-Non-Service Provider |

3.2.7 Provider Types Requiring Ownership/Personnel Data

| Provider Type | Description |
|------------------|--|
| A7 | RESPITE |
| C2 | FEDERALLY QUALIFIED HEALTH CENTER (FQHC) |
| D4 | CLINIC - DENTAL SERVICES |
| H1 | DD/MR |
| Z 1 | OUT OF STATE MIDDLE RISK MANAGEMENT CARE |
| Z2 | OUT OF STATE HIGH RISK MANAGEMENT CARE |
| 02 | HOSPITAL |
| 03 | PHARMACY |
| 04 | LABORATORY |
| 05 | CLINIC |
| 06 | EMERGENCY TRANSPORTATION |
| 22 | NURSING HOME |
| 23 | HOME HEALTH AGENCY |
| 24 | PERSONAL CARE ATTENDANT |
| 27 | ADULT DAY HEALTH |
| 28 | NON-EMERGENCY TRANSPORTATION PROVIDERS |
| 29 | COMMUNITY/RURAL HEALTH CENTER |
| 30 | DME SUPPLIER |

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| 33 | REHABILITATION CENTER |
|----|--|
| 34 | CASE MANAGEMENT SERVICES |
| 35 | HOSPICE |
| 36 | ASSISTED LIVING HOME (FORMERLY ACH) |
| 41 | DIALYSIS CLINIC |
| 43 | AMBULATORY SURGICAL CENTER |
| 46 | NURSE (PRIVATE-RN/LPN) |
| 49 | ASSISTED LIVING CENTER |
| 50 | ADULT FOSTER CARE |
| 52 | MENTAL HEALTH CLINIC |
| 55 | HOTELS |
| 56 | BOARDING HOME |
| 57 | RESIDENTIAL TREATMENT FACILITY |
| 63 | DRUG AND ALCOHOL REHAB |
| 64 | DETOX CENTER |
| 70 | HOME DELIVERED MEALS |
| 73 | DEFAULT PROVIDER |
| 77 | BH OUTPATIENT CLINIC |
| 78 | MENTAL HEALTH RESIDENTIAL TREATMENT CNTR |
| 79 | VISION CENTER |
| 80 | DHS MHS PROVIDER |
| 90 | QMB ONLY PROVIDER |
| 95 | INTERPRETER SERVICES |
| 97 | AIR TRANSPORTATION |
| | |

3.2.8 Title Codes – In Hierarchy Order (for NN record only)

| Code | Description |
|------|---------------------------|
| OC | OWNER / COMPANY |
| OI | OWNER / INDIVIDUAL |
| CE | CHIEF EXECUTIVE OFFICER |
| CF | CHIEF FINANCIAL OFFICER |
| CI | CHIEF INFORMATION OFFICER |
| CO | CHIEF OPERATING OFFICER |
| BD | BOARD OF DIRECTORS |
| MG | MANAGING EMPLOYEE |
| EM | EMPLOYEE |
| CN | CONTRACTOR |

3.2.9 Criminal Offense Codes (for NN record only)

| Code | Description |
|------|-------------|
| CON | CONVICTED |
| DBR | DEBARRED |
| SUS | SUSPENDED |

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3.2.10 Language Codes

| Language | Description |
|----------|-----------------|
| Code | |
| AF | Afrikaans |
| AL | Albanian |
| AE | Aleut-Eskimo |
| | Languages |
| Al | American Indian |
| AM | Amharic |
| AP | Apache |
| AR | Arabic |
| AN | Armenian |
| OA | Asian, Other |
| BA | Bantu |
| BE | Bengali |
| BI | Bisayan |
| BU | Bulgarian |
| BR | Burmese |
| CJ | Cajun |
| CA | Cambodian |
| CC | Cantonese |
| CE | Cebuano |
| CH | Chamorro |
| CK | Cherokee |
| CI | Chinese |
| CU | Chuukese |
| CO | Croatian |
| CS | Cushite |
| CZ | Czech |
| DK | Dakota |
| DA | Danish |
| DU | Dutch |
| EN | English |
| ES | Estonian |
| FO | Filipino, Other |
| FN | Finnish |
| FM | Formosan |
| FR | French |
| FC | French Creole |
| FJ | Fujian |
| FU | Fulani |

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| Languago | Description |
|---------------|-----------------------|
| Language Code | Description |
| GE | German |
| GR | Greek |
| GN | Guarani |
| GU | Gujarati |
| HA | Hawaiian |
| HE | Hebrew |
| HI | Hindi |
| HM | Hmong |
| HU | Hungarian |
| IB | Igbo |
| FI | Ilocano |
| ID | India N.E.C.* |
| OI | Indo-European, Other |
| IN | Indonesian |
| IR | Irish |
| IG | Irish Gaelic |
| IT | Italian |
| JM | Jamaican Creole |
| JA | Japanese |
| KA | Kannada |
| KE | Keres |
| KO | Korean |
| KS | Kosraean |
| KH | Kru |
| KU | Kurdish |
| LA | Laotian |
| LT | Latvian |
| LI | Lithuanian |
| MC | Macedonian |
| MA | Malay |
| MY | Malayalam |
| ML | Maltese |
| CM | Mandarin |
| MD | Mande |
| MO | Maori |
| MT | Marathi |
| MR | Marquesan |
| MS | Marshallese |
| MI | Miao-yao, Mien |
| MK | Mon-Khmer |
| NA | Navajo |
| NE | Nepali |
| ON | North America Indian, |

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| Language Code | Description | |
|------------------|-----------------------|--|
| | Other | |
| NO | Norwegian | |
| OT | Other | |
| | Other Alogonquin | |
| AG | Language | |
| 4.0 | Other Specified | |
| AO | African Language | |
| 10 | Other Specified North | |
| IO | American Indian | |
| PW | Paiwan | |
| PK | Pakistan N.E.C.* | |
| PA | Palauan | |
| PJ | Panjabi | |
| PP | Papuan | |
| PS | Pashto | |
| PT | Patois | |
| PD | Pennsylvania Dutch | |
| PE | Persian | |
| PO | Pohnpeian | |
| PL | Polish | |
| PR | Portuguese | |
| RA | Rapanui | |
| RO | Romanian | |
| RU | Russian | |
| SA | Samoan | |
| SB | Sebuano | |
| SE | Serbian | |
| SC | Serbocroatian | |
| SI | Sign Language | |
| SN | Sinhalese | |
| SL | Slovak | |
| SV | Slovenian | |
| IL | South/Central | |
| IL. | American Indian | |
| SO | South Pacific, Other | |
| SP | Spanish | |
| SH | Swahili | |
| SW | Swedish | |
| SY | Syriac | |
| FT | Tagalog | |
| TA | Tahitian | |
| TM | Tamil | |
| TL | Telugu | |

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| Language Code | Description |
|------------------|-------------|
| TH | Thai |
| TO | Tongan |
| TU | Turkish |
| UK | Ukrainian |
| UN | Unknown |
| UR | Urdu |
| VI | Vietnamese |
| VS | Visayan |
| YA | Yapese |
| YI | Yiddish |
| YO | Yoruba |
| ZU | Zuni |

3.3 Appendix 3C – Provider Error Reports

3.3.1 File Header Record Format (Provider Error Reports)

| # | Data Name | Size | Type | Remarks |
|---|----------------|------|------|---------------------------------------|
| 1 | Health Plan ID | 6 | AN | Health Plan ID |
| 2 | Current Date | 8 | N | CCYYMMDD |
| 3 | File Type Code | 2 | AN | EN = Encounter; PN = Provider Network |

3.3.2 Provider Detail Error Report Record Format

| | | | | | Position | |
|---|----------------------|------|------|------|----------|-------------------------------|
| # | Data Name | Size | Type | From | То | Remarks |
| 1 | Row Number | 6 | N | 1 | 6 | File sequence number |
| 2 | Health Plan ID | 6 | Α | 7 | 12 | Health plan ID |
| | Health Plan Provider | | | | | Health plan assigned provider |
| 3 | ID | 12 | AN | 13 | 24 | ID |
| 4 | Field ID | 5 | AN | 25 | 29 | Health plan manual item ID |
| | | | | | | Health plan manual item |
| 5 | Field Description | 25 | Α | 30 | 54 | Name |
| | | | | | | Augmented National Provider |
| 6 | Error Code | 5 | N | 55 | 59 | System error codes |
| 7 | Error Message | 50 | Α | 60 | 109 | Description of error |
| 8 | Field Value | 25 | AN | 110 | 134 | Left justified field value |
| 9 | Exclusion Flag | 1 | YN | 135 | 135 | Excluded from assignment |

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3.3.3 File Trailer Record Format (Provider Error Reports)

| # | Data Name | Size | Type | Remarks |
|---|-------------------|------|------|---|
| 1 | Trailer Indicator | 6 | AN | ZZZZZZ |
| 2 | Current Date | 8 | N | CCYYMMDD |
| | | | | Total number of records (including header and |
| 3 | Total Count | 6 | N | trailer records) |

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3.4 Appendix 3D – Provider Health Plan Add (HPA) and Provider Master Registry (PMR) Record Index/Data Elements

3.4.1 Record Type

| Characteristics: | | N] Length [2] |
|----------------------|----------------------------------|--------------------------------------|
| Record Type: | | DD[X] EE[X] FF[X] GG[X] HH[X] *II[X] |
| | *JJ[X] *KK[X] **LL[X] | |
| Field Status: | Required [Y] | |
| E. 115 (1) | | |
| Field Definition: | Indicates provider record typ | e. |
| Edit Rules/Criteria: | Must not be null. | |
| Edit Kules/Criteria. | Must be a valid value. | |
| | | he returned on the DMD only |
| | | be returned on the PMR only. |
| | ** "LL" record will be for the I | HPS file only. |
| | Examples: | Enter As: |
| | Master Record | AA |
| | Address Record | BB |
| | Group Record | CC |
| | Specialty Record | DD |
| | EPSDT Record | EE |
| | License Record | FF |
| | Contract Record | GG |
| | CLIA Record | HH |
| | Reimbursement Type Record | d II |
| | Enrollment Record | JJ |
| | NPI Record | KK |
| | Membership Record | LL |
| | - | |

3.4.2 Health Plan ID

| Characteristics: Record Type: | ID [ALL - 2] |
|----------------------------------|--|
| Field Status: | Required [Y] |
| Field Definition: | Indicates submitting health plan. Unique Health Plan ID assigned by DHS Med-QUEST. |
| Edit Rules/Criteria: | Must not be null. Must be a valid value. This field will be populated with "HPMMIS" on the PMR. * "II", "JJ", "KK" Records will be returned on the PMR only. **"LL" Record will be for the HPS file only. Refer to Appendix 3I – Health Plan IDs. |

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3.4.3 Health Plan Provider ID

| Characteristics: | ID [ALL - 3] Type [AN] Length [12] |
|----------------------|--|
| Record Type: | AA[X] BB[X] CC[X] DD[X] EE[X] FF[X] GG[X] HH[X] *II[X] |
| | *JJ[X] *KK[X]**LL[X] |
| Field Status: | Required [Y] |
| | |
| Field Definition: | Health Plan assigned Provider ID. |
| | |
| Edit Rules/Criteria: | Must not be null. |
| | This ID will not be returned on the PMR. |
| | * "II", "JJ", "KK" Records will be returned on the PMR only. |
| | **"LL" Record will be for the HPS file only. |
| | · |

3.4.4 QUEST Provider ID

| Characteristics: | ID [ALL - 4] Type [AN] Length [6] |
|----------------------|--|
| Record Type: | AA[X] BB[X] CC[X] DD[X] EE[X] FF[X] GG[X] HH[X] *II[X] |
| | *JJ[X] *KK[X]**LL[X] |
| Field Status: | Required [C] |
| | |
| Field Definition: | Unique QUEST assigned provider identification number |
| | |
| Edit Rules/Criteria: | If new provider and the provider is not in PMR, fill with blanks. |
| | If not a new provider use valid Quest Provider ID assigned by MQD (PMR). |
| | * "II", "JJ", "KK" Records will be returned on the PMR only. |
| | **"LL" Record will be for the HPS file only. |
| | · |

3.4.5 Name

| Characteristics: | ID [AA – 6] Type [AN] Length [40] |
|----------------------|---|
| Record Type: | AA[X]BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [Y] |
| | |
| Field Definition: | Provider's name. |
| | |
| Edit Rules/Criteria: | Must not be null. |
| | Punctuation is allowed. |
| | If it is an individual provider use Last Name/First Name M. |
| | Example: John M. Smith |
| | Enter as: S M I T H / J O H N M |
| | If it is an institutional provider use the business name. |
| | Example: Hawaiian Physical Therapists |
| | Enter as: H A W A A N P H Y S C A L T H E R A P S T S |
| | |

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3.4.6 SSN

| Characteristics: | ID [AA – 7] Type [AN] Length [9] |
|----------------------|--|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| | |
| Field Definition: | Number assigned by the Social Security Administration to uniquely identify |
| | individuals. |
| | |
| Edit Rules/Criteria: | No punctuation (hyphens). |
| | Examples: Enter As: |
| | 591-62-1111 5 9 1 6 2 1 1 1 1 |
| | |

3.4.7 Provider Type

| Characteristics: Record Type: | ID [AA - 8] Type [AN] AA [X] BB [] CC [] DD [] JJ [] KK [] LL [] | Length [2] EE[] FF[] GG[] HH[] II[] |
|----------------------------------|--|---|
| Field Status: | Required [Y] | |
| Field Definition: | Code classifying the type of services | s to be rendered by the provider. |
| Edit Rules/Criteria: | Values. | . Refer to Appendix 3B – Provider Codes and |
| | Examples: Wayne G. Guy, RPT | Enter As: 1 4 (Physical Therapist) |
| | Hank's Skilled Nursing Facility | 2 2 (Nursing Home) |
| | Highlands Medical Clinic | 0 5 (Clinic) |
| | Drew T. Mind, MD (Psychiatrist) | 0 8 (Physician) |

3.4.8 Provider Status

| Characteristics: | ID [AA - 9] Type [AN] Length [2] | |
|----------------------|--|--|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] | |
| | JJ[] KK[] LL[] | |
| Field Status: | Required [N] | |
| Field Definition: | Code identifying the HPMMIS provider enrollment status. | |
| Edit Rules/Criteria: | Do not submit a code on the HPA nor HPS submission, the code will be returned on the PMR | |
| | Refer to Appendix 3B – Provider Codes and Values. | |

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3.4.9 NPI

| Characteristics: | ID [AA - 10] Type [AN] Length [10] |
|----------------------|--|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| | |
| Field Definition: | National Provider Identifier. |
| | |
| Edit Rules/Criteria: | Required if provider type requires a NPI. |
| | Refer to Section 3.2.4 – Provider Type Codes. |
| | The NPI will be returned in the KK record only on the PMR. |
| | This field will contain spaces in the PMR. |

3.4.10 NPI Begin Date

| Characteristics: | ID [AA - 11] Type [N] Length [8] |
|----------------------|---|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| Field Definition: | NPI Begin Date |
| Edit Rules/Criteria: | Must be a valid date in CCYYMMDD format. The NPI Begin Date will be returned in the KK record only on the PMR. This field will contain spaces in the PMR. |

3.4.11 Date of Birth

| Characteristics: | ID [AA - 12] Type [N] Length [8] |
|----------------------|---|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| Field Definition: | Provider's date of birth. |
| Edit Rules/Criteria: | Must be a valid date in CCYYMMDD format. |
| | Required only when an SSN is submitted denoting an individual provider. |

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3.4.12 Gender

| Characteristics: | ID [AA - 13] Type [AN] Length [1] |
|----------------------|---|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| | |
| Field Definition: | Provider's Gender. |
| | |
| Edit Rules/Criteria: | Must be a valid value. |
| | Required only when an SSN is submitted denoting an individual provider. |
| | |

3.4.13 Language Code 1

| Characteristics: | ID [AA - 14] Type [AN] Length [2] |
|----------------------|---|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| | |
| Field Definition: | First Non-English Language of Provider |
| | |
| Edit Rules/Criteria: | Must be a valid value. |
| | Refer to 3.2.10 Language Codes table. |
| | |

3.4.14 Language Code 2

| Characteristics: | ID [AA - 15] Type [AN] Length [2] |
|----------------------|---|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| Field Definition: | Second Non-English Language of Provider |
| Edit Rules/Criteria: | Must be a valid value. Refer to 3.2.10 Language Codes table. |

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3.4.15 Language Code 3

| Characteristics: | ID [AA - 16] Type [AN] Length [2] |
|----------------------|---|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| | |
| Field Definition: | Third Non-English Language of Provider |
| | |
| Edit Rules/Criteria: | Must be a valid value. |
| | Refer to 3.2.10 Language Codes table. |
| | |

3.4.16 Language Code 4

| Characteristics: | ID [AA - 17] Type [AN] Length [2] |
|----------------------|---|
| Record Type: | AA[X] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| | |
| Field Definition: | Fourth Non-English Language of Provider |
| | |
| Edit Rules/Criteria: | Must be a valid value. |
| | Refer to 3.2.10 Language Codes table. |
| | |

3.4.17 Location Code

| Characteristics: Record Type: | ID [BB - 5 & II-5 & LL-5] |
|----------------------------------|---|
| Field Status: | Required [N] |
| Field Definition: | Code identifying the payment or service locations of the provider. |
| Edit Rules/Criteria: | Do not submit a code on the HPA submission. Submit on the HPS submission for the LL Record only. The code will be returned on the PMR. "II" Record will be returned on the PMR only. **"LL" Record will be for the HPS file only. |

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3.4.18 Address Type

Note: Address Type BB record set cannot exceed 40 records per Provider.

| Characteristics: | ID [BB – 6 & II - 6] Type [AN] Length [1] |
|----------------------|---|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] *II[X] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [Y] |
| Field Definition: | Code identifying the type of address associated with the provider. |
| | |
| Edit Rules/Criteria: | Must not be null. |
| | Must be a valid value. |
| | A (C)orrespondence, a (S)ervice address and a (P)ay To address are required |
| | for each record set. |
| | * "II" Record will be returned on the PMR only. |
| | Examples: Enter As: |
| | Correspondence C |
| | Pay To P |
| | Service S |

3.4.19 FEIN

| Characteristics: | ID [BB – 7] Type [AN] Length [9] |
|----------------------|---|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| Field Otet | JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| Field Definition | Fadaval Francis van Idaviii aatian Niverban |
| Field Definition: | Federal Employer Identification Number. |
| Edit Doda (Critaria | Must be considered if Address Time D |
| Edit Rules/Criteria: | Must be completed if Address Type = P. |
| | Enter without punctuation |
| | Examples: Enter As: |
| | 99-1234567 9 9 1 2 3 4 5 6 7 |
| | 05-3456789 0 5 3 4 5 6 7 8 9 |
| | |

3.4.20 Mail Indicator

| Characteristics: Record Type: Field Status: | ID [BB - 8] |
|---|---|
| Tiola Glatae. | rtoquilou [O] |
| Field Definition: | "Y" or "N" flag. |
| Edit Rules/Criteria: | Returned only in the PMR. Populated for Pay-to or Service addresses (Addr-Type = 'P' or 'S') only. Indicates whether or not a provider wants to have correspondence sent to their Pay-To and/or Service addresses also. If Addr-Type is 'P' or 'S' and this field is blank, default is 'N'. Will not have an indicator for the Correspondence address (Addr-Type = C) because it is understood that correspondences will be sent to this address. |

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3.4.21 Street Address #1

| Characteristics: Record Type: | ID [BB - 9] Type [AN] Length [40] AA [] BB [X] CC [] DD [] EE [] FF [] GG [] HH [] II [] JJ [] KK [] LL [] | |
|----------------------------------|--|--|
| Field Status: | Required [Y] | |
| Field Definition: | First line of the provider's address. | |
| Edit Rules/Criteria: | Free text. Punctuation allowed. Left justified with trailing blanks. Postal Service standards for abbreviations. | |
| | Example: Aloha Physician Building 820 Hart Drive Enter As: A L O H A P H Y S I C I A N S B U I L D I N G | |
| | Example: P.O. Box 211 Enter As: P . O . B O X 2 1 1 | |

3.4.22 Street Address #2

| Characteristics: Record Type: | ID [BB - 10] Type [AN] Length [40] AA [] BB [X] CC [] DD [] EE [] FF [] GG [] HH [] II [] JJ [] KK [] LL [] |
|----------------------------------|---|
| Field Status: | Required [C] |
| Field Definition: | Second line of the provider's address. |
| Edit Rules/Criteria: | Free text. Punctuation allowed. Left justified with trailing blanks. Postal Service standards for abbreviations. Example: Aloha Physician Building 820 Hart Drive Enter As: 8 2 0 H A R T D R I V E |

3.4.23 City

| Characteristics: | ID [BB - 11] Type [AN] Length [20] | |
|----------------------|---|--|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] | |
| | JJ[] KK[] LL[] | |
| Field Status: | Required [Y] | |
| | | |
| Field Definition: | City in which address is located. | |
| | | |
| Edit Rules/Criteria: | Free text. | |
| | | |

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3.4.24 State

| Characteristics: Record Type: Field Status: | ID [BB - 12] | |
|---|---|--|
| Field Definition: | State in which the address is located. U.S. Postal Service standard abbreviation. | |
| Edit Rules/Criteria: | Must not be null. Standard abbreviation. | |
| | Examples: Enter As: Hawaii H I California C A | |

3.4.25 Zip Code

| Characteristics: Record Type: Field Status: | | |
|---|---|--|
| Field Definition: | United States Postal Service standard zip code. | |
| Edit Rules/Criteria: | Must not be not No punctuation 3. The + Example: Enter As: Example: Enter As: | |

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3.4.26 Address Begin Date

| Characteristics: | ID [BB – 14] Type [N] Length [8] | |
|----------------------|---|--|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[] KK[] LL[] | |
| Field Status: | Required [Y] | |
| Field Definition: | Effective date of provider's address. | |
| Edit Rules/Criteria: | Must not be null. Must be a valid date in CCYYMMDD format. Must be less than or equal to Address End Date | |
| | Examples: Enter As: March 1, 2002 2 0 0 2 0 3 0 1 | |

3.4.27 Address End Date

| Characteristics: Record Type: | ID [BB - 15] Type [N] Length [8] AA [] BB [X] CC [] DD [] EE [] FF [] GG [] HH [] II [] JJ [] KK [] LL [] | |
|----------------------------------|---|--|
| Field Status: | Required [C] | |
| Field Definition: | Expiration date of provider's address. | |
| Edit Rules/Criteria: | Must be a valid date in CCYYMMDD format. Must greater than or equal to the Address Begin Date. | |
| | Examples: Enter As: April 1, 2002 2 0 0 2 0 4 0 1 | |

3.4.28 Health Plan Group ID

| Characteristics: Record Type: Field Status: | ID [CC - 6] |
|---|---|
| Field Definition: | Provider ID number assigned by the health plan to a designated group or organization. |
| Edit Rules/Criteria: | Required only if submitting a CC record. This ID will not be returned on the PMR. Must not be null. Must be a valid Health Plan Provider ID, established by an AA Record. Must be a group or organizational provider, where Provider Type = 01. |

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3.4.29 Group QUEST ID

| Characteristics: Record Type: Field Status: | ID [CC - 7] |
|---|--|
| Field Definition: | QUEST Provider ID number assigned to designated group or organization. |
| Edit Rules/Criteria: | Required if Group Provider has been assigned a QUEST Provider ID. Must be a valid QUEST Provider ID number assigned in the group's AA to HH record set. |

3.4.30 Group Begin Date

| Characteristics: Record Type: Field Status: | ID [CC - 9] Type [N] Length [8] AA [] BB [] CC [X] DD [] EE [] FF [] GG [] HH [] II [] JJ [] KK [] LL [] Required [Y] | | |
|---|--|--|--|
| Field Definition: | Effective Begin Date of the provider's association with the group. | | |
| Edit Rules/Criteria: | Required only if submitting a CC record. Must not be null. Must be a valid date in CCYYMMDD format. Must be less than or equal to Group End Date Examples: Enter As: March 1, 2002 2 0 0 2 0 3 0 1 | | |

3.4.31 Group End Date

| Characteristics: Record Type: | ID [CC - 10] | |
|----------------------------------|--|--|
| Field Status: | Required [C] | |
| Field Definition: | The date on which the provider became disassociated with the group. | |
| Edit Rules/Criteria: | Must be a valid date in the format CCYYMMDD. Must be greater than or equal to Group Begin Date. | |
| | Examples: Enter As: April 1, 2002 2 0 0 2 0 4 0 1 | |

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3.4.32 Primary Indicator

| Characteristics: | ID [DD - 6] Type [AN] Length [1] | | |
|----------------------|---|--|--|
| Record Type: | AA[] BB[] CC[] DD[X] EE[] FF[] GG[] HH[] II[] | | |
| | JJ[] KK[] LL[] | | |
| Field Status: | Required [Y] | | |
| | | | |
| Field Definition: | Indicator used to designate the specialty identified on this specific record is | | |
| | primary to the provider. | | |
| | | | |
| Edit Rules/Criteria: | Required only if submitting a DD record. | | |
| | Must not be null. | | |
| | Must be a valid value, Y(es) or N(o). | | |
| | Only one active primary specialty per provider in a given time period. | | |

3.4.33 Specialty Code

| Characteristics: Record Type: Field Status: | ID [DD - 7] Type [AN] Length [3] AA [] BB [] CC [] DD [X] EE [] FF [] GG [] HH [] II [] JJ [] KK [] LL [] Required [Y] | |
|---|--|--|
| Field Definition: | Code classifying the provider by specialty, as associated with the health plan | |
| Edit Rules/Criteria: | Required only if submitting a DD record. Must not be null. Must be a valid value. Refer to Appendix 3B – Provider Codes and Values subsection 3.2.5 Provider Specialty Codes | |
| | Examples: Enter As: Anesthesiologist 0 2 0 Surgery – Maxillofacial 2 1 5 | |
| | Submit only <u>Board Certified</u> specialties. Please <u>do not</u> submit a Board Eligible specialty. | |

3.4.34 Specialty Begin Date

| Characteristics: Record Type: Field Status: | <pre>ID [DD - 8]</pre> | | |
|---|---|--|--|
| Field Definition: | Effective date of the provider's specialty within the health plan. | | |
| Edit Rules/Criteria: | Required only if submitting a DD record. Must not be null. Must be a valid date in the format CCYYMMDD. Must be less than or equal to Specialty End Date. Examples: Enter As: March 1, 2002 2 0 0 2 0 3 0 1 | | |

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3.4.35 Specialty End Date

| Characteristics: | ID [DD – 9] Type [N] Length [8] | | |
|----------------------|---|--|--|
| Record Type: | AA[] BB[] CC[] DD[X] EE[] FF[] GG[] HH[] II[] | | |
| | JJ[] KK[] LL[] | | |
| Field Status: | Required [C] | | |
| | | | |
| Field Definition: | Expiration date of the provider's specialty within the health plan. | | |
| | | | |
| Edit Rules/Criteria: | Must be a valid date in the format CCYYMMDD. | | |
| | Must be greater than or equal to Specialty Begin Date. | | |
| | | | |
| | Examples: Enter As: | | |
| | April 1, 2002 2 0 0 2 0 4 0 1 | | |
| | | | |

3.4.36 PCP Indicator

| Characteristics: | ID [DD – 10] Type [| | |
|----------------------|--|--------------------------------------|--|
| Record Type: | | DD[X] EE[] FF[] GG[] HH[] II[] | |
| | JJ[] KK[] LL[] | | |
| Field Status: | Required [Y] | | |
| Field Definition: | Indicates that the provider has been designated as a primary care provider within the health plan. | | |
| Edit Rules/Criteria: | Required only if submitting a DD record. | | |
| | Must not be null. | | |
| | Must be a valid value, Y(es) or N(o). | | |
| | A value of Y is valid for the following specialties: | | |
| | Family Practice OB-GYN | | |
| | General Practice Certified Nurse Mid-Wife | | |
| | Internal Medicine | General or Family Nurse Practitioner | |
| | Pediatrics | Pediatric Nurse Practitioner | |
| | Not returned on the PMR. | | |

3.4.37 PCP Specialty Indicator (PMR File Only)

| Characteristics: Record Type: Field Status: | ID [DD - 11] | |
|---|--|--|
| Field Definition: | Self-Attestation Value. | |
| Edit Rules/Criteria: | Do not submit this record on the HPA nor HPS submission. Will contain one of the following values: B = Board Certified 6 = 60% Criteria Met N = Not Attested 7 = 60% criteria, new provider | |

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3.4.38 Attestation Date (PMR File Only)

| Characteristics: | ID [DD - 12] Type [N] Length [8] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[X] EE[] FF[] GG[] HH[] II[] JJ[] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition: | Indicates date of Self Attestation. |
| Edit Rules/Criteria: | Do not submit this record on the HPA nor HPS submission. |

3.4.39 EPSDT Begin Date

| Characteristics: Record Type: | <pre>ID [EE - 6]</pre> | | |
|----------------------------------|--|--|--|
| Field Status: | Required [Y] | | |
| Field Definition: | Date on which the provider was certified to perform EPSDT services within the health plan. | | |
| Edit Rules/Criteria: | Required only if submitting a EE record. Must not be null. Must be a valid date in the format CCYYMMDD. Must be less than or equal to EPSDT End Date. | | |
| | Examples: Enter As: March 1, 2002 2 0 0 2 0 3 0 1 | | |

3.4.40 EPSDT End Date

| Characteristics: Record Type: | ID [EE - 7] | | |
|----------------------------------|--|--|--|
| Field Status: | Required [C] | | |
| Field Definition: | Date on which the provider was decertified to perform EPSDT services within the health plan. | | |
| Edit Rules/Criteria: | Must be a valid date in the format CCYYMMDD. Must be greater than or equal to EPSDT Begin Date. | | |
| | Examples: Enter As: April 1, 2002 2 0 0 2 0 4 0 1 | | |

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3.4.41 Agency ID

| Characteristics: Record Type: | ID[FF-6] |] |
|----------------------------------|---|--------------------------------|
| Field Status: | Required [Y] | |
| Field Definition: | Code identifies the licensing ag | gency or board. |
| Edit Rules/Criteria: | Required only if submitting a FF record. Must not be null. Must be valid value. Refer to Appendix 3B – Provider Codes and Values. | |
| | Examples: Drug Enforcement Agency Board of Medical Examiners | Enter As: 0 1 7 3 2 0 |

3.4.42 DEA Level

| Characteristics: Record Type: | ID [FF - 7] |
|----------------------------------|---|
| Field Status: | Required [C] |
| Field Definition: | Qualifies the DEA license number. |
| Edit Rules/Criteria: | Not required for Agency Code 017. Must be a valid value. |

3.4.43 License/Certificate Number

| Characteristics: Record Type: Field Status: | ID [FF - 8] Type [AN] Length [15] AA [] BB [] CC [] DD [] EE [] FF [X] GG [] HH [] II [] JJ [] KK [] LL [] Required [Y] |
|---|--|
| Field Definition: | License number assigned to a provider. |
| Edit Rules/Criteria: | Required only if submitting a FF record. Must not be null. Must be a valid license/certificate number. License/Certificate type is left justified. License/Certificate number is right justified with leading zeros. License Type and License number are combined to fill 15 position fields. No punctuation. Refer to Appendix 3B – Provider Codes and Values sub-section 3.2.2 License Type Codes. |
| | Examples: Enter As: MD-0000001 M D 0 0 0 0 0 0 0 0 0 0 1 RN 235 R N 0 0 0 0 0 0 0 0 2 3 5 DDS004563 D D S 0 0 0 0 0 0 0 4 5 6 3 |

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3.4.44 License/Certification Begin Date

| Characteristics: | ID [FF – 9] | Type [N] | Length [8] | | |
|----------------------|---|-----------------|------------|---------|------------|
| Record Type: | AA[] BB[] | CC[] DD[] E | EE[] FF[X] | GG[] HH | [] [] |
| | | LL [] | | | |
| Field Status: | Required [Y] | | | | |
| | | | | | |
| Field Definition: | Effective date of the provider's license. | | | | |
| | | | | | |
| Edit Rules/Criteria: | Required only if submitting a FF record. | | | | |
| | Must not be null. | | | | |
| | Must be a valid date in the format CCYYMMDD. | | | | |
| | Must be less than or equal to License Expiration Date | | | | |
| | Examples: | Enter As: | | | |
| | March 1, 2002 | 2 0 0 2 0 3 0 1 | | | |
| | | | | | |

3.4.45 License/Certification Expiration Date

| Characteristics: | ID [FF – 10] Type [N] Length [8] | | |
|---|--|--|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[X] GG[] HH[] II[] | | |
| | JJ[] KK[] LL[] | | |
| Field Status: | Required [C] | | |
| | | | |
| Field Definition: | Termination date of the provider's license. | | |
| - III | M | | |
| Edit Rules/Criteria: | Must be a valid date in the format CCYYMMDD. | | |
| | Must be greater than or equal to License Begin Date. | | |
| | Examples: Enter As: | | |
| | Examples: Enter As: April 1, 2002 2 0 0 2 0 4 0 1 | | |
| | April 1, 2002 2 0 0 2 0 4 0 1 | | |
| | | | |

3.4.46 Contract Begin Date

| Characteristics: Record Type: Field Status: | ID [GG - 6] Type [N] Length [8] AA [] BB [] CC [] DD [] EE [] FF [] GG [X] HH [] II [] JJ [] KK [] LL [] Required [Y] | |
|---|--|--|
| Field Definition: | Effective date of the health plan's contract with the provider. | |
| Edit Rules/Criteria: | Required only if submitting a GG record. Must not be null. Must be a valid date in the format CCYYMMDD. Must be less than or equal to Contract Expiration Date Examples: Enter As: March 1, 2002 2 0 0 2 0 3 0 1 | |

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3.4.47 Contract End Date

| Characteristics: Record Type: | ID [GG - 7] Type [N] Length [8] AA [] BB [] CC [] DD [] EE [] FF [] GG [X] HH [] II [] JJ [] KK [] LL [] | | |
|----------------------------------|---|--|--|
| Field Status: | Required [C] | | |
| Field Definition: | Termination date of the health plan's contract with the provider. | | |
| Edit Rules/Criteria: | Must be a valid date in the format CCYYMMDD. Must be greater than or equal to the Contract Begin Date. | | |
| | Examples: Enter As: April 1, 2002 2 0 0 2 0 4 0 1 | | |

3.4.48 CLIA Number

| Characteristics: | ID [HH – 6] Type [AN] Length [10] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[X] II[] JJ[] KK[] LL[] |
| Field Status: | Required [Y] |
| Field Definition: | Federally assigned laboratory certification number. |
| Edit Rules/Criteria: | Required only if submitting an HH record. Must not be null. Must be a valid CLIA number. |

3.4.49 Street Address #1

| Characteristics: Record Type: Field Status: | ID [HH - 7] Type [AN] Length [40] AA [] BB [] CC [] DD [] EE [] FF [] GG [] HH [X] II [] JJ [] KK [] LL [] Required [Y] | | |
|---|--|--|--|
| Field Definition: | First line of the provider's CLIA address. | | |
| Edit Rules/Criteria: | Required only if submitting an HH record. Free text. Punctuation allowed. Left justified with trailing blanks. Postal Service standards for abbreviations. Example: Aloha Physician Building 820 Hart Drive Enter As: A L O H A P H Y S I C I A N S B L D G . Example: P.O. Box 211 Enter As: P . O . B O X 2 1 1 | | |

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3.4.50 Street Address #2

| Characteristics: | ID [HH – 8] Type [AN] Length [40] | |
|----------------------|---|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[X] II[] JJ[] KK[] LL[] | |
| Field Status: | Required [C] | |
| Field Definition: | Second line of the provider's CLIA address. | |
| Edit Rules/Criteria: | Free text. Punctuation allowed. Left justified with trailing blanks. Postal Service standards for abbreviations. Example: Aloha Physician Building 820 Hart Drive Enter As: 8 2 0 H A R T D R I V E | |

3.4.51 City

| Characteristics: | ID [HH – 9] Type [AN] Length [20] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[X] II[] JJ[] KK[] LL[] |
| Field Status: | Required [Y] |
| Field Definition: | City in which CLIA address is located. |
| Edit Rules/Criteria: | Required only if submitting an HH record. |
| | Free text. |

3.4.52 State

| Characteristics: | ID [HH – 10] Type [AN] Length [2] | | |
|----------------------|--|--|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[X] II[] | | |
| | JJ[] KK[] LL[] | | |
| Field Status: | Required [Y] | | |
| | | | |
| Field Definition: | State in which the CLIA address is located. U.S. Postal Service standard | | |
| | abbreviation. | | |
| | | | |
| Edit Rules/Criteria: | Required only if submitting an HH record. | | |
| | Must not be null. | | |
| | Standard abbreviation. | | |
| | | | |
| | Examples: Enter As: | | |
| | Hawaii H I | | |
| | California C A | | |
| | | | |

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3.4.53 Zip Code

| Characteristics: | ID [H – 11] | Type [N] Length [9] |
|----------------------|--|---|
| Record Type: | |] CC[] DD[] EE[] FF[] GG[] HH[X] II[] |
| Field Status: | Required [Y] |) <u></u> [] |
| Field Definition: | United States | Postal Service standard zip code. |
| Edit Rules/Criteria: | Required only if submitting an HH record. Must not be null. No punctuation marks. The +4 is not required – fill with spaces | |
| | Example: | 94-3000 Kalanianaole Parkway Waipahu, HI 96797 |
| | Enter As: | 9 6 7 9 7 |
| | Example: | 339 Kamehameha Street Honolulu, HI 96809-0339 |
| | Enter As: | 9 6 8 0 9 0 3 3 9 |

3.4.54 CLIA Begin Date

| Characteristics: Record Type: | ID[HH-12] |
|----------------------------------|---|
| Field Status: | Required [Y] |
| Field Definition: | Effective date of the provider's CLIA license. |
| Edit Rules/Criteria: | Required only if submitting a HH record. Must not be null Must be a valid date in the format CCYYMMDD. Must be less than or equal to the CLIA End Date |
| | Examples: Enter As: March 1, 2002 2 0 0 2 0 3 0 1 |

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3.4.55 CLIA End Date

| Characteristics: | ID [HH – 13] Type [N] Length [8] |
|----------------------|---|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[X] II[] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [C] |
| | |
| Field Definition: | Termination date of the provider's CLIA number. |
| | |
| Edit Rules/Criteria: | Must be a valid date in the format CCYYMMDD. |
| | Must be greater than or equal to the CLIA BEGIN DATE. |
| | |
| | Examples: Enter As: |
| | April 1, 2002 2 0 0 2 0 4 0 1 |
| | |

3.4.56 Attention To (PMR file only)

| Characteristics: | ID [II – 7] Type [AN] Length [40] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[X] JJ[] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition: | Attention To line of the Provider's Address. |
| Edit Rules/Criteria: | Returned only in the PMR file. Free text. |

3.4.57 Address Phone (PMR file only)

| Characteristics: | ID [II – 8] Type [AN] Length [10] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[X] JJ[] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition: | Business Phone of the Provider. |
| Edit Rules/Criteria: | Returned only in the PMR file. |

3.4.58 Address Fax (PMR file only)

| Characteristics: | ID [II – 9] Type [AN] Length [10] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[X] JJ[] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition: | Fax number of the Provider. |
| Edit Rules/Criteria: | Returned only in the PMR file. |

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3.4.59 Reimbursement Type (PMR file only)

| Characteristics: | ID [II – 10] Type [AN] Length [2] |
|----------------------|---|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[X] |
| | JJ[] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition | Descrides Deisch und annach Turc |
| Field Definition: | Provider Reimbursement Type. |
| Edit Rules/Criteria: | Returned only in the PMR file. |
| | Populated only if Address Type = 'C', otherwise will be Space filled. |
| | Valid Values are: |
| | 01 Fee For Service |
| | 04 Managed Care Only Provider |
| | 05 Fee For Service and Managed Care Provider |
| | 06 H&CBS, Fee For Service & Managed Care |
| | 07 H&CBS and Managed Care Provider |
| | 10 Group Provider, Payable Cannot Be Service Provider |
| | 98 Case Manager, Not Reimbursed |
| | 99 Not Reimbursed-Non-Service Provider |
| | |

3.4.60 Reimbursement Begin Date (PMR file only)

| Characteristics: Record Type: Field Status: | ID [-11 Type [AN] |
|---|---|
| Field Definition: | Provider Begin Date of Reimbursement Type. |
| Edit Rules/Criteria: | Returned only in the PMR file. Populated only if Address Type = 'C', otherwise will be Space filled. Format CCYYMMDD. |

3.4.61 Reimbursement End Date (PMR file only)

| Characteristics: Record Type: | ID [II - 12] |
|----------------------------------|---|
| Field Status: | Required [N] |
| Field Definition: | Provider End Date of Reimbursement Type. |
| Edit Rules/Criteria: | Returned only in the PMR file. Populated only if Address Type = 'C', otherwise will be Space filled. Format CCYYMMDD. |

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3.4.62 Provider Status Type (PMR file only)

| Characteristics: | ID [JJ - 5] Type [AN] Length [2] |
|----------------------|---|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[X] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition: | Provider Status Type. |
| Edit Rules/Criteria: | Do not submit on the HPA nor HPS submission. The Provider Status Type will be returned on the PMR only. |

3.4.63 Provider Status Code (PMR file only)

| Characteristics: Record Type: Field Status: | ID [JJ - 6] Type [AN] Length [2] AA [] BB [] CC [] DD [] EE [] FF [] GG [] HH [] II [] JJ [X] KK [] LL [] Required [N] |
|---|---|
| Field Definition: | Provider Status Code. |
| Edit Rules/Criteria: | Do not submit on the HPA nor HPS submission. The Provider Status Code will be returned on the PMR only. Refer to Table of Provider Status Codes for list of Status Codes and the definitions. |

3.4.64 Provider Status Begin Date (PMR file only)

| Characteristics: | ID [JJ – 7] Type [AN] Length [8] |
|----------------------|---|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[X] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition: | Provider Status Type. |
| Edit Rules/Criteria: | Do not submit on the HPA nor HPS submission. The Provider Status Begin Date will be returned on the PMR only. |

3.4.65 Provider Status End Date (PMR file only)

| Characteristics: | ID [JJ - 8] Type [AN] Length [8] |
|----------------------|---|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[X] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition: | Provider Status Type. |
| Edit Rules/Criteria: | Do not submit on the HPA nor HPS submission. The Provider Status End Date will be returned on the PMR only. |

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3.4.66 Replacement Provider ID (PMR file only)

| Characteristics: | ID [JJ – 9] Type [AN] Length [8] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| Field Otes | JJ[X] KK[] LL[] |
| Field Status: | Required [N] |
| Field Definition | Devlessment Drevider ID |
| Field Definition: | Replacement Provider ID. |
| E III D 1 /0 // · | |
| Edit Rules/Criteria: | Do not submit on the HPA nor HPS submission. The Replacement Provider ID will be returned on the PMR only. |
| | |

3.4.67 NPI (PMR file only)

| Characteristics: | ID [KK – 5] Type [AN] Length [10] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[] KK[X] LL[] |
| Field Status: | Required [N] |
| Field Definition: | National Provider Identifier. |
| Edit Rules/Criteria: | Do not submit this record on the HPA nor HPS submission. The NPI will be returned on the PMR for informational purposes. |

3.4.68 NPI Begin Date (PMR file only)

| Characteristics: | ID [KK - 6] Type [AN] Length [8] |
|----------------------|---|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[X] LL[] |
| Field Status: | Required [N] |
| | |
| Field Definition: | Effective date of National Provider Identifier. |
| | |
| Edit Rules/Criteria: | Do not submit this record on the HPA nor HPS submission. This date will be returned on the PMR. |
| | |

3.4.69 NPI End Date (PMR file only)

| Characteristics: Record Type: Field Status: | ID [KK - 7] Type [AN] Length [8] AA [] BB [] CC [] DD [] EE [] FF [] GG [] HH [] II [] JJ [] KK [X] LL [] Required [N] |
|---|---|
| Field Definition: | End date of National Provider Identifier. |
| Edit Rules/Criteria: | Do not submit this record on the HPA nor HPS submission. This date will be returned on the PMR. |

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3.4.70 Island Code (HPS file only)

| Characteristics: | ID [LL – 6] Type [AN] Length [2] |
|----------------------|---|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[]LL[X] |
| Field Status: | Required [Y] |
| Field Definition | |
| Field Definition: | Code identifying the island where the service occurred. |
| Edit Rules/Criteria: | Do not submit in the HPA file, submit only in the HPS file. |
| | Will not be returned on the PMR file. |
| | Valid Values: |
| | valiu values. |
| | 01 = Oahu |
| | 04 = Kauai |
| | 05 = Hawaii |
| | 07 = Maui |
| | 08 = Molokai |
| | 09 = Lanai |
| | 31 = Out of State |
| | 33 = Out of Country |
| | |

3.4.71 Recipient Count (HPS file only)

| Characteristics: | ID [LL – 7] Type [N] Length [5] |
|----------------------|--|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[]LL[X] |
| Field Status: | Required [**Y] |
| | |
| Field Definition: | Number of recipients assigned to this provider. |
| | |
| | |
| Edit Rules/Criteria: | **This field should be populated if provider is a pcp. |
| | If provider is a pcp, must be right justified and greater than or equal to zero. |
| | Do not submit on the HPA file, submit only on the HPS file. |
| | Will not be returned on the PMR file. |
| | |

3.4.72 Recipient Maximum (HPS file only)

| Characteristics: | ID [LL – 8] Type [N] Length [5] |
|----------------------|---|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[] KK[] LL[X] |
| Field Status: | Required [**Y] |
| Field Definition: | Maximum number of recipients that the provider has agreed to accept. |
| Edit Rules/Criteria: | **This field should be populated if provider is a pcp. If provider is a pcp, must be right justified and greater than or equal to zero. Do not submit on the HPA file, submit only on the HPS file. Will not be returned on the PMR file. |

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3.4.73 New Patient Indicator (HPS file only)

| Characteristics: | ID [LL – 9] Type [AN] Length [1] |
|----------------------|--|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[] KK[] LL[X] |
| Field Status: | Required [**Y] |
| Field Definition: | "Y" or "N" Indicator. |
| Edit Rules/Criteria: | **This field should be populated if provider is a pcp. If provider is currently accepting new patient assignments as a pcp, indicator should be 'Y'. Do not submit on the HPA file, submit only on the HPS file. Will not be returned on the PMR file. |

3.4.74 Credentialing Begin Date

| Characteristics: Record Type: Field Status: | ID [MM - 5] |
|---|--|
| Field Definition: | Provider Credentialing effective date |
| Edit Rules/Criteria: | Required only if submitting a MM record. Must not be null. Must be a valid date in the format CCYYMMDD. Must be less than Credentialing End Date if Credentialing Flag = "Y". |

3.4.75 Credentialing End Date

| Characteristics: Record Type: Field Status: | ID [MM - 6] |
|---|--|
| Field Definition: | Date of when provider credentialing will expire. |
| Edit Rules/Criteria: | Must be a valid date in the format CCYYMMDD. If Credentialing Flag = "Y", end date must not be null nor have 99999999. If Credentialing Flag = "N", end date can be populated with 999999999 if no expiration date. End date must not be less than HPA file submission date (no past expiration date at time of file submission), regardless of Credentialing Flag. |

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3.4.76 Credentialing Flag

| Characteristics: | ID [MM – 7] Type [AN] Length [1] |
|----------------------|---|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[]LL[]MM[X] |
| Field Status: | Required [Y] |
| | |
| Field Definition: | "Y" if credentialing was successful; "N" if not successful. |
| | |
| | |
| Edit Rules/Criteria: | Required only if submitting a MM record |
| | Must be "Y" or "N". |

3.4.77 Submitting Health Plan (PMR file only)

| Characteristics: | ID [MM – 8] Type [AN] Length [6] |
|----------------------|---|
| Record Type: | AA[] BB[X] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| Field Status: | JJ[] KK[] LL[] MM[X] Required[C] |
| rieid Status. | Required [C] |
| Field Definition: | 6 character Health Plan ID that submitted the credentialed record |
| Edit Rules/Criteria: | Do not submit. This info will be returned on the PMR. |

3.4.78 Load Date (PMR file only)

| Characteristics: Record Type: | ID [MM - 9] |
|----------------------------------|---|
| Field Status: | Required [C] |
| Field Definition: | Date credentialed record was loaded in HPMMIS. |
| Edit Rules/Criteria: | Do not submit. This date will be returned on the PMR. |

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3.4.79 Personnel/Company Name

| Characteristics: Record Type: | ID [NN - 5] |
|----------------------------------|---|
| Field Status: | Required [Y] |
| Field Definition: | Personnel Name or Company Name |
| Edit Rules/Criteria: | Personnel Name format: last name/first name Company Name format: no '/' |

3.4.80 Date of Birth

| Characteristics: | ID [NN - 6] Type [N] Length [8] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] JJ[] KK[] LL[] MM[] NN[X] |
| Field Status: | Required [C] |
| Field Definition: | Personnel's date of birth. |
| Edit Rules/Criteria: | Must be a valid date in CCYYMMDD format. |
| | Required only when an SSN is submitted denoting an individual. |
| | In PMR file, this field will contain spaces. |

3.4.81 Personnel SSN

| Characteristics: | ID [NN – 7] Type [N] Length [9] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] MM[] NN[X] |
| Field Status: | Required [C] |
| | |
| Field Definition: | Number assigned by the Social Security Administration to uniquely identify |
| | individuals. |
| | W |
| Edit Rules/Criteria: | If Field NN-5 contains a "/", SSN is required. |
| | Nicolar (Carlos (Landaus) |
| | No punctuation (hyphens). |
| | Examples: Enter As: |
| | 591-62-1111 5 9 1 6 2 1 1 1 1 |
| | In PMR file, this field will contain spaces. |

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3.4.82 Taxpayer ID

| | 99-1234567 9 9 1 2 3 4 5 6 7 05-3456789 0 5 3 4 5 6 7 8 9 | |
|----------------------------------|---|--|
| | Enter without punctuation Examples: Enter As: | |
| Edit Rules/Criteria: | If Field NN-5 does not contain a "/", Taxpayer ID is required. | |
| Field Definition: | Federal Employer Identification Number. | |
| Field Status: | Required [C] | |
| Characteristics: Record Type: | <pre>ID [NN - 8]</pre> | |

3.4.83 Title Code

| Characteristics: | ID [NN - 9] Type [AN] Length [2] | | |
|----------------------|--|--|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] | | |
| | JJ[] KK[] LL[]MM[]NN[X] | | |
| Field Status: | Required [Y] | | |
| | | | |
| Field Definition: | Personnel-Ownership Title Codes | | |
| | | | |
| Edit Rules/Criteria: | Refer to Section 3.2.8 for list of codes. | | |
| | If Field NN-5 contains "/", Title Code "OC" cannot be used. | | |
| | If Field NN-5 does not contain "/", Title Code "OC" is required. | | |
| | | | |
| | In PMR file, this field will contain spaces. | | |

3.4.84 Begin Date

| Characteristics: | ID [NN - 10] Type [N] Length [8] | | |
|----------------------|--|--|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] | | |
| | JJ[] KK[] LL[] MM[] NN[X] | | |
| Field Status: | Required [Y] | | |
| | | | |
| Field Definition: | Begin Date of Title position. | | |
| | | | |
| Edit Rules/Criteria: | Must be in CCYYMMDD format. | | |
| | May be a future date. | | |
| | Must be a valid date. | | |
| | May not overlap another record with same SSN in same provider group. | | |
| | May not overlap another record with same SSN under same provider in PMR. | | |
| | In DMD file, this field will contain angus | | |
| | In PMR file, this field will contain spaces. | | |

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3.4.85 End Date

| Characteristics: | ID [NN - 11] Type [N] Length [8] | | |
|----------------------|--|--|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] | | |
| | JJ[] KK[] LL[] MM[] NN[X] | | |
| Field Status: | Required [C] | | |
| | | | |
| Field Definition: | End Date of Title position. | | |
| | | | |
| Edit Rules/Criteria: | Must be in CCYYMMDD format. | | |
| | If submitted, must be equal to or greater than Begin Date. | | |
| | Default to 99999999 if blank. | | |
| | May not overlap another record with same SSN in same provider group. | | |
| | May not overlap another record with same SSN under same provider in PMR. | | |
| | In PMR file, this field will contain spaces. | | |

3.4.86 Criminal Offense Code

| Characteristics: | ID [NN - 12] Type [AN] Length [3] | | |
|----------------------|--|--|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] | | |
| | JJ[] KK[] LL[] MM[] NN[X] | | |
| Field Status: | Required [C] | | |
| | | | |
| Field Definition: | Code which describes a criminal offense by the Individual. | | |
| | , , | | |
| Edit Rules/Criteria: | Optional – may be left blank. | | |
| | Value used must be found in the Criminal Offense Table in Section 3.2.9. | | |
| | | | |
| | In PMR file, this field will contain spaces. | | |

3.4.87 Street Address#1

| Characteristics: Record Type: | ID [NN - 13] Type [AN] Length [55] AA [] BB [] CC [] DD [] EE [] FF [] GG [] HH [] II [] |
|----------------------------------|--|
| Field Status: | JJ[] KK[] LL[]MM[]NN[X] Required[Y] |
| Field Definition: | If Individual, use home street address. If Business, use business address. |
| Edit Rules/Criteria: | Required if Title Code is not "EM". Free text. Punctuation allowed. Left justified with trailing blanks. Postal Service standards for abbreviations. |
| | In PMR file, this field will contain spaces. |

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3.4.88 Street Address#2

| Characteristics: | ID [NN - 14] Type [AN] Length [55] | | |
|----------------------|--|--|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] | | |
| | JJ[] KK[] LL[] MM[] NN[X] | | |
| Field Status: | Required [C] | | |
| | | | |
| Field Definition: | Second line of address. | | |
| Edit Rules/Criteria: | Optional – may be left blank. | | |
| | Requires Addr#1. | | |
| | Free text. | | |
| | Punctuation allowed. | | |
| | Left justified with trailing blanks. | | |
| | Postal Service standards for abbreviations. | | |
| | In PMR file, this field will contain spaces. | | |

3.4.89 City

| Characteristics: | ID [NN - 15] Type [AN] Length [25] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| | JJ[] KK[] LL[] MM[] NN[X] |
| Field Status: | Required [Y] |
| | |
| Field Definition: | City in which the Address is located. |
| | |
| Edit Rules/Criteria: | Must not be null in HPA file. |
| | Free text. |
| | |
| | In PMR file, this field will contain spaces. |

3.4.90 State

| Characteristics: | ID [NN - 16] Type [AN] Length [2] |
|----------------------|--|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| F: 110; ; | JJ[] KK[] LL[] MM[] NN[X] |
| Field Status: | Required [Y] |
| | |
| Field Definition: | State in which the Address is located. |
| | |
| Edit Rules/Criteria: | Must not be null in HPA file. |
| | U.S. Postal Service standard abbreviation. |
| | |
| | In PMR file, this field will contain spaces. |

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3.4.91 Zip Code

| Characteristics: | ID [NN - 17] Type [N] Length [5] |
|----------------------|---|
| Record Type: | AA[] BB[] CC[] DD[] EE[] FF[] GG[] HH[] II[] |
| Field Otes | JJ[] KK[] LL[] MM[] NN[X] |
| Field Status: | Required [Y] |
| Field Definition: | United States Postal Service standard zip code. |
| Ticia Bellillidiri. | Office Otates i Ostal Octivice Standard Zip Code. |
| Edit Rules/Criteria: | Must not be null in HPA file. |
| | |
| | In PMR file, this field will contain spaces. |

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3.5 Appendix 3E - Provider Type Definitions

3.5.1 BC - Board Certified Behavior Analyst

A Board Certified Behavior Analyst (BCBA) is person who is licensed in the State and has a graduate-level certification in behavior analysis. BCBAs have Professionals who are certified at the BCBA level and are independent practitioners who provide behavior-analytic services. BCBAs supervise the work of Board Certified Assistant Behavior Analysts, Registered Behavior Technicians, and others who implement behavior-analytic interventions.

3.5.2 C1 – Acupuncturist

An acupuncturist is a person licensed by the State to perform ancient therapy for alleviation of pain, anesthesia and treatment of some diseases. Acupuncturists use long, fine needles inserted into specific points in order to treat painful conditions or produce anesthesia.

3.5.3 C2 – Federally Qualified Health Center (FQHC)

A health clinic that qualifies under the provisions of Sections 329, 330, or 340 of the Public Health Service Act

3.5.4 C3 – Family Planning Services

Provides reproductive counseling services.

3.5.5 D1 – Dentist – Endodontist

3.5.6 D2 – Dentist – Pedodontist

3.5.7 D3 – Dentist – Oral Surgeon

3.5.8 D4 – Clinic – Dental Services

A State-licensed facility that is not hospital-based where dental services are provided at a fixed specific location.

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3.5.9 01-Group Billing Provider

A provider that provides billing services or acts as a billing agent to one or more providers but delivers no direct services to a patient. Group Billing providers may only be used as a billing provider on an encounter. Group Billing providers may not be used as a servicing, attending, prescribing, or referring provider. FOR MQD USE ONLY.

3.5.10 Z1-Out-Of-State Middle-Risk Managed Care Only

One of the following types of providers whose primary service location is NOT in Hawaii: Physician, Non-physician practitioners, Medical groups/clinics (except for physical therapy groups), Ambulatory surgery centers, End-state renal disease centers, FQHCs & RHCs, Hospitals, Mammography screening centers, Pharmacies, Radiation therapy centers, Skilled nursing facilities. These providers need to be licensed in the service location state, and properly enrolled and screened, by the health plan.

3.5.11 Z2-Out-Of-State High-Risk Managed Care Only

One of the following types of providers whose primary service location is NOT in Hawaii: Ambulance suppliers, Community mental health centers, Hospice organizations, Laboratories, Diagnostic testing facilities, Physical therapy including group practices. These providers need to be licensed in the service location state, and properly enrolled and screened, by the health plan.

3.5.12 02-Hospital

A hospital is an institution whose primary function is to provide inpatient diagnostic and therapeutic services for a variety of medical conditions, both surgical and non-surgical, to a wide population group. The hospital treats patients in an acute phase of illness or injury, characterized by a single episode or a fairly short duration, from which the patient returns to his or her normal or previous level of activity.

3.5.13 03-Pharmacy

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3.5.14 04-Laboratory

Any facility that examines materials from the human body for purposes of providing information for the diagnosis, prevention, or treatment of any disease or impairment of, or the assessment of, the health of human beings. Typical divisions of a clinical laboratory include hematology, cytology, bacteriology, histology, biochemistry, medical toxicology, and serology.

3.5.15 05-Clinic

A facility or distinct part of one used for the diagnosis and treatment of outpatients. "Clinic" is irregularly defined, either including or excluding physician's offices and allied health professionals, sometimes being limited to organizations serving specialized treatment requirements or distinct patient/client groups (e.g., radiology, public health).

3.5.16 06-Emergency Transportation

An emergency vehicle used for transporting patients to a health care facility. Includes basic and advanced life support.

3.5.17 07-Dentist

A dentist is a person qualified by a doctorate in dental surgery (DDS) or dental medicine (DMD). Licensed by the state to practice dentistry, and practicing within the scope of that license. Many dentists are general practitioners who handle a wide variety of dental needs. Other dentist's practice in one of eight specialty areas recognized by the American Dental Association maxillofacial surgery, orthodontics, periodontics, prosthodontics, public health, oral pathology and pediatric dentistry.

3.5.18 08-MD-Physician

A physician is a person qualified by a doctorate in medicine (M.D.), licensed by the state, and practicing within the scope of that license. A physician generally has primary responsibility for the health care of the patient. M.D.s may use all accepted methods of treatment, including drugs and surgery.

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3.5.19 09-Certified Nurse Midwife

An individual educated in the two disciplines of nursing and midwifery, who possesses evidence of certification according to the requirements of the American College of Nurse-Midwives and licensed by the state to perform services within the scope of that license.

3.5.20 10-Podiatrist

A podiatrist is a person qualified by a Doctor of Podiatric Medicine (D.P.M.) degree, licensed by the state, and practicing within the scope of that license. Podiatrists diagnose and treat foot diseases and deformities. They perform medical, surgical and other operative procedures, prescribe corrective devices and prescribe and administer drugs and physical therapy.

3.5.21 11-Psychologist

An individual, who specializes in psychological research, testing, and/or therapy, licensed by the State. Psychology is the branch of science that deals with mental processes and behavior, composed of the following major fields: abnormal, clinical, comparative, counseling, developmental, educational, engineering, experimental, industrial, learning, motivation, perception, personality, physiological, psychometrics, school, and social psychology.

3.5.22 12-Certified Registered Nurse Anesthetist

- (1) A licensed registered nurse with advanced specialty education in anesthesia who, in collaboration with appropriate health care professionals, provides preoperative, intraoperative, and postoperative care to patients and assists in management and resuscitation of critical patients in intensive care, coronary care, and emergency situations. Nurse anesthetists are certified following successful completion of credentials and state licensure review and a national examination directed by the Council on Certification of Nurse Anesthetists.
- (2) A registered nurse who is qualified by special training to administer anesthesia in collaboration with a physician or dentist and who can assist in the care of patients who are in critical condition.

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3.5.23 13-Occupational Therapist

An occupational therapist is a person qualified by completion of an approved program in occupational therapy, licensed by the state and practicing within the scope of that license, or where licensure does not exist, certified by the American Occupational Therapy Certification Board. An occupational therapist evaluates the self-care, work and leisure performance skills of well and disabled clients and plans and implements programs to restore, develop or maintain the task performance skills necessary for daily living and for the client's particular occupational role.

3.5.24 14-Physical Therapist

A physical therapist is a person qualified by an accredited program in physical therapy, licensed by the state, and practicing within the scope of that license. Physical therapists treat disease, injury, or loss of a bodily part by physical means, such as the application of light, heat, cold, water, electricity, massage and exercise. They develop treatment plans based upon each patient's strengths, weaknesses, and range of motion and ability to function.

3.5.25 15- Speech/Hearing Therapist

A speech/hearing therapist a person qualified by a master's degree in speech-language pathology, and where applicable, licensed by the state and practicing within the scope of the license. Also, known as speech pathologist, a speech therapist evaluates patients with language and speech impairments or disorders, whether arising from physiological and neurological disturbances, defective articulation or foreign dialects, and conducts remedial programs designed to restore or improve their communication efficacy. Speech pathologists assess and treat persons with speech, language, voice, and fluency disorders.

3.5.26 16-Chiropractor

A provider qualified by a Doctor of Chiropractic (D.C.), licensed by the State and who practices chiropractic medicine -that discipline within the healing arts which deals with the nervous system and its relationship to the spinal column and its interrelationship with other body systems.

3.5.27 17-Naturopath

An individual licensed by the State to practice naturopathy, a system of therapeutics in which neither surgical nor medicinal agents are used, dependence being placed only on natural (non-medicinal) forces.

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3.5.28 18-Physician Assistant

A physician assistant is a person who has successfully completed an accredited education program for physician assistant, is licensed by the state and is practicing within the scope of that license. Physician assistants are formally trained to perform many of the routine, time-consuming tasks a physician can do. In some states, they may prescribe medications. They take medical histories, perform physical exams, order lab tests and x-rays, and give inoculations. Most states require that they work under the supervision of a physician.

19-Registered Nurse Practitioner

- (1) A registered nurse provider with a graduate degree in nursing prepared for advanced practice involving independent and interdependent decision making and direct accountability for clinical judgment across the health care continuum or in a certified specialty.
- (2) A registered nurse who has completed additional training beyond basic nursing education and who provides primary health care services in accordance with state nurse practice laws or statutes. Tasks performed by nurse practitioners vary with practice requirements mandated by geographic, political, economic, and social factors. Nurse practitioner specialists include, but are not limited to, family nurse practitioners, gerontological nurse practitioners, pediatric nurse practitioners, obstetric-gynecologic nurse practitioners, and school nurse practitioners.

3.5.29 20-Respiratory Therapist

A respiratory therapist is a person who has graduated from a respiratory therapy program accredited by the Committee on Allied Health Education and Accreditation, and where applicable, is licensed by the state and is practicing within the scope of that license. A respiratory therapist administers oxygen and other gases and provides assistance with equipment to patients with either acute or chronic breathing difficulties, often within the home.

3.5.30 21-Massage Therapist

An individual trained in the manipulation of tissues (as by rubbing, stroking, kneading, or tapping) with the hand or an instrument for remedial or hygienic purposes.

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3.5.31 22-Nursing Home

- (1) Is primarily engaged in providing to residents- (A) skilled nursing care and related services for residents who require medical or nursing care, (B) rehabilitation services for the rehabilitation of injured, disabled, or sick persons, or, on a regular basis, health-related care and services to individuals who because of their mental or physical condition require care and services (above the level of room and board) which can be made available to them only through institutional facilities, and is not primarily for the care and treatment of mental diseases;
- (2) has in effect a transfer agreement with one or more hospitals.

3.5.32 23-Home Health Agency

A non-facility provider that renders outpatient outreach services that are not provided at a specific location. The licensure or registration is assigned to the agency rather than to the individual practitioners as would be the case in a group practice.

3.5.33 24-Personal Care Attendant

Only QExA health plans may use this provider type.

3.5.34 25-Developmentally Disabled Group Home

Health plans may not use this provider type

3.5.35 27-Adult Day Health

Only QExA health plans may use this provider type.

3.5.36 28-Non-emergency Transportation

A commercial vehicle (taxi, medical van) used for the transporting of persons in non-emergency situations to and from medically necessary services. The vehicle meets local, county or state regulations set forth by the jurisdictions where it is located.

3.5.37 29-Community/Rural Health Center

A health clinic that qualifies under the provisions of Sections 329, 330, or 340 of the Public Health Service Act

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3.5.38 30-Durable Medical Equipment & Medical Supplies

A supplier of medical equipment such as respirators, wheelchairs, home dialysis systems, or monitoring systems, that are prescribed by a physician for a patient's use in the home and that are usable for an extended period of time.

3.5.39 31-Osteopath

A physician is a person qualified by a doctorate in osteopathy (D.O.), licensed by the state, and practicing within the scope of that license. A physician generally has primary responsibility for the health care of the patient. While D.O.s may use all accepted methods of treatment, including drugs and surgery, D.O.s place special emphasis on the body's musculoskeletal systems.

3.5.40 32-Medical Foods

Health plans may not use this provider type

3.5.41 33-Rehabilitation Center

A hospital or facility that provides health-related, social and/or vocational services to disabled persons to help them attain their maximum functional capacity.

3.5.42 34-Case Management Services

3.5.43 35-Hospice

A provider organization, or distinct part of the organization, which renders an interdisciplinary program providing palliative care, chiefly medical relief of pain and supporting services, which addresses the emotional, social, financial, and legal needs of terminally ill patients and their families where an institutional care environment is required for the patient.

3.5.44 36-Assisted Living Home/HCBS

Assisted Living Home/Home & Community Based Services.

3.5.45 37-Homemaker

Health plans may not use this provider type.

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3.5.46 38-Developmentally Disabled Day Care

Health plans may not use this provider type.

3.5.47 39-Habilitation Provider

Health plans may not use this provider type.

3.5.48 40-Attendant Care

Health plans may not use this provider type.

3.5.49 41-Dialysis Clinic

A State-licensed, non-hospital-based facility that provides renal dialysis services at a fixed specific location. An ambulatory dialysis facility does not provide overnight accommodations.

3.5.50 42-Hospital Affiliated Clinic

3.5.51 43-Ambulatory Surgical Center

A State-licensed, non-hospital-based facility that provides outpatient surgical procedures at a fixed specific location. An ambulatory surgical facility does not provide overnight accommodations.

3.5.52 44-Environmental (LTC)

Environmental providers remodel homes when it is cost effective alternative to nursing facility placement.

3.5.53 46-Nurse (Private – RN/LPN)

Only QExA health plans may use this provider type.

3.5.54 47-Registered Dietician

A dietitian (or dietician) is an expert in food and nutrition and who has met academic and professional requirements. A dietitian alters their patient's nutrition based upon their medical condition and individual needs. Dietitians are regulated healthcare professionals licensed in the state to assess, diagnose, and treat nutritional problems. Dietitians have to complete continuing professional educational requirements to maintain registration on an ongoing basis.

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3.5.55 48-Nutritionist

Health plans may not use this provider type.

3.5.56 49-Assisted Living Center

These centers are composed of individual apartments that provide room, board and general supervision, as well as coordinate supportive living services on a 24-hour basis.

3.5.57 50-Adult Foster Care

Only QExA health plans may use this provider type.

3.5.58 51-Behavioral Health Counselor

A provider who is trained and educated in the performance of behavior health services through interpersonal communications and analysis. Training and education at the specialty level usually requires a master's degree and clinical experience and supervision for licensure or certification.

3.5.59 52-Mental Health Clinic

3.5.60 53-Supervisory Care Home

Supervisory care homes provide room, board, and general supervision to more than five people.

3.5.61 54-Dental Hygienist

A preventive health professional who has graduated from an accredited dental hygiene program in an institution of higher education, licensed in dental hygiene, who provides educational, clinical, research, administrative, and therapeutic services supporting total health through the promotion of optimal oral health.

3.5.62 55-Hotels

3.5.63 56-Boarding Homes

A boarding home provides care, respite care, and homemaker services.

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3.5.64 57- Residential Treatment Center

A residential treatment center (RTC) is a facility or distinct part of a facility that providers, to children and adolescents, a total, twenty-four hour, therapeutically planned group living and learning situation where distinct and individualized psychotherapeutic interventions can take place. Residential treatment is a specific level of care to differentiated from acute, intermediate, and long-term hospital care, when the least restrictive environment is maintained to allow for normalization of the patient's surroundings. The RTC must be both physically and programmatically distinct if it is a part or sub-unit of a larger treatment program. A RTC is organized and professionally staffed to provide residential treatment of mental disorders to children and adolescents who have sufficient intellectual potential to respond to active treatment (that is, for whom it can reasonably be assumed that treatment of the mental disorder will result in an improved ability to function outside the RTC) for whom outpatient treatment, partial hospitalization or protected and structured environment is medically or psychologically necessary.

3.5.65 58-School for the Deaf and Blind

Provider Type reserved for the Hawaii School for the Deaf and Blind.

3.5.66 59-Dental Laboratory

A commercial laboratory specializing in the construction of dental appliances that conform to a dentist's specifications including the construction of dentures (complete or partial), orthodontic appliances, bridgework, crowns, and inlays.

3.5.67 60-Blood Bank

An institution (organization or distinct part thereof) that performs, or is responsible for the performance of, the collection, processing, storage and/or issuance of human blood and blood components, intended for transfusion. The institution may also collect, process, and/or distribute human tissue, including bone marrow and peripheral blood progenitor cells, intended for transplantation.

3.5.68 61-Eye Bank

An eye bank procures and distributes eyes for transplant, education and research. To promote patient safety, donated eyes and donor medial histories are evaluated based on strict Eye Bank Association of America Medical Standards

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3.5.69 62-Audiologist

An audiologist is a person qualified by a master's degree in Audiology, licensed by the state, where applicable, and practicing within the scope of that license. Audiologists evaluate and treat patients with impaired hearing. They plan, direct and conduct rehabilitative programs with auditory substitution devises (hearing aids) and other therapy.

3.5.70 63-Drug and Alcohol Rehab

Provides drug and rehabilitation services to acute members.

3.5.71 64-Detox Center

LARC

3.5.72 65-Hospital Outpatient Surgical Center

A State-licensed, hospital-based facility that provides outpatient surgical procedures at a fixed specific location. An outpatient surgical facility does not provide overnight accommodations.

3.5.73 66-Organ Bank

A federally designated organization that works with hospital personnel in retrieval of organs for transplantation. The federal government designates an OPO's service area and the hospitals with which an OPO is to establish working relationships.

3.5.74 67-Perfusionist

Operates the perfusionist machine that provides oxygenated blood during open-heart surgery or lung surgery.

3.5.75 68-Homeopath

A provider who is educated and trained in a system of therapeutics in which diseases are treated by drugs which are capable of producing in healthy persons symptoms like those of the disease to be treated. Treatment requires administering a drug in minute doses.

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3.5.76 69-Optometrist

An optometrist is a person qualified by a Doctor of Optometry (O.D.) degree, licensed by the state and practicing within the scope of that license. Optometrists examine the eyes and related structures to determine the presence of any abnormality and prescribe and adapt lenses or optical aids. They use drugs for diagnosis in all states and for treatment in some states. They do not perform surgery.

3.5.77 70-Home Delivered Meals

Only QExA health plans may use this provider type.

3.5.78 71-Psychiatric Hospital

An organization including a physical plant and personnel that provides multidisciplinary diagnostic and treatment mental health services to patients requiring the safety, security, and shelter of the inpatient or partial hospitalization settings.

3.5.79 73-Default Provider

For MQD use only.

3.5.80 74-Alternative Residential Facility

A facility which provides room, board, and specialized outpatient counseling.

3.5.81 75-MHS Social Worker

A clinical social worker is a person who is qualified by a master of Social Work (M.S.W.) degree, licensed, certified or registered by the state as a social worker and practicing within the scope of that license. A social worker provides assistance and counseling to patients and their families and dealing with social, emotional and environmental problems.

3.5.82 77-Mental Health Rehabilitation

Mental health rehabilitation provides outpatient mental health and psychiatric counseling

Update: 12/04/2020 93

3.5.83 78-Mental Health Residential Treatment Center Provides room, board, and inpatient counseling.

3.5.84 79-Vision Center

Broad category grouping individuals who renders services related to the human eye and visual systems, but are not an allopathic or osteopathic physicians.

3.5.85 82-Surgical First Assistant

Licensed nurse or physician's assistant that assists the surgeon in the operating room and must be supervised by a licensed physician surgeon.

3.5.86 83-Free Standing Birthing Center

A State-licensed facility that is not hospital-based where services are provided at a fixed specific location. An ambulatory care facility does not provide overnight accommodations.

3.5.87 84-Licensed Midwife

A State-license person qualified to provide obstetric and neo-natal care in the management of women having normal pregnancy, labor and childbirth. The lay midwife is licensed in some states.

3.5.88 86 – Certified Marriage/Family Therapist (CMFT)

A State-licensed person who uses the title of marriage and family therapist and practices marriage and family therapy. Marriage and family therapy practice means the application of psychotherapeutic and family systems theories and techniques in the delivery of services to individuals, couples, or families in order to diagnose and treat mental, emotional, and nervous disorder, whether these are behavioral, cognitive or affective, within the context of the individual's relationship.

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3.5.89 90-QMB Only Provider Only QExA health plans may use this provider type.

3.5.90 95-Interpreter Services
Only QExA health plans may use this provider type.

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3.6 Appendix 3F – Provider Types and Licensing

This table represents those provider types that require a license in order to be active within HPMMIS. This is not a complete list of licenses that are associated with a provider type. This list represents the minimum requirement for activating providers with each provider type.

| Provider Type | Licensing Agency | License Type |
|--|---|---|
| BC-Board Certified Behavior Analyst | 060 – Board of Behavior Analyst | BA-Behavior Analyst |
| C1-Acupuncturist | 020-Board of Acupuncture | ACU-Acupuncturist |
| D1-Dentist – | 020 Board of Acapanetare | / Neupanetanst |
| Endodontist | 220-Board of Dental Examiners | DT-Dentist |
| D2-Dentist - | | |
| Pedodontist | 220-Board of Dental Examiners | DT-Dentist |
| D3-Dentist – Oral | | |
| Surgeon | 220-Board of Dental Examiners | DT-Dentist |
| D4-Clinic – Dental | | |
| Services | 220-Board of Dental Examiners | DT-Dentist |
| 03-Pharmacy | 820-Board of Pharmacy | PH-Pharmacist |
| | | PHY-Pharmacy |
| 07-Dentist | 220-Board of Dental Examiners | DT-Dentist |
| 08-Physician | 630-Board of Medical | MD-Physician |
| | Examiners | |
| 09-Certified Nurse | | RN-Registered Nurse |
| Midwife | 750-Board of Nursing | APRN-Advanced Practice Registered Nurse |
| 10-Podiatrist | 630-Board of Medical | PO-Podiatrist |
| | Examiners | |
| 11-Psychologist | 860-Board of Psychology | PSY-Psychologist |
| 12-Certified | | RN-Registered Nurse |
| Registered | 750-Board of Nursing | APRN-Advanced Practice |
| Nurse | | Registered Nurse |
| Anesthetist | | |
| 13-Occupational | 770-Occupational Therapy | OT-Occupational Therapist |
| Therapist | Program | |
| 14-Physical | 825-Board of Physical Therapy | PT-Physical Therapist |
| Therapist | | |
| 15-Speech/Hearing Therapist | 930-Board of Speech Pathology and Audiology | SP-Speech Pathologist |
| 16-Chiropractor | 130-Board of Chiropractic Examiners | DC-Chiropractor |
| 17-Naturopath | 720-Board of Examiners in Naturopathy | ND-Naturopath |

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| Provider Type | Licensing Agency | License Type |
|---|---|---|
| 18-Physician's Assistant | 630-Board of Medical Examiners | AMD-Physician's Assistant |
| 19-Registered Nurse Practitioner | 750-Board of Nursing | RN-Registered Nurse APRN-Advanced Practice Registered Nurse |
| 21-Massage Therapist | 620-Board of Massage Therapy | MAT-Massage Therapist |
| 31-Osteopath | 630-Board of Medical Examiners | DOS-Osteopath |
| 50-Adult Foster Care | 056-Certification/License Foster Home | HCBS-Home & Community- Based Services |
| 51- Behavioral Health Counselor | 640-Mental Health Counselor | MHC-Mental Health Counselor |
| 54-Dental Hygienist | 220-Board of Dental Examiners | DH-Dental Hygienist |
| 62-Audiologist | 930-Board of Speech Pathology and Audiology | AUD-Audiologist |
| 68-Homeopathic | 720-Board of Examiners in Naturopathy | ND-Naturopath |
| 69-Optometrist | 780-Board of Examiners in Optometry | OD-Optometrist |
| 75-MHS Social Worker | 920-Social Worker Program | LSW-Licensed Social Worker |
| 79-Vision Center | 230-Dispensing Opticians Program | DIO-Dispensing Optician |
| 86-Certified Marriage/Family Therapist (CMFT) | 560-Marriage & Family Therapists Program | MFT-Marriage & Family Therapist |

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3.7 Appendix 3G – State and Territory Code Table

| Code | Description |
|------|----------------------|
| AK | Alaska |
| AL | Alabama |
| AR | Arkansas |
| AZ | Arizona |
| CA | California |
| CO | Colorado |
| CT | Connecticut |
| DC | District of Columbia |
| DE | Delaware |
| FL | Florida |
| GA | Georgia |
| GU | Guam |
| HI | Hawaii |
| IA | lowa |
| ID | Idaho |
| IL | Illinois |
| IN | Indiana |
| KS | Kansas |
| KY | Kentucky |
| LA | Louisiana |
| MA | Massachusetts |
| MD | Maryland |
| ME | Maine |
| MI | Michigan |
| MN | Minnesota |
| MO | Missouri |
| MS | Mississippi |
| MT | Montana |
| NC | North Carolina |
| ND | North Dakota |
| NE | Nebraska |
| NH | New Hampshire |
| NJ | New Jersey |
| NM | New Mexico |
| NV | Nevada |
| NY | New York |
| OH | Ohio |
| OK | Oklahoma |
| OR | Oregon |
| PA | Pennsylvania |

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| Code | Description |
|------|----------------|
| PR | Puerto Rico |
| RI | Rhode Island |
| SC | South Carolina |
| SD | South Dakota |
| TN | Tennessee |
| TX | Texas |
| UT | Utah |
| VA | Virginia |
| VT | Vermont |
| WA | Washington |
| WI | Wisconsin |
| WV | West Virginia |
| WY | Wyoming |

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3.8 Appendix 3H – Med-Quest/Health Plans File Transfers

3.8.1 Overview

The SFTS (Secure File Transfer Server) is the source of all file transfers between the MQD and the health plans. The SFTS accepts a standard web browser via Hypertext Transfer Protocol over Secure Socket Layer (HTTPS) and File Transfer Protocol (FTP) over Secure Shell (SSH) SFTP.

3.8.2 Availability

The SFTS is available 24 hours a day, seven days a week. Information on when provider files should be submitted is available in this manual. Please refer to the appropriate section.

3.8.3 Logon

An Electronic Data Request form along with instructions will be made available to Health Plans in order to receive access to the SFTS. A health plan can request for a service account which is used for automated processes as well as individual logon access. There will no longer be a generic logon account for each health plan.

3.8.4 Filenames

Filenames will follow the 8.3 standard with alphanumeric characters, except for the provider and encounter filenames, which will utilize a 10.3 format. Each health plan has been assigned a two-character health plan identifier for the purpose of naming files. The plan identifiers are:

| Aloha Care – non-ABD clients | |
|--|----|
| Aloha Care – ABD clients | XA |
| HMSA – non-ABD clients | HM |
| HMSA – ABD clients | XH |
| Kaiser – non-ABD clients | KM |
| Kaiser – ABD clients | XK |
| Med-QUEST Division Files (Provider Master Registry) | MQ |
| Ohana (Wellcare) – ABD clients | XO |
| Ohana (Wellcare) – non-ABD clients | HQ |
| United Health Care – non-ABD clients | IQ |
| United Health Care (formerly Evercare) – ABD clients | XU |
| Ohana (Wellcare) Behavioral Health | OB |

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3.8.5 Provider Filenames

Files will be sent and received by health plans using the naming conventions listed in the table below. Provider filenames will use a 10.3 format where characters 1-2 identify the health plan; characters 3-6 identify the year; characters 7-8 identify the month; and characters 9-10 identify the day that the submission is due to the MQD SFTS. The three character extensions to these files are listed in a separate table below depending on the type of provider file.

| Submissions/Returns | Filename | Extension |
|--------------------------------------|------------|-----------|
| Health Plan Provider Network | XXYYYYMMDD | .HPS |
| (quarterly plan submittal) | | |
| Health Plan Provider Quarterly Error | XXYYYYMMDD | .HPQ |
| Report | | |
| Health Plan Add File | XXYYYYMMDD | .HPA |
| Provider Master Registry | XXYYYYMMDD | .PMR |
| Health Plan Provider Error Report | XXYYYYMMDD | .HPE |

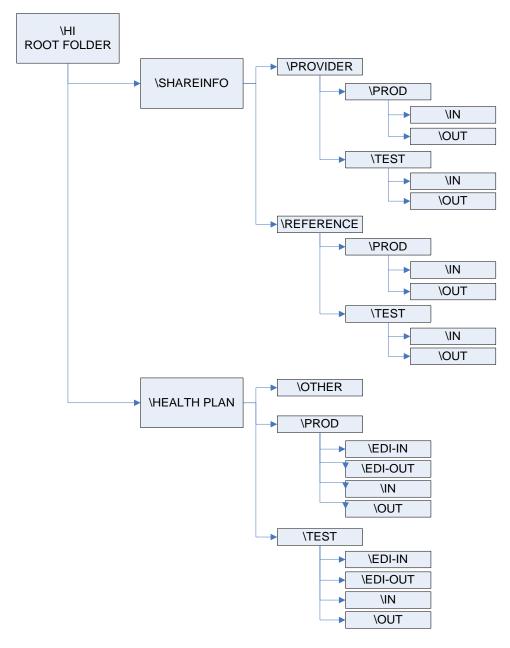
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3.8.6 Directory Structure

The directory structure for the SFTP (SFTS) is in the diagram below.

HI SFTP (Secure FTP) Directory Structure

https://sftp.statemedicaid.us/HI/



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3.8.7 Prod Folder

Data files placed/retrieved from the sub folders under the Prod folder will be processed by HPMMIS and should be processed by the health plans to meet their contractual obligations. Production provider files should be placed in the IN subfolder. All output files will be placed in the OUT subfolder.

3.8.8 Test Folder

The Test folder will be used for testing changes that the MQD or health plan may need. Health plans submitting test provider files should place them in the IN sub folder. The output files will be placed in the OUT sub folder.

3.8.9 Other Folder

The OTHER folder will be used to transmit miscellaneous files or reports between MQD and health plans.

3.8.10 Share Info Folder

Files which do not contain HIPAA data and can be shared with all health plans will be placed under this folder. The PMR file will be placed in the Provider/Prod/Out subfolder.

3.9 Appendix 3I – Health Plan IDs

| Plan Code | Description (ABD = Aged, Blind or Disabled) |
|-----------|---|
| ALOHAC | Aloha Care – non-ABD clients |
| XALOHA | Aloha Care – ABD clients |
| HMSAAA | HMSA – non-ABD clients |
| XHMSAA | HMSA – ABD clients |
| KAISER | Kaiser - non-ABD clients |
| XKAISR | Kaiser – ABD clients |
| XOHANA | Ohana (Wellcare) – ABD clients |
| OHANAA | Ohana (Wellcare) - non-ABD clients |
| OHANBH | Ohana (Wellcare) – Behavioral Health |
| UNITED | United Health Care – non-ABD clients |
| XUNITD | United Health Care – ABD clients |

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4 Contacts

4.1 Systems Office

| System | Primary |
|--------------------------------------|---------------|
| All Systems | MQD Help Desk |
| | 808-692-7952 |
| Encounter | Wileen Ortega |
| | 808-692-7990 |
| Provider | Wileen Ortega |
| | 808-692-7990 |
| 834 Rosters, Recipient (Health Plan | Haidee Shaw |
| members), DMO website, SFTP password | 808-692-7963 |
| reset | |

To report problems, please send an email to mqdhelpdesk@dhs.hawaii.gov.

If your problem is critical to your operation, please call the above personnel.

For calls reaching Systems Office Staff voicemail, a customer can leave a message or press "03" and the call will be transferred to the MQD Help Desk for assignment. If you get the Help Desk voicemail, please leave a message and a SO staff member will return your call within 2 hours (during normal business hours).

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5 Addendums to Health Plan Manual

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