



STATE OF HAWAII
DEPARTMENT OF HUMAN SERVICES


Med-QUEST Division
Health Care Services Branch
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October 20, 2021

MEMORANDUM

MEMO NO.
QI-2163

TO: QUEST Integration (QI) Health Plans

FROM: Judy Mohr Peterson, PhD 
Med-QUEST Division Administrator

SUBJECT: EARLY AND PERIODIC SCREENING, DIAGNOSTIC, AND TREATMENT (EPSDT)
DATA REQUIREMENTS FOR HEALTH PLANS

The Med-QUEST Division (MQD) is issuing this memo to provide guidance to QI Health Plans regarding EPSDT processing requirements for electronic EPSDT (eEPSDT) visit data and paper visit data for service dates beginning January 1, 2022. This memo is directly associated with provider memo QI-2164 which details changes to the Hawaii Medicaid EPSDT periodicity schedule, revision of the DHS 8015/8016, and the new options for EPSDT providers to submit EPSDT visit data for service dates beginning January 1, 2022.

Providers of EPSDT services shall submit EPSDT visit data through online submission or mail-in submission. Technical documents detailing workflow processes and requirements for the EPSDT visit data file exchange between the QI Health Plans and MQD in each modality can be found in Attachments A and B.

MQD Partner Portal

QI Health plans shall continue working closely with MQD to prepare and test all connections and workflow processes involved in eEPSDT file exchange until functional, at which time the QI Health Plans will be granted access to the MQD partner portal. The portal will allow the QI Health Plans access to all member EPSDT visit data submissions, whether for DHS 8015 comprehensive visits or for DHS 8016 follow up visits, for members attributed to the plan. Various functions will be available to search and view member EPSDT records and download

individual or batch reports in the identified Data File Field Specification format (5.0 of Attachment A).

Processing forms

QI Health plans will receive EPSDT visit data from providers in two different ways: Online (electronic) submitted data and paper copies of the DHS 8015 or DHS 8016.

Online submission: See page 10 of Attachment A for the Batch Approval of Provider Submitted EPSDT Exam Data Process Flow. The health plans will regularly download visit records for both comprehensive and follow up visits of their members from the partner portal to review each record for accuracy and completeness. Each record submitted online will have a confirmation number. Providers will be instructed to include the confirmation number on the claim to facilitate matching with associated claims in the following locations:

- 837: loop 2300 NTE 01: ADD, then loop 2300 NTE 02: (confirmation #)
- CMS 1500: FL 19

QI Health Plans will only approve a record if the visit data is complete, accurate, and an associated claim has been approved for reimbursement to the provider. The QI Health Plans will then upload status updates of either “approved” or “revise (with revise reason)” in the provided eEPSDT schema (provided in Attachment B) and uploaded to the designated eEPSDT folders located on the MQD sFTP. All file names will follow the designated naming conventions in Attachment B.

Paper copy submission: QI Health plans will also receive paper copies of DHS 8015 or 8016 mailed by providers. See page 6 of Attachment A for the paper form ingestion process flowchart. Health plans will scan paper forms to CSV file, and additionally create a PDF of the form. The QI Health Plans will review the data for accuracy and completeness and match to claims. No confirmation number is provided for paper copy submissions. The CSV and PDF files of the paper forms are uploaded by the QI Health Plans to the MQD sFTP. Only forms that are complete, accurate, and have an associated claim approved for reimbursement to the provider will be uploaded to sFTP folder. All file names will follow the designated naming conventions in Attachment B.

Provider Support

Health plans will support and assist EPSDT providers in the transition to new options to submit EPSDT visit data. Health plans will be provided opportunities for representatives to learn how to support providers in navigating the different options to submit EPSDT data. MQD’s EPSDT webpage will provide training materials for health plans to reference when supporting providers and their staff in learning how to login, navigate in the portal, and how to submit EPSDT visit data through each of the new options. Health plans will support EPSDT providers in navigating this change.

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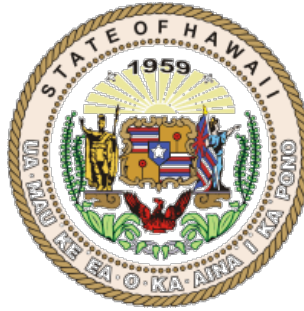
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MQD will provide one-page communications designed to explain various topics on upcoming changes for EPSDT providers. Health plans will all use these communications to consistently message EPSDT providers. These communications will be provided by MQD to health plans on a weekly basis for six consecutive weeks.

For any questions or clarifications on the content of this memorandum, contact Jon Fujii at jfujii@dhs.hawaii.gov.

Attachment A: QI Health Plan File Processing Design Document

Attachment B: QI Health Plan File Processing Requirements Document



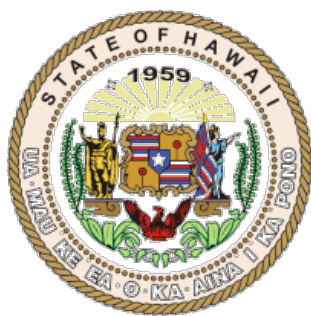
State of Hawai'i



Department of Human Services: Med-QUEST Division

eEPSDT File Processing Design Document QUEST Integration Health Plan Electronic Early and Periodic Screening, Diagnostic, and Treatment

October 2021
Pre-Release Revision 1.1



State of Hawai‘i



Department of Human Services: Med-QUEST Division

eEPSDT File Processing Requirements QUEST Integration Health Plan

Electronic Early and Periodic Screening, Diagnostic, and Treatment

October 2021

Revision 1.1



eEPSDT File Processing Requirements

Document History

Date	Version	Description	Author
10/1/2021	v.1.0	Initial draft	MQD- Phan Sirivattha Todd Xayphone Sharon Thomas
10/15/2021	v.1.1	Official Release	MQD- Phan Sirivattha Todd Xayphone Sharon Thomas

References

Please refer to the additional project documentation (see table below) for more information, not captured in this document, regarding the MQD EPSDT Modernization project.

Date	Document Name/Location	Author/Owner
10/15/2021	eEPSDT File Processing Design Document QUEST Integration Health Plans	MQD- Phan Sirivattha Todd Xayphone Sharon Thomas



eEPSDT File Processing Requirements

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eEPSDT File Processing Requirements

1.0 Introduction

The purpose of this document is to describe the MQD EPSDT System file import process, required files, and the data file (CSV) specification. The following sections will cover the file processing requirements, data load validations, and description of the standards, conventions and expected values when constructing the import file.

The MQD EPSDT File Processing module is designed to use a common file layout to support data integration for the following use cases.

1. Ingestion of Approved Paper Forms Scanned Images and Data
2. Ingestion of Approved 8015/8016 and Supplemental Data from Kaiser
3. Batch Approval Processing of Provider Submitted Forms



eEPSDT File Processing Requirements

2.0 File Processing Requirements

2.1 Common File Processing Requirements

The table below describes the processing requirements that are common to all MQD EPSDT file processing.

Number	Description
REQ-01	Health Plan files (CSV Flat File, PDF Scanned Image) will be processed by the MQD EPSDT System on workdays, Monday thru Friday, at 5 PM HST.
REQ-02	Health Plan files must be placed in the Health Plan “IN” subfolder by 5PM HST for same day processing.
REQ-03	CSV File must be pipe-delimited and include a header row.
REQ-04	All Files will be scanned for viruses. If a virus is found or file(s) cannot be opened, the file(s) will not be processed, and the failure will be included in an exception report and emailed to Health Plan and MQD Administrators.
REQ-05	Each record in the CSV must pass criteria defined in Section 3.0 Data Load Validation . Upon failure: <ol style="list-style-type: none"> 1. The record will be rejected. 2. For Paper Form modality, the corresponding scanned image (PDF) will be rejected. 3. The failure will be included in an exception report and emailed to the Plan and MQD Administrators.
REQ-06	Each record in the CSV must pass the maximum column size validation to be ingested in the MQD EPSDT System Database. Upon failure: <ol style="list-style-type: none"> 1. The record will be rejected. 2. For Paper Form modality, the corresponding scanned image (PDF) will be rejected. 3. The failure will be included in an exception report and emailed to the Plan and MQD Administrators.
REQ-07	Each record in the CSV will be further validated to determine if the expected format, values, or not null requirements are met. Upon failure: <ol style="list-style-type: none"> 1. The record will be written to the MQD EPSDT System Table as approved but marked as loaded with error(s). 2. Columns that do not meet the format requirement will be set as null or a defined error value. 3. For Paper Modality, the corresponding scanned image (PDF) will be inserted into Filenet.
REQ-08	An email notification listing successfully processed files will be sent to the Plan and MQD Administrators.
REQ-09	Any records and files that are rejected must be corrected by the Health Plan and resubmitted for processing in a subsequent process.
REQ-10	Any processing errors must be investigated and triaged by MQD Tier 2 support.

eEPSDT File Processing Requirements

Number	Description
REQ-11	After processing, files will be moved to the OUT subfolder. Within the OUT folder, there will be subfolders to store FAILED and SUCCESSFUL files.
REQ-12	The Health Plan will participate in user acceptance testing (UAT) and provide scanned images and data files for testing purposes.
REQ-13	The Health Plan must accept the UAT results as a requirement for MQD EPSDT System onboarding.

2.2 File Processing Requirements for Ingestion of Approved Paper Forms

In addition to the requirements in the above section, [2.1 Common File Process Requirements](#), the following requirements applies to the ingestion of approved Paper Forms.

Number	Description
REQ-PF-01	<p>CSV files must be named according to the following convention:</p> <p><plan_name>_INSERT_<dateandmilitarytime>.csv</p> <p><i>Example:</i> HMSA_INSERT_081820210856.csv</p>
REQ-PF-02	<p>Acceptable values for <plan_name> are:</p> <ul style="list-style-type: none"> • ALOHA • HMSA • KAISER • OHANA • UNITED
REQ-PF-03	<p>Insert Screen Date between Medicaid ID and Iteration (change denoted in blue font)</p> <p><plan_name>_INSERT_<dateandmilitarytime>_<medicaidid>_<screendate>_<iteration>.pdf</p> <p><plan_name>_INSERT_<dateandmilitarytime>_<medicaidid>_<screendate>_<iteration>.pdf</p> <p><i>Example:</i> HMSA_INSERT_081820210856_1234567890_08192021_1.pdf</p> <p>Note:</p> <ul style="list-style-type: none"> • screendate must be formatted as MMDDYYYY • iteration is an incremental number to uniquely identify the PDF file in the event there are multiple scanned form images (PDF) for a patient.
REQ-PF-04	<p>For the Paper Form modality, each PDF File must have a corresponding record in the CSV file. The "PDF_ID" field in the CSV record will contain the filename of the PDF.</p> <p>If a PDF File does not have a corresponding record:</p> <ol style="list-style-type: none"> 1. The PDF will be rejected. 2. The failure will be included in an exception report and emailed to the Plan and MQD Administrators.
REQ-PF-05	<p>For the Paper Form modality, each record in the CSV file must have a corresponding PDF File as identified in the "PDF_ID" field in the record.</p> <p>If a record does not have a corresponding PDF File:</p> <ol style="list-style-type: none"> 1. The PDF will be rejected.



eEPSDT File Processing Requirements

Number	Description
	2. The failure will be included in an exception report and emailed to the Plan and MQD Administrators.
REQ-PF-06	For Paper Form ingestion, the EPSDT_EXAM_ID must be NULL.
REQ-PF-07	For Paper Form ingestion, the EXAM_STATUS_CD, REVISE_REASON_CD and REVISE_COMMENT will be ignored.

2.3 File Processing Requirements for Ingestion of Approved 8015/8016 and Supplemental Data from EMR (Kaiser)

In addition to the requirements in the above section and section [2.1 Common File Process Requirements](#), the following requirements applies to the ingestion of approved 8015/8016 and supplemental data from EMR (currently only Kaiser).

Number	Description
REQ-EM-01	CSV files must be named according to the following convention: <code><plan_name>_INSERT_<dateandmilitarytime>.csv</code> <i>Example: KAISER_INSERT_081820210856.csv</i>
REQ-EM-02	Acceptable values for <plan_name> are: <ul style="list-style-type: none"> • ALOHA • HMSA • KAISER • OHANA • UNITED
REQ-EM-03	For EMR (Kaiser) ingestion, the EPSDT_EXAM_ID must be NULL.
REQ-EM-04	For EMR (Kaiser) ingestion, the EXAM_STATUS_CD, REVISE_REASON_CD and REVISE_COMMENT will be ignored.

2.4 File Processing Requirements for Batch Approval Processing of Provider Submitted Forms

In addition to the requirements in the above section and section [2.1 Common File Process Requirements](#), the following requirements applies to the batch approval processing of Provider submitted forms.

Number	Description
REQ-BA-01	CSV files must be named according to the following convention: <code><plan_name>_UPDATE_<dateandmilitarytime>.csv</code> <i>Example: ALOHA_UPDATE_081820210856.csv</i>
REQ-BA-02	Acceptable values for <plan_name> are: <ul style="list-style-type: none"> • ALOHA



eEPSDT File Processing Requirements

Number	Description
	<ul style="list-style-type: none"> • HMSA • KAISER • OHANA • UNITED
REQ-BA-03	<p>For Batch Approval Processing of Provider Submitted Forms, the following fields are required and must not be null.</p> <ol style="list-style-type: none"> 1. EPSDT_EXAM_ID 2. EXAM_STATUS_CD 3. REVISE_REASON_CD <p>The following field is optional.</p> <ol style="list-style-type: none"> 1. REVISE_COMMENT <p>All other fields will be ignored.</p>
REQ-BA-04	<p>Prior to record update, the existing exam status field will be evaluated to determine if the record state is still awaiting batch approval. If the record state changed after the export, the transaction will be flagged as an error and the record will be not be updated.</p>



eEPSDT File Processing Requirements

3.0 Data Load Validation

Each line in the CSV file will be checked by the system to verify that relevant business rules are met prior to entering the MQD EPSDT System. Any errors discovered will be reported in an exception report and the corresponding record will not be imported.

3.1 Ingestion of Approved Paper Form – New Record Insert

FIELD NAME	EXPECTED VALUES	DESCRIPTION	FILENET METADATA	DATA LOAD VALIDATION
PATIENTS_PLAN	ALOHA HMSA KAISER OHANA UNITED	Short Name of Health Plan.		Flag as error if not one of the five names listed in the “Expected Values” column
EPSDT_SUBMISSION_DATE	Valid date format MMDDYYYY	Date of File Submission		Flag as error if null or not a valid date
SCREEN_DATE	Valid date format MMDDYYYY	Date of Screening Visit	X	Flag as error if null or not a valid date
PATIENT_LNAME	--	Patient's Last Name	X	Flag as error if > 200 characters
PATIENT_FNAME	--	Patient's First Name	X	Flag as error if > 200 characters
PATIENT_MNAME	--	Patient's Middle Name		Flag as error if > 508 characters
PATIENT_MEDICAID_ID	10-Digit Number	Patient's Medicaid ID Number (10-Digits)	X	Flag as error if: (1) Not a number (2) More than 10 digits (3) Is null
PATIENT_DOB	Valid date format MMDDYYYY	Patient's Date of Birth	X	Flag as error if null or not a valid date
PROVIDER_PRINT_NAME	--	Provider's Printed Name	X	Flag as error if > 200 characters
RENDERING_NPI	10-Digit Number	Rendering Provider's NPI Number (10-digit)	X	Flag as error if: (1) Not a number (2) More than 10 digits (3) Is null
EXAM_TYPE	0: Initial 1: Follow-up	Exam Type		Flag as error if not 0 or 1
MODALITY	0: Paper Form	Mode in which Form 8015/8016 is submitted		Flag as error if not 0
EPSDT_EXAM_ID	NULL	EPSDT Exam ID - System Assigned Unique ID		Flag as error if not null

eEPSDT File Processing Requirements



3.2 Ingestion of Approved 8015/8016 and Supplemental Data from EMR (Kaiser) – New Record Insert

FIELD NAME	EXPECTED VALUES	DESCRIPTION	DATA LOAD VALIDATION
PATIENTS_PLAN	ALOHA HMSA KAISER OHANA UNITED	Short Name of Health Plan.	Flag as error if not one of the five names listed in the "Expected Values" column
EPSDT_SUBMISSION_DATE	Valid date format MMDDYYYY	Date of File Submission	Flag as error if null or not a valid date
SCREEN_DATE	Valid date format MMDDYYYY	Date of Screening Visit	Flag as error if null or not a valid date
PATIENT_LNAME	--	Patient's Last Name	Flag as error if > 200 characters
PATIENT_FNAME	--	Patient's First Name	Flag as error if > 200 characters
PATIENT_MNAME	--	Patient's Middle Name	Flag as error if > 508 characters
PATIENT_MEDICAID_ID	10-Digit Number	Patient's Medicaid ID Number (10-Digits)	Flag as error if: (1) Not a number (2) More than 10 digits (3) Is null
PATIENT_DOB	Valid date format MMDDYYYY	Patient's Date of Birth	Flag as error if null or not a valid date
PROVIDER_PRINT_NAME	--	Provider's Printed Name	Flag as error if > 200 characters
RENDERING_NPI	10-Digit Number	Rendering Provider's NPI Number (10-digit)	Flag as error if: (1) Not a number (2) More than 10 digits (3) Is null
EXAM_TYPE	0: Initial 1: Follow-up	Exam Type	Flag as error if not 0 or 1
MODALITY	3: EMR	Mode in which Form 8015/8016 is submitted	Flag as error if MODALITY is not 3 AND EPSDT_EXAM_ID is NULL
EPSDT_EXAM_ID	NULL	EPSDT Exam ID - System Assigned Unique ID	Flag as error if not null

eEPSDT File Processing Requirements



3.3 Batch Approval Processing of Provider Submitted Forms - Update Existing MQD EPSDT System Records

FIELD NAME	EXPECTED VALUES	DESCRIPTION	FILENET METADATA	DATA LOAD VALIDATION
EPSDT_EXAM_ID	NUMBER	EPSDT Exam ID - System Assigned Unique ID	N/A	Flag as error if blank or not a valid number
EXAM_STATUS_ID	5: Approved 6: Revise	EPSDT Exam Status	N/A	Flag as error if not 5 or 6
REVISE_REASON_CD	TBD	Plan's Reason for Revision (Rejection)	N/A	Flag as error if EXAM_STATUS_ID is 6 and REVISE_REASON_CD is blank or not a valid reason code.
REVISE_COMMENT	--	Optional Revision Comment	N/A	Flag as error if > 500 characters

eEPSTD File Processing Requirements

4.0 Data File Format

Each data file must adhere to the pre-defined file format described below:

1. The first record in the data file must contain a column name header for each field, separated by a pipe [|] character.
2. Each record will be contained on one line of the file, terminated by a line feed, or a carriage return and line feed pair.
3. Each field must be separated by a pipe [|] character.
4. A pipe character should not follow the final field in the record.
5. Field value must not be enclosed in any characters.
6. Leading and trailing spaces or tabs will be trimmed.

Header 1|Header 2|Header 3|Header 4|Header 5| ...

value 1| value 2 | value 3a, value 3b, value 3c | | value "5" | ...

Header 1	Header 2	Header 3	Header 4	Header 5	...
value 1	value 2	value 3a, value 3b, value 3c		value "5"	...



eEPSDT File Processing Requirements

5.0 Data File Field Specification

Fields within the line must be properly formatted and able to be parsed as specified in the following table.

FIELD ORDER	FIELD NAME	FORMAT	EXPECTED VALUES	PAPER FORMS REQUIRED	EMR REQUIRED	BATCH APPROVAL REQUIRED	DESCRIPTION
1	EPSDT_EXAM_ID	number	NUMBER	NO	NO	YES	EPSDT Exam ID - System Assigned Unique ID
2	EXAM_STATUS_CD	n	5: Approved 6: Revise	NO	NO	YES	EPSDT Exam Status
3	REVISE_REASON_CD	n	TBD	NO	NO	YES	Plan's Reason for Revision (Rejection)
4	REVISE_COMMENT	alphanumeric, max 500 characters	--	NO	NO	OPTIONAL	Optional Revision Comment
5	MODALITY	n	0: Paper Form 3: EMR	YES, (Modality=0)	YES, (Modality=3)	N/A	Mode in which Form 8015/8016 is submitted
6	PDF_ID	<plan_name>_ <dateandmilitarytime>_ <medicaidid>_ <iteration>.pdf	--	YES	NO	N/A	Filename of scanned form.
7	PATIENT_PLAN	alphanumeric, max 10 characters	ALOHA HMSA KAISER OHANA UNITED	YES	YES	N/A	Short name for Health Plan.
8	EPSDT_SUBMISSION_DATE	MMDDYYYY	Valid Date	YES	YES	N/A	Date of file Submission
9	SCREEN_DATE	MMDDYYYY	Valid Date	YES	YES	N/A	Date of Screening Visit
10	PATIENT_LNAME	alphanumeric, max 200 characters	--	YES	YES	N/A	Patient's Last Name
11	PATIENT_FNAME	alphanumeric, max 200 characters	--	YES	YES	N/A	Patient's First Name
12	PATIENT_MNAME	alphanumeric, max 508 characters	--	NO	NO	N/A	Patient's Middle Name
13	PATIENT_MEDICAID_ID	nnnnnnnnnn	10-Digit Number	YES	YES	N/A	Patient's Medicaid ID Number (10-Digits)



eEPSDT File Processing Requirements

FIELD ORDER	FIELD NAME	FORMAT	EXPECTED VALUES	PAPER FORMS REQUIRED	EMR REQUIRED	BATCH APPROVAL REQUIRED	DESCRIPTION
14	PATIENT_DOB	MMDDYYYY	Valid Date	YES	YES	N/A	Patient's Date of Birth
15	PROVIDER_PRINT_NAME	alphanumeric	Up to 200 characters	YES	YES	N/A	Provider's Printed Name
16	RENDERING_NPI	nnnnnnnnnn	10-Digit Number	YES	YES	N/A	Rendering Provider's NPI Number (10-digit)
17	BILLING_NPI	nnnnnnnnnn	10-Digit Number	YES	YES	N/A	Billing Provider's NPI Number (10-digit)
18	EXAM_TYPE	n	0: Initial 1: Follow-up	YES	YES	N/A	Exam Type
19	FORM_VERSION	MM/YYYY	--	YES	YES	N/A	Form Revision Date (on the footer)
20	CONFIRMATION_NBR	nnnnnnnnnn	Number	NO	NO	N/A	EPSDT System Generated Field
21	INITIAL_CONFIRMATION_NBR	nnnnnnnnnn	Number	YES, for Follow-up visits where Initial Screen Date is after 12/1/2021	YES, for Follow-up visits where Initial Screen Date is after 12/1/2021	N/A	For Follow-up visits (Exam Type = 1), enter the Confirmation Number of the Initial Exam
22	INITIAL_SCREEN_DATE	MMDDYYYY	Valid Date	YES, for Follow-up visits only	YES, for Follow-up visits only	N/A	For Follow-up visits (Exam Type = 1), enter the date of the Initial Screening Visit



eEPSDT File Processing Requirements

FIELD ORDER	FIELD NAME	FORMAT	EXPECTED VALUES	PAPER FORMS REQUIRED	EMR REQUIRED	BATCH APPROVAL REQUIRED	DESCRIPTION
23	PATIENT_SCREEN_AGE	n – nn max is 28	0-28 (Radio button selection) 0: <1m 1: 1m 2: 2m 3: 4m 4: 6m 5: 9m 6: 12m 7: 15m 8: 18m 9: 24m 10: 30m 11: 3y 12: 4y 13: 5y 14: 6y 15: 7y 16: 8y 17: 9y 18: 10y 19: 11y 20: 12y 21: 13y 22: 14y 23: 15y 24: 16y 25: 17y 26: 18y 27: 19y 28: 20y	YES	YES	N/A	EPSDT Periodic Screening Age
24	MEAS_HEIGHT_IN	n.nn - nnn.nn	0.00 - 999.99	NO	NO	N/A	Patient's height in inches
25	MEAS_WEIGHT_LBS	n.nn - nnn.nn	0.00 - 999.99	NO	NO	N/A	Patient's weight in pounds
26	MEAS_BMI_NUMBER	n.n - nn.n	0.0 - 99.9	NO	NO	N/A	Patient's BMI
27	MEAS_BMI_PERCENT	n – nnn.n precision of 4, scale of 1	0 – 100.0	NO	NO	N/A	Patient's BMI Percent
28	MEAS_BP_SYSTOLIC	n – nnn, max 3 digits	0 - 999	YES, if Patient >= 3 y/o	YES, if Patient >= 3 y/o	N/A	Patient's Blood Pressure - Systolic
29	MEAS_BP_DIASTOLIC	n – nn, max 2 digits	00-99	YES, if Patient >= 3 y/o	YES, if Patient >= 3 y/o	N/A	Patient's Blood Pressure - Diastolic
30	MEAS_PATIENT_SEX	uppercase F or M	F: Female M: Male	YES, if Patient >= 2 y/o	YES, if Patient >= 2 y/o	N/A	Patient's Sex
31	MEAS_UNABLE_TO_OBTAIN_IND	n	0: No/Blank 1: Yes	YES, if measurement fields are blank	YES, if measurement fields are blank	N/A	Unable to Obtain Measurements Indicator
32	VAX_HEPB_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	HEP B Vaccination Given Indicator



eEPSDT File Processing Requirements

FIELD ORDER	FIELD NAME	FORMAT	EXPECTED VALUES	PAPER FORMS REQUIRED	EMR REQUIRED	BATCH APPROVAL REQUIRED	DESCRIPTION
33	VAX_PCV_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	PCV Vaccination Given Indicator
34	VAX_MMR_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	MMR Vaccination Given Indicator
35	VAX_TDAP_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	TDAP Vaccination Given Indicator
36	VAX_DTAP_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	DTAP Vaccination Given Indicator
37	VAX_ROTAV_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	ROTAV Vaccination Given Indicator
38	VAX_VARICELLA_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Varicella Vaccination Given Indicator
39	VAX_MCV4_MPSV4_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	MCV4/MPSV4 Vaccination Given Indicator
40	VAX_IPV_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	IPV Vaccination Given Indicator
41	VAX_INFLUENZA_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	INFLUENZA Vaccination Given Indicator
42	VAX_HEPA_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	HEPA Vaccination Given Indicator
43	VAX_HPV_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	HPV Vaccination Given Indicator
44	VAX_COVID19_IND	n	0 = No/Blank 1 = Yes	NO	NO	N/A	COVID19 Vaccination Given Indicator
45	VAX_HIB_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	HIB Vaccination Given Indicator
46	VAX_MENACWY_MENB_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	MENACWY/MENB Vaccination Given Indicator



eEPSDT File Processing Requirements

FIELD ORDER	FIELD NAME	FORMAT	EXPECTED VALUES	PAPER FORMS REQUIRED	EMR REQUIRED	BATCH APPROVAL REQUIRED	DESCRIPTION
47	VAX_UP_TO_DATE	n	0: No/Blank 1: Yes	NO	NO	N/A	Vaccination Up To Date
48	VAX_OTHER	alphanumeric, max 1000 characters	--	NO	NO	N/A	Other Vaccination Given
49	VAX_COMMENTS	alphanumeric, max 3000 characters	--	NO	NO	N/A	Vaccination Comments
50	SCRN_VISION_IND	uppercase N or A	N: Normal A: Abnormal Null (Blank)	NO	NO	N/A	Vision Assessment
51	SCRN_HEARING_IND	uppercase N or A	N: Normal A: Abnormal Null (Blank)	NO	NO	N/A	Hearing Assessment
52	SCRN_DEVELOPMENT_IND	uppercase N or A	N: Normal A: Abnormal Null (Blank)	NO	NO	N/A	Developmental Assessment
53	SCRN_AUTISM_IND	uppercase N or A	N: Normal A: Abnormal Null (Blank)	NO	NO	N/A	Autism Assessment
54	SCRN_HGB_G_DL	nn.n	00.0 - 99.9	NO	NO	N/A	Screening Hgb g/dl
55	SCRN_HCT_PCT	nn.n	00.0 - 99.9	NO	NO	N/A	Screening Hct Percent
56	SCRN_HGB_HCT_DONE_IND	n	0: Not Done/Blank 1: Done	NO	NO	N/A	Screening HGB/HCT Done Indicator
57	SCRN_BLOOD_LEAD_LEVEL_MCG_DL	nnn	0 - 999	NO	NO	N/A	Screening Blood Lead Level mcg/dL
58	SCRN_BLOOD_LEAD_LEVEL_DONE_IND	n	0: Not Done/Blank 1: Done	NO	NO	N/A	Screening Blood Lead Level Done Indicator
59	SCRN_CHOLESTEROL_DONE_IND	n	0: Not Done/Blank 1: Done	NO	NO	N/A	Screening Cholesterol Done Ind
60	SCRN_COMMENTS	alphanumeric, max 3000 characters	--	NO	NO	N/A	Screening Comments
61	REF_REQUEST_COORDINATION_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Request Coordination Help Indicator
62	REF_OFFICE_ASSISTANT_NAME	alphanumeric, max 200 characters	--	NO	NO	N/A	Office Assistant Name



eEPSDT File Processing Requirements

FIELD ORDER	FIELD NAME	FORMAT	EXPECTED VALUES	PAPER FORMS REQUIRED	EMR REQUIRED	BATCH APPROVAL REQUIRED	DESCRIPTION
63	REF_OFFICE_DIRECT_PH_NBR	nnnnnnnnnn, max 10 numbers 3-digit area code 7- digit phone number	--	NO	NO	N/A	Office Direct Phone Number
64	REF_PARENT_GUARDIAN_NAME	alphanumeric, max 200 characters	--	NO	NO	N/A	Parent/Guardian Name
65	REF_RELATIONSHIP_TO_MBR	alphanumeric, max 255 characters	--	NO	NO	N/A	Relationship to Member
66	REF_MEMBER_CONTACT_PH_NBR	nnnnnnnnnn, max 10 numbers 3-digit area code 7- digit phone number	--	NO	NO	N/A	Parent/Guardian/Member Contact Phone Number
67	REF_COMMENTS	alphanumeric, max 3000 characters	--	NO	NO	N/A	Request or Referral Comments
68	PRGM_EARLY_INTERVENTION_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Programs – Early Intervention Indicator
69	PRGM_DOE_SPECIAL_ED_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Programs – DOE Special Education Indicator
70	PRGM_CAMHD_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Programs – CAMHD Indicator
71	PRGM_DENTISTRY_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Programs – Dentistry Indicator
72	PRGM_DDD_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Programs – DDD Indicator
73	PRGM_WIC_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Programs – WIC Indicator
74	PRGM_DOH_CSHN_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Programs – DOSH CSHN Indicator
75	SPCL_DIET_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Diet Indicator
76	SPCL_PT_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Physical Therapy



eEPSDT File Processing Requirements

FIELD ORDER	FIELD NAME	FORMAT	EXPECTED VALUES	PAPER FORMS REQUIRED	EMR REQUIRED	BATCH APPROVAL REQUIRED	DESCRIPTION
77	SPCL_OT_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Occupational Therapy
78	SPCL_ST_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Speech Therapy
79	SPCL_DEVELOPMENTAL_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Developmental Indicator
80	SPCL_BEHAVIORIAL_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Behavioral Indicator
81	SPCL_VISION_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Vision Indicator
82	SPCL_HEARING_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Hearing Indicator
83	SPCL_MEDICAL_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Medical Indicator
84	SPCL_SURGICAL_IND	n	0: No/Blank 1: Yes	NO	NO	N/A	Specialty – Surgical Indicator
85	SPCL_COMMENTS	alphanumeric, max 3000 characters	--	NO	NO	N/A	Specialty Comments
86	EMR_ID	alphanumeric, max 255 characters	--	NO	NO	N/A	EMR Unique Identifier
87	ASQ3_QNR_COMPLETE_IND	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ-3 Questionnaire Completed
88	ASQ_COMMUNICATION	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Communication
89	ASQ_COMMUNICATION_SCORE	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Communication Score
90	ASQ_GROSS_MOTOR	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Gross Motor
91	ASQ_GROSS_MOTOR_COMMENT	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Gross Motor Comment
92	ASQ_FINE_MOTOR	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Fine Motor
93	ASQ_FINE_MOTOR_COMMENT	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Fine Motor Comment



eEPSDT File Processing Requirements

FIELD ORDER	FIELD NAME	FORMAT	EXPECTED VALUES	PAPER FORMS REQUIRED	EMR REQUIRED	BATCH APPROVAL REQUIRED	DESCRIPTION
94	ASQ_PROBLEM_SOLVING	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Problem Solving
95	ASQ_PROBLEM_SOLVING_COMMENT	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Problem Solving Comment
96	ASQ_PERSONAL_SOCIAL	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Personal Social
97	ASQ_PERSONAL_SOCIAL_COMMENT	alphanumeric, max 2000 characters	--	NO	NO	N/A	ASQ Personal Social Comment
98	MCHAT_16-30MO	alphanumeric, max 2000 characters	--	NO	NO	N/A	MCHAT/MCHAT-R (16-30 months)
99	VISIT_TYPE	alphanumeric, max 255 characters	--	NO	NO	N/A	Visit Type
100	ENCOUNTER_TYPE	alphanumeric, max 255 characters	--	NO	NO	N/A	Encounter Type
101	DIAGNOSIS_TYPE	alphanumeric, max 255 characters	--	NO	NO	N/A	Diagnosis Type

Document History

Date	Version	Description	Author
10/1/2021	1.0	eEPSDT File Processing Design Document QUEST Integration Health Plan	Phan Sirivattha Todd Xayphone Sharon Thomas
10/15/2021	1.1	eEPSDT File Processing Design Document QUEST Integration Health Plan	Phan Sirivattha Todd Xayphone Sharon Thomas

References

Please refer to the additional project documentation (see table below) for more information, not captured in this document, regarding the MQD EPSDT Modernization project.

Date	Document Name	Author/Owner
10/15/2021	eEPSDT File Processing Requirements QUEST Integration Health Plan	Phan Sirivattha Todd Xayphone Sharon Thomas



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2.0 Introduction

2.1 Document Summary

This document contains the high-level technical design, use cases, requirements, and assumptions to process approved 8015 and 8016 exams for the following scenarios:

1. Paper Form Ingestion: Insert 8015/8016 Paper Forms that are signed and snail-mailed from the Provider to the Health Plans for approval.
2. Electronic Medical Records (EMR) Ingestion: Insert exam data captured directly from a Plan's EMR into the MQD EPSDT System. This scenario is for Plans that also operate as a Provider and sends approved exam data to MQD EPSDT System.
3. Batch Approval of Forms submitted directly to MQD EPSDT System via ePDF and Adaptive Forms: Update exam approval disposition for exam data that were submitted by the Provider via the ePDF and Adaptive Form modalities. The MQD EPSDT System data is extracted from the MQD EPSDT System for external approval processing and subsequently uploaded back into MQD EPSDT System for batch update.

2.2 Use Cases

The following four (4) use cases will be supported in this first release.

Number	Name	Description
UC-01	Ingest EPSDT Approved Paper Forms (Insert of scanned images and corresponding form data)	Ingest EPSDT Paper Forms received from AlohaCare, HMSA, Ohana and United Health that have been approved for payment. Images of the Paper Forms will be imported to and stored in FileNet (ECM). Corresponding exam data (pipe-delimited) will be inserted into the EPSDT Oracle database.
UC-02	Ingest EPSDT Approved Data (Insert of EMR data)	Ingest (Insert) EPSDT exam data that have been approved for payment. Only the data file (pipe-delimited data) will be received and inserted into the EPSDT Oracle database.
UC-03	Data Capture of Supplemental Exam Data (Insert of supplemental exam data)	In addition to data elements on the EPSDT 8015 and 8016 form, supplemental exam data from Kaiser will be captured and stored in the EPSDT Oracle database.
UC-04	Batch Approval Processing of Provider Submitted Forms	Update MQD EPSDT exam data with either an Approve or Revise decision that is determined in a process external to EPSDT. Forms that have been submitted for approval by Providers will be extracted from EPSDT, processed in the Plan's external



Number	Name	Description
	(Update of MQD EPSDT System data)	System and subsequently uploaded and processed in EPSDT to reflect the approval disposition.

3.0 Design Overview

The MQD EPSDT System will utilize Dell Boomi, IBM FileNet and Oracle PL/SQL technologies to integrate data and scanned images from the Health Plans into the DHS Enterprise Content Management (ECM) system and DHS Oracle RDBMS. The file processing operations will include file transfer, virus scan, email generation, PDF import into the ECM and ETL of Plan data into the MQD EPSDT Oracle database.

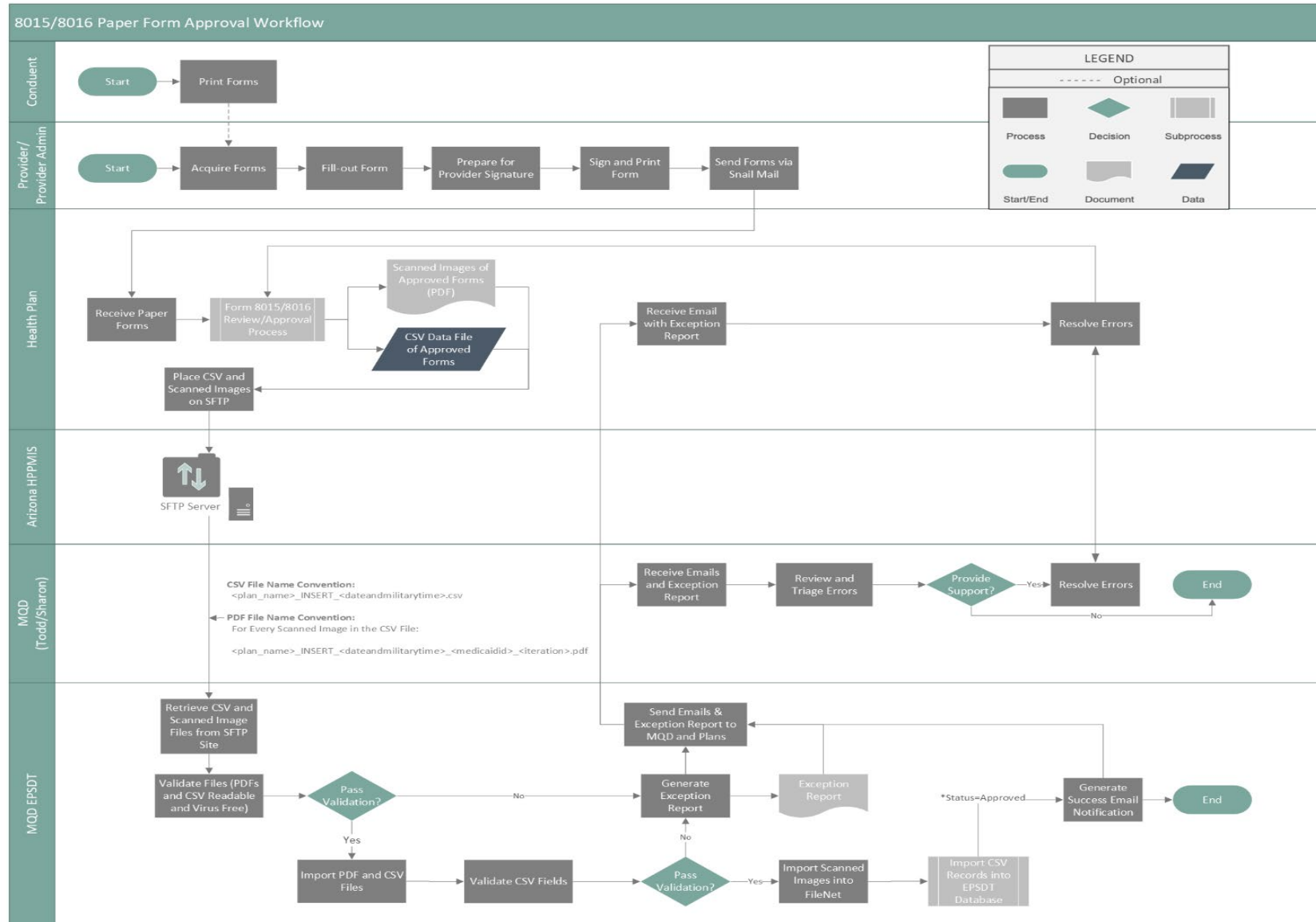
3.1 UC-01: Ingest Approved Paper Forms - AlohaCare, HMSA, Ohana and United Health

1. The Health Plan will send scanned images of approved paper 8015 and 8016 forms as a PDF file to store in the MQD EPSDT document repository (ECM).
2. The Health Plan will send corresponding form data in a pipe-delimited data file to store in the MQD EPSDT System database.
3. An email notification containing an exception report will be sent to the Health Plan and MQD System administrators for any files and/or records that fail data load and validation requirements.

Figure 1. 8015/8016 Approved Paper Form Ingestion Process Flowchart

MQD EPSDT MODERNIZATION

As of 9/24/2021





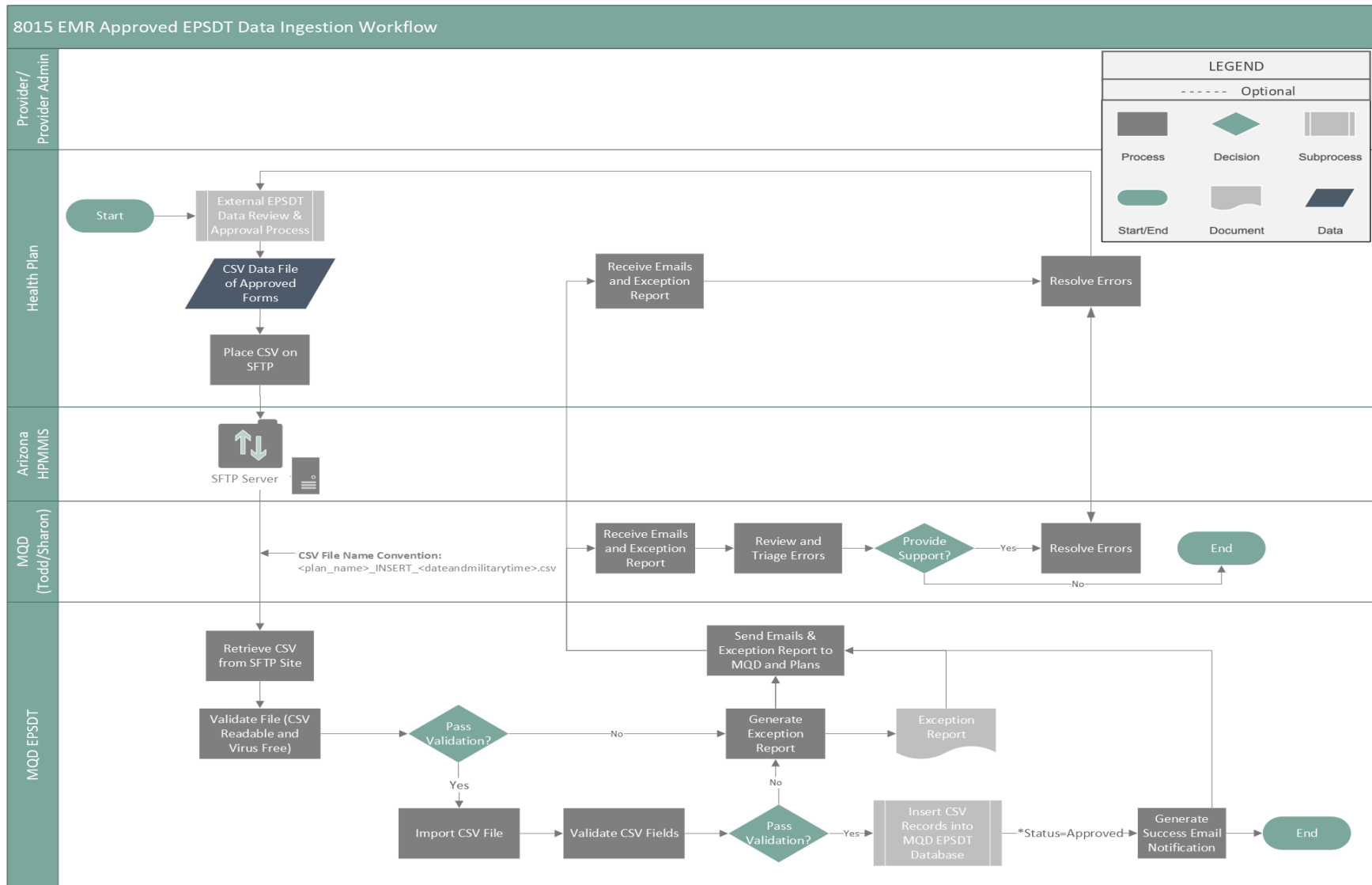
3.2 UC-02/UC-03: Ingest Approved 8015/8016 and Supplemental Data from EMR - Kaiser

1. The Health Plan will send only form data of approved 8015 and 8016 in a pipe-delimited data file to store in the MQD EPSDT System database.
2. The Health Plan will include supplemental exam data in the data file, as they currently send today.
3. An email notification containing an exception report will be sent to the Health Plan and MQD EPSDT System administrators for any files and/or records that fail data load and validation requirements.

Figure 2. Approved Kaiser 8015/8016 Data Ingestion Process Flowchart

MQD EPSDT MODERNIZATION

As of 9/24/2021

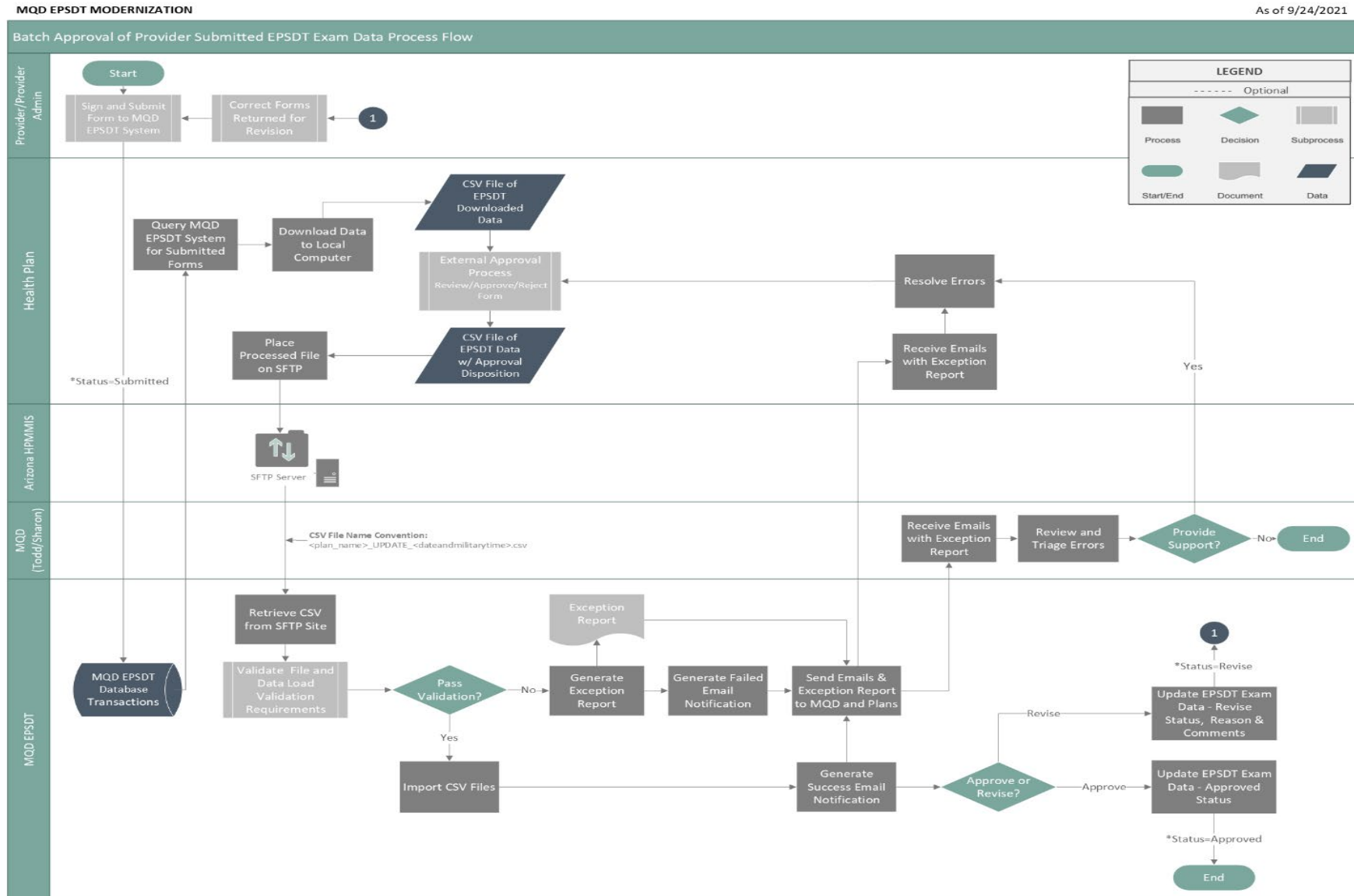




3.3 UC-04: Batch Approval Processing of Provider Submitted Forms

1. The Health Plan will manually extract MQD EPSDT System data submitted by the Provider for approval via the MQD EPSDT System download functionality in the AEM user dashboard.
2. The Health Plan will process the downloaded data extract through their internal approval system and generate a data extract as defined in [Section 4.4 Data File Field Layout and Requirements](#).
3. The Health Plan will place their processed file on the Arizona HPMMIS SFTP site for processing by the MQD EPSDT System.
4. The MQD EPSDT System will validate the file and CSV records according to sections [4.1 File Processing Requirements](#), [4.2 Assumptions](#) and [4.3.2. Batch Approval Validations – MQD EPSDT System Update of Existing Records](#).
5. The MQD EPSDT System will process each record that has been successfully validated and update the corresponding exam data.
 - a. The exam status will be updated to reflect the approval disposition of either Approved or Revise.
 - b. If the disposition is Revise, the Revise Reason Code will also be updated.
6. Upon failure, an email notification with an exception report will be sent to the Plan and MQD Administrators to resolve.
7. Upon success, an email notification will be sent to the Plan and MQD Administrators.

Figure 3. Batch Approval of Provider Submitted Forms Flowchart



4.0 Appendix A – Secure FTP Access

4.1 Service Account

QUEST Integration Health Plans will use the Arizona SFTP Service Account: svcMQD_EPSDT and have access to the following folders:

/ALOHAC/PROD/EPSDT-IN	/ALOHAC/TEST/EPSDT-IN
/ALOHAC/PROD/EPSDT-OUT	/ALOHAC/TEST/EPSDT-OUT
/HMSAAA/PROD/EPSDT-IN	/HMSAAA/TEST/EPSDT-IN
/HMSAAA/PROD/EPSDT-OUT	/HMSAAA/TEST/EPSDT-OUT
/KAISER/PROD/EPSDT-IN	/KAISER/TEST/EPSDT-IN
/KAISER/PROD/EPSDT-OUT	/KAISER/TEST/EPSDT-OUT
/OHANAA/PROD/EPSDT-IN	/OHANAA/TEST/EPSDT-IN
/OHANAA/PROD/EPSDT-OUT	/OHANAA/TEST/EPSDT-OUT
/UNITED/PROD/EPSDT-IN	/UNITED/TEST/EPSDT-IN
/UNITED/PROD/EPSDT-OUT	/UNITED/TEST/EPSDT-OUT